The effect of grain orientation on fretting fatigue plasticity and life prediction
O. J. McCarthy¹, J. P. McGarry², S. B. Leen¹
¹Mechanical Engineering, NUI Galway, Ireland, ²Biomedical Engineering, NUI Galway, Ireland

Abstract: A study on crystal and $I_2$ plasticity prediction of fretting fatigue is presented, using a microstructure-sensitive fatigue parameter for crystal plasticity crack nucleation and a critical-plane (multiaxial) fatigue parameter for $I_2$ plasticity. A short crack propagation methodology is also implemented. The effect of grain orientation on nucleation life is shown to be significant for fretting fatigue. $I_2$ plasticity generally predicts conservative lives. Crystal plasticity is superior in terms of (i) accuracy of life prediction, (ii) ability to facilitate wear prediction and (iii) capturing the key effects of substrate fatigue stress and grain orientation on life. The crystal plasticity model facilitates new insight into interaction between grain orientation, fatigue stress amplitude and fretting surface damage vis-à-vis fretting fatigue life.

1 Introduction
Contact fatigue and, more specifically, fretting fatigue (FF) are common problems in engineering contacts, particularly highly-loaded contacts, across a wide range of industrial and other engineering applications. Obvious examples include aeroengine dovetail joints and spline couplings [1], biomedical implants [2], shaft-hub connections [3] and fastener connections [4]. A key ongoing challenge in the design against FF is the development of reliable predictive methods for crack nucleation. Fretting cracks have been identified at length-scales competitive with the material micro-structure, suggesting the need for a micro-mechanical approach. Length-scales have been identified as a key aspect in the development of reliable life prediction methods for FF, to capture stress gradient effects associated with the contact size effect [5], for example. Araujo and Nowell [5] identified the need for volume-averaging of critical-plane fatigue indicator parameters (FIPs), in the context of classical elasticity (analytical) solutions for fretting stress distributions, to capture the contact size effect. The averaging dimension was shown to be broadly associated with the key micro-structural dimension of grain size. Sum et al. [6] subsequently demonstrated that mesh refinement techniques within a finite element (FE) based critical-plane FIP approach (Smith-Watson-Topper and Fatemi-Socie) could achieve the same result, i.e. capture the stress gradient and hence contact size effect. In other words, it was demonstrated that the FE mesh refinement process was equivalent to an averaging approach.

Fretting can typically be categorised into three different sliding regimes, namely, partial, mixed and gross slip, primarily dependent on normal load ($P$), displacement amplitude and coefficient of friction (COF) [7]. Figure 1 (a) shows the relationship between normal load and displacement amplitude for the different slip regimes. Figure 1 (b) illustrates the material damage associated with each respective fretting regime. A similar fretting map has been presented by Vingsbo and Soderberg [8] (Figure 2) where the effect of fretting regime is plotted in terms of number of cycles to failure and wear rate. Experimental data has been presented by Jin and Mall [9], for example, to corroborate the key effect of increasing fatigue life with increasing slip amplitude on transition from partial to gross slip. Madge et al.
[10] have demonstrated that this effect can be predicted using a wear-fatigue approach. This work involved the explicit simulation of wear-induced material removal and simultaneous computation of fatigue damage via Miner’s rule due to the wear-induced evolution of contact stress and strain distributions. Madge et al. [10] demonstrated the importance of contact stress re-distribution, and associated fatigue damage re-distribution, due to widening of the contact region vis-à-vis the competition between material removal and crack propagation. More recent work by Ding et al. [11], on experimental and computational modelling of wear and fatigue crack nucleation for Ti-6Al-4V, following similar fretting-plasticity work by Ambrico and Begley [12], for example, has demonstrated the need for cyclic plasticity modelling in the prediction of fretting crack nucleation, due to the induction of plasticity by wear. This work, however, among others, highlighted the short length-scales of identified cracks, competitive with the grain morphology, thus suggesting the need for micro-structural plasticity modelling as a more scale-consistent approach to the prediction of contact variable distributions and crack nucleation.

A number of authors have indeed applied crystal plasticity (CP) constitutive models to FF. McDowell and co-workers [13], [14] and [15], for example, have used CP to develop plastic strain maps that qualitatively agree with experimentally-observed crack locations and orientations for fretting wear and fatigue testing of Ti-6Al-4V. Cailletaud and colleagues have also modelled the cyclic plasticity behaviour of Ti-6Al-4V under fretting wear conditions using a polycrystal plasticity model [16]. Acknowledging that the microstructure is not negligible when compared to the high stress gradients associated with fretting, the Dang Van high cycle fatigue parameter was investigated as an FIP. Although some comparisons with test data have been carried out in terms of crack location and orientation, previous work has not addressed microstructure-sensitive life prediction for crack initiation, and hence fatigue life predictions, per se. In recent work, the authors [17] and [18] have presented a CP approach for prediction of fretting wear crack nucleation of Ti-6Al-4V and FF prediction of 316L stainless steel (SS). The microstructure-sensitive model captured the location, orientation and numbers of cycles to crack initiation when compared against interrupted fretting wear test data of Ti-6Al-4V. Therefore, the methodology has subsequently been applied to a FF loading situation for 316L SS and has been extended to total life predictions. For engineering design against fretting, a key constraint is the computational overhead associated with modelling of realistic components. This is compounded by the apparent need for concomitant simulation of wear and fatigue damage evolution, particularly in design across a range of relative slip. The identification of slip regime (partial versus gross) is highly complex and dependent on coefficient of friction and contact geometry evolution, among other factors. Hence, whilst there is a requirement, on the one hand, for a scale-consistent accurate method for crack nucleation prediction, there is a pragmatic need, on the other hand, for robust, efficient methods and models for design [19]. This paper is concerned with a comparative assessment of microstructure-sensitive FF prediction and a \( J_2 \) plasticity methodology for FF crack nucleation and life prediction for 316L SS, in terms of (i) accuracy for crack nucleation and total life, vis-à-vis fidelity to test data, and (ii) numerical efficiency for engineering design. The paper presents specific new observations in relation to the predicted effects of grain orientation on FF crack initiation, particularly in the context of the interaction between surface grain size (\( d \)), fretting stroke (\( \delta \)) and contact (semi-) width (\( \alpha_c \)), as illustrated in Figure 3, for example. In the present work,
the ratios \( a_0/d \) and \( \delta/d \) are small in microstructural terms, leading to a significant predicted effect of grain orientation on FF life.

## 2 Methodology

### 2.1 \( J_2 \) NLKH model

The \( J_2 \) plasticity formulation employed here incorporates non-linear kinematic hardening (NLKH) to model the Bauschinger effect. The plastic flow-rule, defining the plastic strain increment is given by the following equations:

\[
d\varepsilon^p = d\lambda \frac{\partial f}{\partial \sigma} = \frac{3}{2} dp \frac{\sigma'}{\sigma_e}
\]

(1)

\[
dp = \left( \frac{2}{3} d\varepsilon^p : d\varepsilon^p \right)^{\frac{1}{2}}
\]

(2)

where \( f \) is the von Mises yield function, \( dp \) is the increment in effective plastic strain, \( \sigma_e \) is the von Mises equivalent stress, \( \sigma' \) is the deviatoric stress tensor and \( d\lambda \) is the plastic multiplier. \( f \) is given as follows:

\[
f = \sigma_e - \sigma_y = \left( \frac{3}{2} (\sigma' - \mathbf{x}^\prime) : (\sigma' - \mathbf{x}^\prime) \right)^{\frac{1}{2}} - \sigma_y
\]

(3)

where \( \sigma_y \) is the yield stress and \( \mathbf{x}^\prime \) is the deviatoric backstress tensor. The translation of the centre of the yield surface in kinematic hardening is governed by the backstress tensor \( \mathbf{x} \), defined here via the Frederick-Armstrong non-linear hardening rule as follows:

\[
dx = \frac{2}{3} C d\varepsilon^p - \gamma d\sigma
\]

(4)

where \( C \) is the initial hardening modulus and \( \gamma \) is the rate of decay of the modulus. The cyclic strain-hardening behaviour is given by the following equation [20]:

\[
\frac{\Delta\sigma}{2} - k = \frac{C}{\gamma} \tanh(\gamma \frac{\Delta\varepsilon_p}{2})
\]

(5)

where \( k \) is the cyclic (initial) yield stress. Identification of the NLKH parameters, \( k, C, \gamma \) is achieved using Equation 5, via a process described elsewhere, e.g. see [20]. This process uses the cyclic response of the material from strain-controlled tests at different strain-ranges, e.g. see [21].

