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Donor bone marrow derived dendritic cells prolong corneal allograft survival and

promote an intragraft immunoregulatory milieu.

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Running title: Donor derived BMDCs promote corneal allograft survival.

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Abstract

Investigations into cell therapies for application in organ transplantation have grown. Here, we describe the ex-vivo generation of donor bone marrow derived dendritic cells (BMDCs) and glucocorticoid treated BMDCs with potent immunomodulatory properties for application in allogeneic transplantation. BMDCs were treated with dexamethasone (Dexa) to induce an immature, maturation resistant phenotype. BMDC and Dexa BMDC phenotype, antigen presenting cell function and immunomodulatory properties were fully characterised. Both populations displayed significant immunomodulatory properties, including but not limited to, a significant increase in mRNA expression of programmed death-ligand 1 and indoleamine 2,3-dioxygenase. BMDCs and Dexa BMDCs displayed a profound impaired capacity to stimulate allogeneic lymphocytes. Moreover, in a fully MHC I/II mismatched rat corneal transplantation model, injection of donor derived untreated BMDC or Dexa BMDCs (1x10⁶ cells, day -7) significantly prolonged corneal allograft survival without the need for additional immunosuppression. Although neovascularisation was not reduced, a significant reduction in the level of allograft cellular infiltration, a significant increase in the ratio of intragraft FoxP3 expressing regulatory cells and evidence of a donor specific allo-antibodies response were observed. Our comprehensive analysis demonstrates the novel cellular therapeutic approach and significant effect of donor derived untreated BMDCs and Dexa BMDCs in preventing corneal allograft rejection.

Introduction

Dendritic cell (DC) biology has greatly evolved since DCs were first identified and described by Steinman et al. in 1973 ¹. It is now well accepted that DCs derived from bone marrow hematopoietic stem cells are not only potent immune inducers, linking innate and adaptive immunity but they are also essential for the induction and maintenance of tolerance ². DCs are important modulators of T cell phenotype and function, providing the signals required for T cells to become fully activated effector or regulatory cells ³. All of these DC functions are dependent on the phenotypical state and the immunological environment within which the DCs find themselves ². In recent years manipulation of DC maturation, by altering the expression level of MHCII and costimulatory molecules, has been investigated by treating DCs with various cytokines or pharmaceutical agents 4-8, resulting in the generation of immature or regulatory DC phenotypes. This has generated considerable interest within the field of transplantation immunology as presently immunosuppressive drug therapy is the main rejection prophylaxis, with which harmful toxic side effects are associated. Accordingly, modified DC therapies for the promotion of allograft survival are an attractive and promising alternative. Administration of immature regulatory DCs has been investigated with varying degrees of efficacy in multiple transplantation models and it has become evident that the role of DCs in the immune response and allograft rejection is complex and dependant on a variety of factors including the source (recipient or donor) of the DCs, the nature of the DCs, the level of maturation, the environment in which the DCs become activated and the model within which the DCs are administered 9-12. Recently in models of islet and skin transplantation it has been demonstrated that pre-treatment of donor derived tolerogenic DCs may be linked to an increased risk of sensitisation of the recipient immune system rather than tolerance induction ^{9,13}. Although autologous tolerogenic DCs are being investigated ^{14,15} and preclinical studies with an aim to develop tolerogenic monocyte-derived DC for a clinical application have begun ¹⁶ a comprehensive analysis of the mechanisms involved and characterisation of both autologous and donor derived DC mediated tolerance induction is required.

DC application in corneal transplantation remains relatively uninvestigated and only recently it was demonstrated that regulatory donor DCs suppress the indirect pathway of allosensitization in corneal transplantation, an important observation for the development of cell therapies for corneal transplantation as the cornea is the most commonly transplanted tissue ^{8,17}. The eye is described as an immune privileged organ, though this privilege is in a dynamic rather than a static state as not all corneal allografts succeed in humans or in experimental animals ¹⁸. Although corneal transplantation is a relatively risk free uncomplicated procedure with 90% survival within the first year post transplantation, the five year prognosis is similar to that of renal, liver or cardiac allografts with rejection remaining as the main cause of allograft failure ¹⁹. The application of *ex-vivo* generated Dexa treated BMDCs has not been demonstrated, to our knowledge, in corneal transplantation.