### 2.2 Crystal plasticity calibration

The CP theory [22] used is a rate-dependant crystallographic theory that models the deformation of a metallic crystal lattice. It has been previously presented by the authors [17,18], but is briefly outlined here for completeness, particularly for definition of the key constitutive parameters. Plastic slip is assumed to obey Schmidt's law [22], where the rate of
plastic shear strain, $\dot{\gamma}^\alpha$, for a particular slip system, $\alpha$, is assumed to depend on the resolved shear stress, $\tau^\alpha$, through the following power law:

$$\dot{\gamma}^\alpha = \dot{\gamma}_0 \text{sgn}(\tau^\alpha) \left(\frac{\tau^\alpha}{g^\alpha}\right)^m$$  \hspace{1cm} (6)

where $\dot{\gamma}_0$ and $m$ are the reference strain rate and rate sensitivity exponent, respectively. In this work $m$ is chosen to be large enough to minimise the strain rate dependence of the equation. Material strain hardening on slip system $\alpha$, is specified by the slip system strain hardness, $g^\alpha$, which is defined by the integral of the following equation:

$$g^\alpha = \sum_{\beta} h_{\alpha\beta} \dot{\gamma}^\beta$$  \hspace{1cm} (7)

where $h_{\alpha\beta}$ is the strain hardness moduli and $\alpha$ and $\beta$ represent particular slip systems. The accumulated slip, $\gamma_a$, is defined as follows:

$$\gamma_a = \sum_{\alpha} \int_0^t \dot{\gamma}^\alpha dt$$  \hspace{1cm} (8)

This theory is implemented here in the general-purpose, non-linear FE code, Abaqus via a user-defined material UMAT user subroutine [23] and [24].

Isotropic elasticity is assumed within the CP user subroutine for the 316L SS material, with a Young's modulus of 213 GPa and Poisson's ratio, $\nu$, of 0.34. The process of calibration of the CP constitutive constants, $h_{0\alpha}$, $g_\infty$, and $g_\nu$, for stress-controlled cyclic behaviour is similar to that described in [23] for strain-controlled cyclic behaviour. Essentially, a unit cell model of the material is developed, following the procedure described by Manonukul and Dunne [25] and the constants are identified, and presented in Table 1, via iterative comparison of the cyclic stress-strain hysteresis loops with the macroscopic cyclic, $J_{2\text{-NLKH}}$, stress-strain curve for 316L SS. The $J_{2\text{-NLKH}}$ data is given in Table 2 [20]. This was corroborated for the material used here via comparison with the measured uniaxial tensile response of the material. As part of the calibration process, three different grain morphologies were considered, as shown in Figure 4, namely a regular hexagon grain morphology (Figure 4a), a Voronoi tessellation grain morphology (Figure 4b) and a regular square grain morphology (Figure 4c). This grain size is based on the experimentally obtained grain size of 19 $\mu$m from an optical image of the etched 316L SS material microstructure (see McCarthy et al. [18]). In all cases, a random distribution of orientations was assigned to the grains, as described in [23]. The squares grains are attractive for the contact region of the fretting model, to allow better control of the contact region mesh, which is critical for the accurate computation of contact tractions and associated substrate stresses and strains, as well as crystallographic slip and slip system shear stresses. The square grain morphology was generated in a similar fashion to the hexagonal morphology, in terms of grain area, number of elements per grain, grain orientation and position. The square and hexagonal grain morphologies also included triple points, which are an important deformation and failure characteristic of real microstructures. Comparison of the CP stabilised cyclic stress-strain hysteresis loops, against the NLKH data of Table 2, for different strain-ranges has been presented in recent work [18]. The unit cell responses of the three morphologies showed negligible difference with respect to stress-strain response. Different (random) distributions
of orientations were simulated for the square grain morphology in order to quantify the degree of scatter in the predicted unit cell response, both in terms of constitutive and failure behaviour.

2.3 Crystal plasticity fatigue crack initiation (FCI) model

For the CP model, it is necessary to employ a microstructure-sensitive FIP for scale consistency. Manonukul and Dunne [25] were the first to present such a parameter, accumulated plastic slip, $p$, which was shown to have the ability, along with critical resolved shear stress, to predict both low and high cycle fatigue across a range of temperatures and mean stress levels for C263, a FCC nickel alloy. $p$ was argued to be the key microstructural parameter controlling crack initiation. Sweeney et al. [26] presented a study on the benefits of this approach for the micro-scale application of 316L stent fatigue, vis-à-vis a $J_2$ model with a Basquin-Goodman fatigue life prediction approach. A key benefit was the ability to predict scatter in fatigue life. $p$ is defined by the following equations:

$$
\dot{p} = \left(\frac{2}{3} L^p : L^p\right)^{\frac{1}{2}}
$$

$$
p = \int_0^t \dot{p} dt
$$

(9)

where the plastic velocity gradient $L^p$ is defined by:

$$
L^p = \sum_{\alpha=1}^n \dot{s}^\alpha n^\alpha T
$$

(10)

with $s^\alpha$ and $n^\alpha$ as the slip direction and normal vectors, respectively, for a given slip system, $\alpha$, with $n$ slip systems. The computation of $\dot{p}$ and $p$ is implemented within the CP user subroutine. Crack initiation is deemed to have occurred once $p$ reaches a critical value of accumulated plastic slip, $p_{cr,i}$. This critical value is calculated using an experimentally determined number of cycles to initiation, $N_i$, and the maximum (with respect to location) accumulated plastic slip in one cycle, $p_{cyc}$, for the same loading conditions. Due to the predicted rapid stabilisation of $p$ with respect to fatigue cycles, it is possible to determine $p_{cr,i}$ from the following:

$$
p_{cr,i} = N_i p_{cyc}
$$

(11)

This parameter has been further demonstrated (Sweeney et al. [27]) to successfully predict crack initiation location across a range of load cases, in comparisons with micro-structural experimental crack nucleation data from combined scanning electron microscopy (SEM) and electron backscattered diffraction (EBSD) for notched four-point bend fatigue tests and on FCC steel material.

2.4 $J_2$ FCI damage model

The Smith-Watson-Topper (SWT) parameter [28] is an example of a macro-scale FIP, which is thus normally considered to be a total life prediction parameter. Such ‘total life’ FIPs are
based on calibration against experimental data corresponding to detection of surface fatigue cracks of about 1 mm in length [29]. In choosing an FIP for a given application it is important to consider suitability with respect to stress state and cracking behaviour, e.g. see [5] and [30]. Socie [29] makes recommendations regarding multiaxial FIP on the basis of mode of failure, controlled primarily by material behaviour and loading mode. For example, for Mode I (tensile) fatigue cracking, dominated by maximum principal stress (based on observed cracking directions relative to applied biaxial or multiaxial loading directions), SWT is recommended, while for Mode II failure (shear), dominated by shear fatigue failure, the Fatemi-Socie parameter (for example) is recommended. The plain fatigue (PF) testing of the present material exhibits Mode I (tensile) fatigue cracking. Hence, the SWT parameter is selected here for FCI prediction with the $I_f^{2}$ plasticity model. The SWT parameter is a combination of the Coffin-Manson and Basquin parameters for low and high cycle fatigue, respectively, multiplied by a $\sigma_{\text{max}}$ term to account for mean stress effects as follows:

$$\text{SWT} = \sigma_{\text{max}} \frac{\Delta \varepsilon}{2} = \left(\frac{\sigma_{f}'}{E}\right)^2 \left(2N_f\right)^{2b} + \sigma_{f}' \varepsilon_{f}' \left(2N_f\right)^{b+c}$$

(12)

where $\sigma_{f}'$ is the fatigue strength coefficient, $\varepsilon_{f}'$ is the fatigue ductility coefficient, $b$ is the fatigue strength exponent, $c$ is the fatigue ductility exponent and $E$ is Young's modulus. For multiaxial implementation of this FIP and following previous work on a range of materials, a critical-plane approach is required. This parameter has been adopted within a critical plane approach to allow multiaxial effects to be incorporated. This allows SWT to predict the "damage" per cycle on any given orientation. The critical plane implementation of Sum et al. [6], adopted here, calculates the SWT parameter by combining the peak normal stress and the strain range $\Delta \varepsilon$ within one cycle for a range of plane orientations at 5° intervals through a 180° range. Sum et al. [6] demonstrated successful application of the critical-plane SWT approach to elastic-plastic fatigue life prediction of a laboratory-scale spline coupling against test data. The critical-plane SWT has been used to produce realistic and accurate results compared to experimental data for FF of both Ti-6Al-4V [10], [31] and CrMoV aero-engine steel [32], and in other work, such as Araujo and Nowell [5] and Szolwinski and Farris [33].

However, Madge et al. [34] highlighted an inconsistency in relation to the use of such an (FIP) approach for quantifying crack nucleation life and location. Since crack initiation, $N_f$, occurs at length scales of 10 μm or below, and since FF stress gradient considerations (Sum et al. [6], Bernardo et al. [35]) lead to element integration points (or equivalent sample points, e.g. see [5]) typically at depths of 10 μm or so from the surface, it is inconsistent to use SWT constants calibrated at about 1 mm crack length. Therefore, constants corresponding to 10 μm cracking were back-calculated by Madge et al. [34] via a modified Paris equation, incorporating short crack growth (SCG) through an El-Haddad approach. A similar approach is used here to analytically back-calculate a crack initiation stress-life Basquin relationship from the experimentally determined PF stress-life data.

### 2.5 Crack propagation model
It is well known that short cracks propagate at a faster rate than long cracks [36]. To account for this phenomenon here, the El Haddad [37] methodology is applied. This approach incorporates a threshold crack length, $a_{th}$, which demarcates the transition from SCG to Paris crack growth. The equation $a_{th} = \frac{1}{n} \left( \frac{\Delta K_{th}}{\sigma_{fl}} \right)^2$ is used to determine $a_{th}$, where $\Delta K_{th}$ is the threshold stress intensity factor (SIF) range for fatigue crack growth and $\sigma_{fl}$ is the stress fatigue limit. The El-Haddad correction is an empirical approach which allows for SCG prediction by substituting crack length, $a$, with $(a + a_{th})$ in the SIF range expression, when $a < a_{th}$, as follows:

$$\Delta K = \Delta \sigma Y \sqrt{\pi (a + a_{th})}$$  \hspace{1cm} (13)

In this work the modified Paris equation is used to calculate the number of cycles for a micro-crack to propagate, $N_p$, to failure, as follows:

$$\frac{da}{dN} = C(\Delta K)^m$$  \hspace{1cm} (14)

where $C$ and $m$ are short crack growth constants identified from experimental testing of 316L [38], as shown in Table 3. Once the fatigue stress-life data has been transformed to crack initiation stress-cycles data, it is possible to identify the Basquin constant corresponding to 1.2 $\mu$m crack initiation, as follows:

$$\frac{\Delta \sigma}{2} = \sigma_f'(2N_i)^b$$  \hspace{1cm} (15)

Crack propagation under FF conditions is a complex issue. Houghton et al. [32] implemented a weight function method, based on the work of Nicholas et al. [39]. This approach analysed the mixed-mode cracking of Ti-6Al-4V to successfully predict multiaxial FF life in a simplified representative FF test for spline coupling teeth. Once crack location was established local stress ranges were identified for Mode I and Mode II weight functions [40]. This approach allows incorporation of the effect of the contact-induced biaxial stress gradients on crack growth. An effective stress intensity factor is defined as:

$$\Delta K_{eff} = \sqrt{\Delta K_{I,eff}^2 + \Delta K_{II}^2}$$  \hspace{1cm} (16)

where

$$\Delta K_{I,eff} = \Delta K_I (1 - R)^{(1-n)}$$  \hspace{1cm} (17)

where $R > 0$ and $n$ is the Walker constant ($0 < n < 1$). Here, $n$ is chosen to equal 0.5. If $R < 0$, $\Delta K_I = K_{max}$, based on the Walker method [41]. $R$ is defined as $\sigma_{min}/\sigma_{max}$, where $\sigma_{min}$
and $\sigma_{\text{max}}$ are the minimum and maximum applied stress, respectively. The increment of crack growth $\Delta a$ is then calculated using a cycle-jumping approach as:

$$\Delta a = C(\Delta K_{\text{eff}} - \Delta K_{\text{th}})^m \Delta N$$  (18)

where $\Delta N$ is the cycle jumping factor. The process was applied here for the $J_2$ plasticity and CP predicted nucleated micro-cracks to compute micro- (using the El-Haddad correction with SCG constants) and macro-crack propagation across the width of the FF test specimen (substrate).

### 2.6 Experimental testing

A bridge-type FF test rig was designed and developed to characterise the effect of FF on 316L SS, as shown in Figure 5. The design and development of this test rig has been described in detail in [18]. It is briefly described here. The test rig consists of a fretting bridge arrangement where each bridge, one on each side of the specimen, has two semi-cylindrical feet pressed onto the fatigue specimen via a proving ring, which maintains a constant normal load on the fretting pads. The fatigue specimen is cyclically loaded within an Instron servo-hydraulic testing machine. The cyclic strain in the fatigue specimen leads to relative displacement between the fatigue specimen and the fretting pads resulting in fretting, which combines with the substrate fatigue stress to generate FF in the substrate. A constant normal load of $0.5P_y$ was applied in the tests, where $P_y$ is the normal load required to cause plasticity. PF tests, with $R = 0.1$, were carried out to allow determination of the fretting fatigue reduction factor (FFRF), defined as the ratio of PF to FF life at a given cyclic stress amplitude. The maximum fatigue stress is higher than the yield stress of the material. Plasticity therefore occurs in the fatigue specimen during the first fatigue cycle; due to the $R = 0.1$ stress ratio, this will shakedown to elastic behaviour within the first few cycles. Table 4 shows the stress loading conditions used for the PF and FF tests, along with the measured numbers of cycles to failure for both the PF and FF tests. Repeat PF tests have been carried out for each stress level to give some measure of scatter. The measured ratios of standard deviation to mean ($\sigma/\bar{x}$) of PF life range from 0.06 to 0.38, increasing with reducing stress level. The fretting cracks, in all cases, occurred on the outermost contact edges of the pair of fretting bridge feet (pads), which corresponds to the trailing edge of contact coinciding with the instant of maximum fatigue (cyclic) stress, i.e. the trailing edge with maximum tangential (surface) stress, due to the superposition of frictional stress and substrate fatigue stress. An example of such a crack is shown in Figure 6.