We hypothesised that administration of donor derived Dexa BMDCs will promote corneal allograft survival. To test this hypothesis in the present study we have fully characterised the phenotype and immunomodulatory properties of Dexa BMDCs in both quiescent and inflammatory conditions with untreated BMDCs serving as a control. We also investigated the administration of both donor untreated BMDCs and Dexa treated BMDCs to promote corneal allograft survival, obviating the need for immunosuppression. We aimed to describe and characterise in detail the local immune environment at the level of both the graft and the draining lymph nodes (LNs) as a result of these cell therapies.

In our allogeneic corneal transplant model our results clearly demonstrate that both donor untreated BMDCs and Dexa BMDCs significantly prolong corneal allograft survival, which appears to be mediated by the generation of a protective, regulatory microenvironment within the graft but, significantly, also in the draining LNs. In contrast, recipient-derived, alloantigenpulsed BMDCs do not promote graft survival. Although unresponsiveness to donor antigen in the periphery appears not to be induced after treatment with donor untreated BMDCs or Dexa BMDCs, nevertheless the corneal allograft remains protected and is not rejected. To our knowledge, our results collectively demonstrate for the first time, the efficacy of donor untreated BMDCs and Dexa BMDC treatment in a fully MHC mismatched rodent corneal allograft model and details the level and phenotype of infiltrating immune cell populations and immune microenvironment within the graft and draining LNs of corneal allograft accepting recipients.

Results

Phenotypical and functional characterisation of ex-vivo generated BMDCs and Dexa BMDCs.

Bone marrow cells were differentiated in the presence of rat GM-CSF and IL-4 (5ng/ml respectively). For dexamethasone (Dexa) treated cultures a final concentration of 10⁻⁶M of the glucocorticoid was added to the culture every other day from day 4. The phenotype of BMDCs was analysed by flow cytometry on day 10. Gating on the CD11b/c+ population, the percentage expression levels of MHCII and the co-stimulatory molecules CD80 and CD86 indicated a semi-mature BMDC phenotype (Fig. 1a). Treatment of BMDCs with Dexa resulted in a significant reduction in the expression level of these maturation markers (Fig. 1a). To investigate expression of co-stimulatory molecules under inflammatory conditions, semimature BMDC cultures were stimulated for 24hrs with LPS (1µg/ml) and analysed for expression of MHCII, CD80 and CD86. Results illustrated that LPS stimulated Dexa BMDCs had a significantly lower level of expression of these maturation molecules compared to LPS stimulated BMDC cultures. Expression of these maturation markers did not change significantly from unstimulated Dexa BMDCs, indicating that this DC population was maturation resistant (Fig.1a). Supernatants from day 10 cultures of unstimulated and LPS stimulated (24hrs) BMDC and Dexa BMDC cultures were analysed for the presence of the cytokines TNF-α and IL-10 (Fig. 1b). LPS stimulated Dexa BMDCs produced significantly higher amounts of IL-10 and lower amounts of TNF-α compared to BMDCs (Fig. 1b). Supernatants from both BMDC and Dexa BMDC cultures demonstrated activity of the immunosuppressive molecule nitric oxide (NO) as measured by NO₂⁻ levels, with Dexa BMDC supernatants containing significantly higher levels of NO₂⁻ (Fig. 1b). A detailed examination of BMDC and Dexa BMDC immunomodulatory molecule, cytokine, chemokine and TLR mRNA expression profile by RT-PCR for day 10 unstimulated and LPS stimulated cultures revealed that unstimulated and stimulated BMDCs express significantly higher levels of programmed death-ligand 1 (PD-L1) and inducible nitric oxide synthase (iNOS) compared to that of Dexa BMDCs (Fig. 1b and S1b respectively). mRNA expression of indoleamine 2,3dioxygenase (IDO), a tryptophan degrading enzyme, was significantly higher in stimulated Dexa BMDC cultures compared to BMDCs (Fig.1b). In summary, these results indicate that ex-vivo generated BMDCs display a semi-mature phenotype and only fully mature under inflammatory conditions. In contrast, Dexa BMDCs display an immature, maturation resistant phenotype which is retained even under inflammatory conditions. Our results also demonstrate that BMDCs and Dexa BMDCs not only differ significantly in phenotype and expression of immunomodulatory molecules but they may employ different mechanisms immunosuppression. To evaluate the functional properties of ex-vivo generated BMDCs and Dexa BMDC we analysed their capacity to phagocytose and process antigen using a DQ OVA assay (Fig. S1e). We also assessed the allostimulatory capacity of BMDCs and Dexa BMDCs in an allogeneic setting. T cell proliferation assays which compared freshly isolated mature donor (DA) Ox62+ DCs to both BMDCs and Dexa BMDCs demonstrated that BMDCs and Dexa BMDCs have a reduced capacity to induce allogeneic (LEW) lymphocyte proliferation (Fig. 1c). Moreover, there was a trend towards reduced expression of the T cell activation marker CD25 and higher FoxP3 expression in BMDC and Dexa BMDC stimulated cultures (Fig. S1f). Finally, the immunosuppressive potential of BMDCs and Dexa BMDCs was examined in LEW lymphocyte cultures stimulated with allogeneic DA Ox62+ DCs, which were significantly suppressed with the addition of allogeneic DA BMDCs or Dexa BMDCs