### 2.7 Fretting fatigue modelling

The FE model of the fretting rig is a one quarter model of the complete rig. The fretting contact is modelled as a 2D plane strain cylinder-on-flat fretting model. The radius of the cylindrical pad is 6 mm, held in contact with the $4.5 \times 13.5$ mm substrate under a fixed normal (clamping) load. The substrate represents one quarter of the gauge length with symmetry boundary conditions on the bottom and right edges. The substrate is subjected to the same cyclic loading conditions as in the experiments. Linear equation constraints are
defined on the left edge of the substrate to ensure uniform nodal displacements in the horizontal X direction between a master and slave nodes when applying cyclic loading. The same method is used on the fretting pad to enforce uniform nodal displacements in the vertical Y direction when applying the normal force \( P \). \( P \) is applied in the first step and held constant throughout the analysis. In the second and subsequent steps the cyclic loading is applied to the substrate to simulate the experimental conditions as shown in the loading history of Figure 5. Generally, in fretting contact, as discussed in McColl et al. [42] for an unlubricated metallic steel contact, the COF increases from a low value of about 0.3 over the first thousand or so cycles to a significantly higher steady-state value, which depends on stroke, normal load and possibly other factors. In the present work a constant steady-state COF of 0.8 is assumed, based on the average value measured in [42]. A more detailed description of the FE frictional contact methodology is presented in McCarthy et al. [23]. The CP contact region is embedded within a NLKH \( J_2 \) plasticity bulk model. This CP region is 20 grains wide and 10 grains deep. A square grain morphology is used to facilitate better mesh control, which is important for accurate resolution of surface and sub-surface fretting variables. An element size of 2.5 \( \mu \)m \( \times \) 2.5 \( \mu \)m is used in the contact region, with a decreasing mesh density further away from the CP region. Mesh refinement studies carried out in McCarthy et al. [23] have shown that the 2.5 \( \mu \)m contact element size is more than sufficient for converged surface and sub-surface results. Furthermore, this approach is consistent with that of Sum et al. [6] to capture stress gradient effects, e.g. for contact size effects.

A random distribution of orientations is assigned to the grains in the CP region of the fretting model. The orientations can be specified in terms of angles of the rotated crystal to the original X-Y-Z axis system, as illustrated in Figure 7. In order to assess the effect of grain orientation on FF, five distributions of random orientations (SET 1 to SET 5) are considered, with simulations performed at all test stress amplitudes. As an example, Figure 8 shows the histograms of distributions of grain orientations for SET 2 in the CP region of the hybrid model. It is clear that there is a random distribution of orientations. Table 5 presents data on the mean and standard deviation (Stdev) for all five random orientation sets for angle \( \varphi \), to the X axis. The histogram distributions of grain orientations relative to the Y and Z-axes follow a similar pattern. The five distributions of random orientations are thus seen to be nominally similar. Detailed CP results for sample sets, specifically, SET 1 and SET 2, are presented below and compared to the \( J_2 \) results. Life prediction results for all sets are presented to provide information on the scatter in FF life predicted by the microstructure sensitive model, for comparison with the measured data.

The NLKH \( J_2 \) plasticity FF model uses the same geometry, mesh and boundary conditions as the CPFE fretting model but the CP constitutive model is replaced by the NLKH \( J_2 \) plasticity formulation described in Section 2.2.

2.8 Microstructure-sensitive fretting damage

It is important, particularly in the context of micro-crack nucleation, due to contact-induced stresses, to develop a method for distinguishing between fretting wear and cracking. Combined wear-fatigue modelling, using a critical-plane SWT approach, for example, has been successfully applied to predict the effect of slip amplitude on FF life, specifically, the beneficial effect of gross slip wear, in terms of both contact stress re-distribution and reduction of crack growth rate [10]. Ding et al. [43] presented an alternative method,
circumventing the need for explicit simulation of wear-induced material removal. This was achieved through the use of a FF damage parameter $D_{fret2}$ for incorporating the effects of surface (fretting) damage within an FE-based multiaxial, critical-plane FIP. The FF damage parameter was defined as

$$D_{fret2} = \left(1 + C \tau \delta \right)^{1 + \frac{\tau \delta}{(\tau \delta)_{th}}}$$

(19)

where the symbol $\langle \cdot \rangle$ is defined by $\langle u \rangle = u$ if $u > 0$ and $\langle u \rangle = 0$ if $u \leq 0$. The frictional work threshold value, $\tau \delta_{th}$, separates cracking from a wear dominated fretting damage. $C$ and $n$ are constants determined from fretting tests. It was shown that the combined SWT-$D_{fret2}$ method could simulate the characteristic beneficial effect of increasing (gross) slip amplitude on FF life, without computationally-expensive material removal simulations. It was argued in [43] that the surface damage parameter could perhaps be computationally determined using CP modelling of the rough surface damage.

Some success was achieved in [17], by the authors, in relation to prediction of FF crack nucleation and wear damage for both partial and gross slip loading conditions of Ti-6Al-4V [17] using the micro-cracking $p_{crit}$ approach, with application to fretting wear conditions, i.e. without the complication of a substrate fatigue load. Figure 9 illustrates the fretting wear (micro-cracking) damage concept via a simple schematic. A gross slip wear scar is identified as a simply connected, evenly distributed region of accumulated crystallographic slip, $p$, greater than the critical value, $p_{crit}$. Sweeney et al. [26] have shown that cyclic micro-plasticity occurs in situations where loading is ostensibly elastic (at a macro scale). This distributed micro-cracking process, effectively wear, is characteristic of gross slip situations where micro-damage occurs throughout the entire contact region. The accumulated plastic slip per cycle (stabilised) parameter, $p_{cyc}$, is implemented here, within a numerical methodology to predict wear depth, based on the assumption that any material with $p(x,y,N) \geq p_{crit}$ has been worn away after that number of fretting cycles, $N$, as illustrated in Figure 9. Further details are given in [17].

3 Results

3.1 Contact and FCI variable distributions

As discussed above in relation to the $D_{fret2}$ parameters for surface fretting damage, the local distributions and evolutions of contact tractions and relative slip are important for prediction of fretting wear and damage. These variables are also critical to the interpretation and hence application of simplified laboratory test configurations to more complex, realistic (application) geometries, e.g. see Ratsimba et al. [19]. Furthermore, it is of interest to assess how the CP tractions and slip evolve with localised micro-plasticity, with a view to wear simulation via micro-plasticity. The CP- and $f_{2}$-predicted evolutions (with fretting cycles) of contact variable distributions are presented in Figure 10 (contact pressure) and Figure 11 (shear traction); the CP distributions shown correspond to grain orientation distributions of SET-1 and SET 2 (see Table 5). Table 6 shows a comparison of the CP and $f_{2}$ contact semi-widths against the Hertzian (theoretical) values, clearly showing correlation. The most obvious observation in relation to these surface traction distributions is the contrast between
the CP- and $J_2$-predictions. The initial ($N = 0$) contact width is only about four grains wide (i.e. $a_0/d \approx 2$, see Figure 3), so that the CP-predicted distributions of contact tractions are therefore highly inhomogeneous, particularly when compared to the $J_2$-predictions. Significant widening of the contact region is predicted by both models within the first tangential cycle, to a width of about six grains. This is attributed to the large relative slip to contact semi-width ratio ($\delta/a_0 \approx 0.5$, see Figure 3 and Figure 12) and possibly some surface plasticity effects, due to the high COF (= 0.8). The applied fatigue loads are sufficient to cause plasticity in the fatigue specimen, but this shakes down elastically after the first cycle due to the $R = 0.1$ substrate fatigue stress ratio. The mechanical behaviour of the surface of the CP model is controlled by the (random) crystallographic shear strength and slip behaviour of the four to six grains in the contact region, which are of course also anisotropic. It should be noted that the distributions for $N > 1$, for both models, are sampled at the instant of maximum fatigue stress in the fatigue (fretting) cycle. Thus the $N > 1$ distributions of both the CP- and $J_2$ models are non-symmetric, with a clear re-distribution bias to the +x direction (instantaneous leading edge). The shear traction distributions, due to the predicted gross slip regime, are proportional to the contact pressure, the constant of proportionality being the COF. For the CP predicted distributions, there are significant deviations from the $J_2$ (homogeneous, isotropic) distributions. For example, the steady-state shear traction for SET 2 at $x \approx -0.2a_0$ is less than 30% of the $J_2$ prediction, while the $x \approx -0.7a_0$ value (close to the instantaneous trailing edge of contact) is significantly larger, approximately 167% of the $J_2$ prediction. The CP (SET 1) and $J_2$-predicted contact slip distributions of Figure 12 illustrate the predicted gross slip nature of the slip regimes. In both cases, the widening of the contact region on the first application of tangential shear (1st FF cycle) leads to contact edge regions of highly non-uniform slip. These regions experience intermittent contact. The CPFE plasticity model predicts inhomogeneity of contact slip also, with dramatic local reductions of as much as 45%, at $x = -0.8a_0$, the location of maximum $p_{cyc}$ (see below). This is important in the context of the key (and complex) role of slip (see Figure 1 and Figure 2 and the discussion about $D_{fret2}$ above) in fretting surface damage and, hence, crack nucleation. The predicted evolutions and distributions of the $p_{cyc}$ (SET 1 and SET 2) and critical-plane SWT parameters along the contact surface (depth of 1.2 µm) are presented in Figure 13 and Figure 14. Figure 13 shows that, as for the surface tractions, the CP-predicted distributions of FCI parameter exhibit significant inhomogeneity, due to the small number of grains in the contact region. For both plasticity models, the peak FCI locations are close to the $x < 0$ edge of contact, which corresponds to the instantaneous trailing edge of contact at the instant of maximum fatigue (substrate) stress. This is the observed location of FF cracks (Figure 6) in all of the tests. The CP model predicts initiation (peak $p_{cyc}$) location at $x \approx -0.5a_0$, i.e., inside the initial contact width at a grain boundary with non-uniform local slip and significant peak in shear and normal traction, whereas the $J_2$ model predicts FCI at $x \approx -1.6a_0$, near the slip-induced edge of contact. The $J_2$-SWT prediction can be thought of as equivalent to a CP case where there is a large number of grains (hence, homogeneous and isotropic) in the contact region. In all cases, the distributions of $p_{cyc}$ and SWT reduce with increasing $N$, but have approximately stabilised after 6 cycles, as shown in Figure 13 for the 213.75 MPa fatigue stress. Figure 14 shows the predicted effect of stress amplitude on the distributions of $p_{cyc}$ and SWT. Stress amplitude is predicted to have negligible effect on SWT but a significant effect on both magnitude and location of peak $p_{cyc}$. Specifically, with reducing fatigue stress, the peak $p_{cyc}$ value decreases and moves inward under the contact.

3.2 Calibration of $p_{crit}$ and SWT
In order to calibrate the microstructure sensitive FCI parameter, it is necessary to identify a critical value of $p_{\text{cyc}}$, as per Equation 11. Using the values of $\Delta K_{th}$ [36], threshold crack length, $a_{th}$, and fatigue limit $\sigma_f$ listed in Table 3, as well as the SCG constants $c$ and $m$ from [38], based on short crack growth testing of 316L SS, it is possible to back-calculate the numbers of cycles for propagation ($N_p^{\text{exp}}$) of a 1.2 $\mu$m crack to failure, defined here as a length of 1.5 mm. Hence it is possible to infer $N_i^{\text{exp}}$ from the experimental PF stress-life data, as follows:

$$N_i^{\text{exp}} = N_f - N_p^{\text{exp}}$$

(20)

$N_p^{\text{exp}}$ is found to be relatively small, only about 15% to 30% of $N_f$.

It is then possible, to identify the value of $p_{\text{crit}}$ as follows:

1. Choose one (sample) stress amplitude and identify the associated $N_i^{\text{exp}}$ value.

2. Identify the mean value of the CP-predicted $p_{\text{cyc}}$ values for this stress amplitude, by statistical analysis of the unit cell CPFE stress-controlled cyclic responses for a range of different (random) grain orientation distributions.

3. Equation 11 then provides the $p_{\text{crit}}$ value using the results from Steps 1 and 2.

4. Then validate the identified $p_{\text{crit}}$ value against the CPFE predicted unit cell response at other stress amplitudes, again using the mean response from a range of different (random) grain orientation distributions.

5. In the present work, the identified value of $p_{\text{crit}}$ is 37.73, as shown in Table 3. Figure 15 shows a comparison of the resulting CP-predicted stress-cycles to crack initiation response and the $N_i^{\text{exp}}$ data. The CP-predicted ratio of standard deviation to mean ($\sigma/\overline{\sigma}$) of cycles to PF nucleation (initiation) is 0.25 to 0.34, depending on stress level; this is similar to that of the measured PF (total) lives.

A similar approach is used to identify the nucleation-scale (1.2 $\mu$m) Basquin constants from the mean $N_i^{\text{exp}}$ stress-cycles data and Equation 15, as also shown in Figure 15. The $e'$ and $c$ values were then iteratively chosen to achieve a good fit of SWT to the PF response, $N_i^{\text{exp}}$.

The identified SWT constants are listed in Table 3.

### 3.3 Fretting fatigue test results
The reduction in fatigue life due to fretting is significant, relative to the scatter in PF life. Figure 16 shows that the FFRF is seen to increase significantly with decreasing stress amplitude, from about 2 at the highest stress level to more than 12 for the lowest stress level. Hence the effect of fretting increases significantly with decreasing stress level. The effect of stress amplitude on FF life is seen to be negligible for the two highest stress levels, but there is a significant decrease in FF life with further decreasing stress amplitude leading to a significant increase in FFRF to between 4.6 and 8 (depending on PF test datum) at 202.5 MPa. At the lowest stress level, the PF results gives runout (>3×10⁶), and although the FF test gives an increased FF life relative to the higher stress amplitude (which appears to give a reduced effect of fretting, due to the long PF life), this, in fact, corresponds to a significantly increased FFRF value of 12.2. Hence, it is clear that there is a significant effect of fretting on the fatigue life of 316L SS and this effect is seen to be most pronounced for lower stress levels (higher life). This is, of course, consistent with the fact that FF is generally considered to be a high cycle fatigue phenomenon. A key challenge in designing against FF is assessment of the relative effects of (i) slip amplitude, viz. surface damage (fretting) effects, on the one hand, and (ii) multiaxial fatigue, due to the superposition of substrate (uniaxial) fatigue and (frictional) contact-induced (multiaxial) stress concentrations, on the other hand. The present work is specifically concerned with gross slip conditions. Figure 17 shows a schematic illustrating these two effects separately and the combined response. Previous experimental, e.g. Jin and Mall [9], and matching computational results, i.e. Madge et al. [10], on the effect of slip (i), have shown that gross slip life can be expected to increase or level off with increasing stress amplitude (i.e. increasing slip), beyond a certain threshold, e.g. \( \tau \delta_{th} \), which is higher than the gross slip threshold. In contrast, the effect of (ii) is clearly to give decreasing life with increasing (substrate) stress amplitude in the gross slip regime, where trailing edge stresses have saturated beyond the gross slip threshold. These two cases represent boundaries of an envelope of possible interactive FF responses, with the more complex curve of Figure 17 showing another possible characteristic response, whereby life initially decreases with reducing stress amplitude, due to pre-dominance of the fretting (surface) effects due to high relative slip, and then increases with further lowering of stress amplitude, due to dominance of effect (i), for example. This more complex effect corresponds to that measured experimentally in this paper for FF, although clearly the FFRF continues to increase with decreasing stress amplitude.

### 3.4 CP and \( J_2 \) predicted fretting fatigue

For the CP model and \( J_2 \) models, nucleated micro-cracks were assumed to propagate normal to the substrate surface, using the crack propagation methodology described above. This is consistent with the experimental observations whereby the FF cracks were found to propagate at between \( 70^\circ \) and \( 110^\circ \) to the substrate surface. Sample predicted near-surface distributions of the relevant stresses driving micro-crack propagation are shown in Figure 18 for both the \( J_2 \) and CP fretting models. Negative \( R \) ratio values are predicted near the contact surface. Therefore, the micro-cracks are subject to compressive loading at some point during the cycle. Figure 19 illustrates the predicted micro- to macro-crack propagation across a range of \( \sigma_{amp} \) values for the CP fretting model (SET 1 orientation distribution). The critical failure length, based on experimental observations, is taken to be \( \approx 1.5 \) mm. In contrast to the PF situation described above, a significant proportion of fretting specimen life involves crack propagation. The predicted initiation \( \left( N_i \right) \) and total \( \left( N_i + N_{SCG} + N_p \right) \) lives for the \( J_2 \)-SWT and CP microstructure-sensitive approaches (SET-1) are compared in Figure 20. Comparison
with the CP- and $I_2$ SWT predictions for PF results in a CP-predicted (mean) FFRF of about 2.15 and a value of 1.5 for the $I_2$ SWT approach. The higher CP prediction is more consistent with the measured values of Figure 16 particularly at the higher stress levels. The CP-predicted total life for SET 1 in Figure 20 is accurate (relative to the test data) for the two highest stress levels; for the third highest stress level, the CP over-predicts life (non-conservative), while for the lowest stress level, the CP under-predicts life relative to the test result. In contrast, the $I_2$ SWT approach under-predicts for the two highest stress levels, over-predicts for the third highest level (but is closer than the CP model) and over-predicts for the lowest stress level, by significantly more than the CP model. Hence, in terms of accuracy, the CP model is superior to the $I_2$ SWT approach at three of the four stress levels and, as shown below, the inferior performance of the CP model for the other stress level is directly attributable to the particular random grain orientation of SET 1; a different grain orientation for the CP-predicted crack nucleation location can give either a higher or lower life, thus reconciling the discrepancy with the test result. In terms of engineering design requirements for conservatism, it can be argued that the $I_2$ SWT approach is superior, due to being conservative in three cases and only slightly non-conservative in the fourth case. In terms of computational overhead, one CP fretting analysis required ~972 CPU hours (on a 36-core high-performance processor) whereas the $I_2$ fretting analysis only required ~11 CPU hours on a 4-core computer. Each CP unit cell analysis required ~18 CPU hours on a 4-core computer.

3.5 Effect of random orientation distribution on crack nucleation

Figure 21 shows a comparison of (i) the CP-$p_{\text{cr}e}$ predictions (for all five distributions of random orientations) of FF crack nucleation life, (ii) the $I_2$-SWT FF crack nucleation life and (iii) the measured FF test total (nucleation and propagation) life, versus stress amplitude. The result of the crystallographic orientation study carried out using the five sets of randomly generated orientations assigned to each grain in the FE model are used to quantify scatter. It is clear that, although the $I_2$ model does predict a significant effect of fretting (FFRF > 0) relative to PF life, it predicts a negligible effect of fatigue stress amplitude, whereas the experiments and the CP model predict discernible effects of stress amplitude. The lack of sensitivity of the $I_2$ model to stress amplitude, and hence slip amplitude, is entirely consistent with the anticipated result, due to the fact (e.g. see Madge et al. [10]) of saturation of shear traction (the key driving factor for trailing-edge tensile stresses) above the gross slip threshold, regardless of slip amplitude. The trailing-edge tensile stresses are, in turn, the key driving factor for FF crack nucleation. In contrast, it is clear that the typical CP response (e.g. SET 1) does predict both a significant effect of fretting and an effect of stress amplitude which captures the general measured trend of increasing life with decreasing stress (the exception here is at 202.5 MPa, where the fretting test gives a reduction in life with decreasing stress; this is discussed further below). An important observation from the CPFE results of Figure 21 is the large range of CP predicted results. The CP-predicted $\sigma_{\text{cr}}$ ratio for FF nucleation is between 0.8 to 1.2, depending on stress level; this is significantly larger than the corresponding PF ratio, which indicates a prediction of significantly greater microstructure (grain orientation) sensitivity for FF than for PF. This is attributed here to the
small $a_0/d$ ratio (small number of grains in the contact region) and $\delta/d$ ratio (small contact slip to grain size ratio) and the assumed random orientation of these grains. This will, of course, make the crack nucleation response of trailing-edge material highly dependent on (random) grain orientation, hence leading to a significantly larger micro-structure sensitivity of FF crack nucleation. Indeed, the highly localised concentrations of $p_{cyc}$ in the small number of contact region grains suggests that computational efficiency could be significantly enhanced by embedding a reduced CP region (consisting only of grains in the contact region) in a larger homogeneous $J_2$ region. This approach would all potentially allow for the modelling of realistic grain geometries and 3D grains (McGarry et al. [45]) and for the simulation of crack propagation using the extended finite element method (Feerick et al. [46]). Additionally, as shown in the current study, grain orientation significantly affects the prediction of fretting fatigue. Given the critical contribution of plastic slip in a small number of grains at the specimen surface, experimental characterisation of the actual orientation of these grains could be performed in future studies e.g. You et al. [47], significantly improving on the accuracy of the CP predictions.

The following observations are made in relation to the individual CP random orientations:

1. The predicted effect of random orientation distribution on nucleation life is significant, with SET 3 and SET 4 giving the lowest predicted lives and SET 1 and SET 2 giving the highest predicted lives (depending on stress amplitude).

2. The differences in stress-life responses for each random distribution of grain orientations is also quite significant; SET 3 and SET 5 show weak sensitivity to stress level, akin to the $J_2$ SWT response. The SET 5 response is also quantitatively close to the $J_2$ SWT model. In contrast, SET 1 gives a strong sensitivity to stress level while SET 4 shows a medium sensitivity to stress level.

3. The (nucleation) stress-life response of SET 2 shows a qualitatively similar trend (although less pronounced) with respect to stress level (and hence relative slip level) to the measured (total) life response. Specifically, there is an overall increase in life with decreasing stress level, but there is a decrease in life between 213.75 MPa and 202.75 MPa.

The test results for each stress level shown in Figure 21 have been obtained from different test specimens. The grain distributions in each test specimen are different. For the purposes of comparison with the test results, it is reasonable to consider different random distributions of grains for different stress levels (specimens). Hence, by observation of the effect of grain orientation distribution on CP-predicted nucleation stress-life response, Figure 22 shows a curve entitled ‘selected random’ which assumes SET 2, SET 1, SET 5 and SET 2, respectively, for the four test stress levels in descending order. This curve has an identical trend of stress-life response to the measured test data, thus demonstrating the ability of the CP-$p_{cyc}$ approach to represent complex (measured) FF slip-stress-life interaction relationships. Furthermore, both the $J_2$ SWT and mean CP-$p_{cyc}$ responses, shown in Figure 22, appear to be good approximations to a mean response for this curve.
3.6 CP-predicted wear

Of course, an additional key challenge in relation to FF life prediction, is the development of a fundamental, micro-scale plasticity methodology for wear prediction, without recourse to measured wear profiles to identify wear coefficients, e.g. see (Fouvry et al. [48] and Ding et al. [49]), as input to wear simulation tools for material removal. As discussed in Section 2.8, the CP-$p_	ext{cyc}$ methodology for micro-crack prediction provides a basis for this, the wear mechanism being identified as a distributed micro-cracking process. This methodology has been applied here to the 225 MPa stress amplitude FF test to predict a two-dimensional wear scar. Figure 23 shows a comparison of this wear scar with a sample measured profilometry trace from the same test, after 56,669 cycles. The Archard wear coefficient is given as:

$$k = \frac{V}{PS}$$

(21)

where $k$ is the wear coefficient, $V$ is the measured wear volume, $P$ the normal load and $S$ is the total sliding distance. It is instructive to identify this wear coefficient from the CPFE wear prediction. In order to identify a value based on the measured wear scar, it is necessary to estimate relative slip, which has not been measured here. The CPFE predicted slip is used here for this purpose. The resulting ‘experimental’ value is $5 \times 10^{-8}$ MPa$^{-1}$ while the CPFE-predicted wear coefficient of $0.5 \times 10^{-8}$ MPa$^{-1}$. A value of $1.5 \times 10^{-8}$ MPa$^{-1}$ was reported by Hutchings for stainless steel [50]. Clearly, the CPFE wear coefficient is in reasonable agreement, given the level of uncertainty around wear coefficient estimation (e.g. Ratsimba et al [19]). Some additional observations can be made in relation to this CPFE-predicted wear result:

1. It is interesting to observe the W-shaped geometry of the predicted wear scar. Measured gross slip fretting wear scars are commonly U-shaped but some authors have observed W-shaped scars for gross slip conditions, e.g. [51], [52] and [53]. However, existing prediction methods for wear scar shape in gross slip can only predict a U-shape. Mohd Tobi et al. [51] presented a detailed analysis of the interaction between $I_2$ plasticity and wear. It was shown that that the characteristics of predicted plastic strain and SWT damage accumulation are significantly different for the gross sliding and partial slip cases and that the differences can be correlated with the observed differences in surface morphology and surface cracking. It was also shown that the location of plasticity due to shear yielding at the surface promotes wear across the contact region for gross sliding and at the stick-slip interface for partial slip. It was furthermore observed that the predicted accumulated effective plastic strain evolutions produced W-shaped trends which corresponding to the measured wear profiles. The W-shape plastic strain distributions were induced by the simulation of wear-induced material removal and were interpreted to correspond to the ‘ploughing’ effect. Similar observations can be made with regards Figure 13 (a) and Figure 14 (a) where distinct peaks in accumulated plastic slip produce comparable distributions to those in [51]. The importance of plasticity effects within fretting is highlighted by the fact that the Archard based wear simulation presented in [51], which is dependent on pressure and slip, always produces U-shaped wear scars and is not capable of capturing the localised plasticity wear effects observed experimentally. It is argued in this work that wear is a micro-cracking process and the accumulated
plastic slip distributions are analogous to fretting wear and delamination observed in Mohd Tobi et al. [51] and in the current experimental work [18]. The presence of unfavourably orientated grains within the wear scar region may also explain W-shaped scars. These "harder" grains have not experienced the same amount of accumulated plastic slip as a result of slip system orientation.

2. The hypothesis that CP can be used to predict, and hence simulate, wear, appears to have greater validity for very early numbers of cycles, where plasticity phenomena of surface asperities (e.g. see Mulvihill et al. [54]) can be expected to dominate wear and friction behaviour. However, it is difficult to measure wear for small numbers of cycles, due to competing length-scales of surface roughness and early wear damage; similarly, in the present work, it has only been possible to compare the CPFE wear prediction to a measured wear scar after a large number of cycles. It follows that CPFE wear predictions may show a greater degree of success if extended to explicitly simulate material removal and with inclusion of surface roughness geometry, i.e. using $p_{exc}$ as a material removal (wear) parameter in approach otherwise similar to that of Ding et al. [55]

3. Multiple experimental wear profiles taken at different transverse locations through the fretting specimen show similar W-shaped distributions of wear for a gross slip situation for the same loading conditions. It is therefore possible to assume that if a three dimensional CP model was implemented here, similar wear profile distributions would be evident at different transverse locations throughout the thickness of the FE substrate. The microstructure-sensitive damage approach clearly demonstrates the ability to predict W-shaped wear scars and would be further enhanced by measurement of the microstructure with respect to grain orientation. This gives further evident to suggest that the present microstructure-sensitive model has a superior ability to realistically capture the experimental behaviour of FF, as compared to macro-scale plasticity models.

4 Conclusion
The key conclusion is that grain orientation is shown to have a significant effect on the fretting fatigue performance of a laboratory arrangement, as compared to plain fatigue performance. In design for gross-slip, particularly with a view to quantification of microstructure sensitive scatter, it is critical to consider the ratios of contact width and slip amplitude to grain size. For reliable prediction of number of cycles to fretting fatigue crack nucleation and hence life, a micro-structure sensitive framework is required. This is particularly so when the latter ratios are small, i.e. large grain size relative to contact and non-uniform slip region sizes. It was shown that consideration of random orientation in such a microstructure-sensitive framework facilitated interpretation and representation of the complex interaction effects of relative slip (fretting, surface damage) and fatigue (subsurface) damage with respect to stress amplitude effect on fretting fatigue (nucleation) life. Furthermore, the proposed microstructure-sensitive approach was shown to have the ability to predict, from a fundamental, plasticity basis, reasonably realistic wear scars, including, in particular, a W-shaped scar, which is consistent with commonly-observed shapes, and not achievable by macro-scale plasticity.
5 References


6 Tables

Table 1. Identified CP constitutive constants for cyclic behaviour of 316L SS.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$h_0$</td>
<td>10.5 GPa</td>
</tr>
<tr>
<td>$g_\infty$</td>
<td>207.84 MPa</td>
</tr>
<tr>
<td>$g_0$</td>
<td>82.68 MPa</td>
</tr>
<tr>
<td>$\dot{\alpha}$</td>
<td>0.0023s$^{-1}$</td>
</tr>
<tr>
<td>$n$</td>
<td>30</td>
</tr>
</tbody>
</table>

Table 2. Elastic and NLKH $J_2$ plasticity constants [20].

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$E$</td>
<td>213 GPa</td>
</tr>
<tr>
<td>$\nu$</td>
<td>0.32</td>
</tr>
<tr>
<td>$k$</td>
<td>300 MPa</td>
</tr>
<tr>
<td>$C$</td>
<td>30000 MPa</td>
</tr>
<tr>
<td>$\gamma$</td>
<td>60</td>
</tr>
</tbody>
</table>
Table 3. Fatigue constants corresponding to and identified for 1.2 μm nucleation crack length.

<table>
<thead>
<tr>
<th>Constant</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\sigma_f'$</td>
<td>869.4 MPa</td>
</tr>
<tr>
<td>$\varepsilon_f'$</td>
<td>0.54</td>
</tr>
<tr>
<td>$b$</td>
<td>-0.12</td>
</tr>
<tr>
<td>$c$</td>
<td>-0.495</td>
</tr>
<tr>
<td>$a_0$</td>
<td>59.5 μm</td>
</tr>
<tr>
<td>$\Delta K_{th}$</td>
<td>5.81 MPa m$^{0.5}$</td>
</tr>
<tr>
<td>$\sigma_f$</td>
<td>190 MPa</td>
</tr>
<tr>
<td>$C$</td>
<td>$2.0 \times 10^{-10}$</td>
</tr>
<tr>
<td>$m$</td>
<td>1.9</td>
</tr>
<tr>
<td>$p_{crit}$</td>
<td>37.73</td>
</tr>
</tbody>
</table>

Table 4. Tabulated data of the experimental results for both plain and fretting fatigue over a range of $\sigma_{amp}$ values ($R = 0.1$). On average a fretting fatigue reduction factor of 3.5 is observed relative to the plain fatigue results.

<table>
<thead>
<tr>
<th>Test</th>
<th>Test type</th>
<th>$\sigma_{amp}$</th>
<th>$N_f$</th>
<th>FFRF</th>
</tr>
</thead>
<tbody>
<tr>
<td>1, 2</td>
<td>PF</td>
<td>225</td>
<td>102284, 112108</td>
<td></td>
</tr>
<tr>
<td>3, 4</td>
<td>PF</td>
<td>213.75</td>
<td>113796, 177862</td>
<td></td>
</tr>
<tr>
<td>5, 6</td>
<td>PF</td>
<td>202.5</td>
<td>160816, 279580</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>PF</td>
<td>191.25</td>
<td>Run-off</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>FF</td>
<td>225</td>
<td>56669</td>
<td>1.8 – 2.0</td>
</tr>
<tr>
<td>9</td>
<td>FF</td>
<td>213.75</td>
<td>59780</td>
<td>1.9 – 3.0</td>
</tr>
<tr>
<td>10</td>
<td>FF</td>
<td>202.5</td>
<td>35091</td>
<td>4.6 – 8.0</td>
</tr>
<tr>
<td>11</td>
<td>FF</td>
<td>191.25</td>
<td>245806</td>
<td>&gt;12.2</td>
</tr>
</tbody>
</table>

Note: PF = plain fatigue; FF = fretting fatigue; FFRF range based on repeat PF tests.
Table 5. Mean and standard deviation of angle $\Theta$ (degrees) to the X axes for five sets of random orientations.

<table>
<thead>
<tr>
<th></th>
<th>SET-1</th>
<th>SET-2</th>
<th>SET-3</th>
<th>SET-4</th>
<th>SET-5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean</td>
<td>81.6</td>
<td>91.9</td>
<td>89.9</td>
<td>88.8</td>
<td>88.1</td>
</tr>
<tr>
<td>Stdev</td>
<td>38.8</td>
<td>40.6</td>
<td>39.3</td>
<td>36.0</td>
<td>34.2</td>
</tr>
</tbody>
</table>

Table 6. Initial ($N=0$) contact semi widths for analytical and FE models.

<table>
<thead>
<tr>
<th>Contact semi width, $a_o$ (µm)</th>
<th>Hertzian</th>
<th>CPFE</th>
</tr>
</thead>
<tbody>
<tr>
<td>$I_1$</td>
<td>33</td>
<td>36</td>
</tr>
<tr>
<td>$I_2$</td>
<td></td>
<td>36</td>
</tr>
</tbody>
</table>
# Figures

![Diagram](image)

Figure 1. (a) Running condition and (b) material response maps.
Figure 2. Schematic illustration on the effect of slip amplitude on fatigue life and wear (after Vingsbo and Soderberg [8]).
Figure 3. Schematic highlighting the ratio of grain size $d$ to contact width $2a_0$ and slip amplitude $\delta$. 

\[ d \]

\[ 2a_0 \]
Figure 4. Plane strain unit cell FE model for CP uniaxial simulations of 316L SS: (a) an assumed regular hexagonal grain shape and grain size dimension, $d$; see inset, (b) a Voronoi tessellation mesh of the microstructure, and (c) a square grain mesh.
Figure 5. Simple schematic highlighting the fatigue specimen clamped between the fretting feet and cyclically loaded ($\sigma_{app}$) within the servo hydraulic testing machine along with the load history.
Figure 6. Plan view of an optical image of a typical fretting wear scar highlighting the failure at the trailing edge of contact.
Figure 7. Simple schematic highlighting the angle of orientation to the global axes, (a) non-orientated crystal lattice, (b) angle $\phi$ to the Z axis, (c) angle $\psi$ to the Y axis and (d) angle $\Theta$ to the X axis.
Figure 8. Histograms representing the initial orientations of the crystal grains to the X, Y and Z global axis for the entire CP region of SET 2.
Figure 9. (a) Schematic of simply-connected distribution of accumulated plastic slip greater than the critical value for a gross sliding (wear) situation and (b) simple schematic of the CPFE fretting wear predictive methodology for a given number of fretting cycles $N$. 
Figure 10. Predicted CP (SET 1 and SET 2) and $J_2$ fretting model (stabilised) distributions of contact pressure for $\sigma_{amp} = 213.75$ MPa.

Figure 11. Predicted CP (SET 1 and SET 2) and $J_2$ fretting (stabilised) distributions of contact pressure for $\sigma_{amp} = 213.75$ MPa.
Figure 12. Predicted effect of fatigue stress amplitude on distributions of relative (contact) slip for CP (SET 1) and $I_2$ fretting models.
Figure 13. Predicted CP (SET 1 and SET 2) $p_{cyc}$ and $I_2$ FIP distributions across the contact surface for $\sigma_{amp} = 213.75$ MPa.
Figure 14. Predicted effect of fatigue stress amplitude on stabilised (after 6 fretting cycles) contact distributions of (a) $P_{\text{cyc}}$ (SET 1) and (b) SWT.

(a) CP model

(b) $J_2$ model
Figure 15. Comparison between experimental and CP based predictions for number of cycles to crack initiation, $N_i$ for plain fatigue.
Figure 16. Measured effect of stress amplitude on fretting fatigue reduction factor.
Figure 17. Schematic illustration of the effects of fretting, fatigue and fretting-fatigue interaction in terms of the characteristic stress-life material response.
Figure 18. Predicted distributions of $\Delta \sigma_{xx}$ and $\Delta \sigma_{xy}$ stress gradients with depth into substrate (fatigue specimen) for (a) the CP model (SET 1) and (b) the $I_2$ model at $\sigma_{amp} = 225$ MPa.
Figure 19. Predicted effect of fatigue stress amplitude ($\sigma_{amp}$) on crack propagation for the CP model (SET 1).
Figure 20. Comparison of predicted initiation and total lives with experimental total lives for (a) CPFE model (SET 1) and (b) $J_2$ SWT model.

Figure 21. Comparison of the fretting fatigue test data against the CP crack initiation predictions for 5 different random orientation sets and the $J_2$ SWT initiation predictions
Figure 22. Comparison of the selected random orientations, mean CP and the $J_2$ SWT initiation predictions against the fretting fatigue test data.
Figure 23. Comparison of CPFE (SET 1) predicted and sample measured wear profile after 56,669 cycles at $\sigma_{amp} = 225$ MPa.