(Fig. 1c no significant difference between BMDCs and Dexa BMDCs). Taken together, our results indicate that *ex-vivo* generated BMDC and Dexa BMDCs are functionally active antigen presenting cells (APCs) with a profound capacity to modulate allogeneic immune responses.

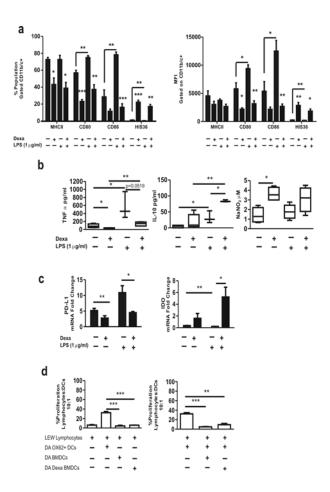


Figure 1. Phenotypic and functional characterisation of immunomodulatory ex-vivo generated BMDCs and Dexa BMDCs. BMDCs were differentiated with GMCSF and IL-4 (5ng/ml, respectively) for 10 days in the absence or presence of Dexa (10^{-6} M added to the culture on day 4). (a) Mean percentage and MFI of MHCII, CD80, CD86 and HIS36 expression (examined to monitor the presence of contaminating macrophage but known to be increased with glucocorticoid treatment within the CD11b/c+ population 50), BMDCs cultures were stimulated with LPS (1μ g/ml, 24hrs) (mean \pm SEM n=3 experiments *p≤0.05 two-tailed

Student's t-test). (b) Supernatants of BMDCs and Dexa BMDC cells were analysed by ELISA for production of TNF- α , IL-10 and by Griess assay to measure nitrite (NO₂⁻) production, before and after LPS stimulation (mean \pm SEM *p \leq 0.05 and **p \leq 0.01 two-tailed Student's t test n=3-6). (c) mRNA expression (normalised to β -actin and fold change relative to Ox62+DCs) of immunomodulatory molecules PDL-1, IDO (mean \pm SEM *p \leq 0.05, **p \leq 0.01 and ***p \leq 0.001 two-tailed Student's t test n=3). (d) DA BMDCs and Dexa BMDCs co-cultured with allogeneic LEW lymphocytes have a reduced stimulatory capacity and an immunosuppressive capacity, illustrated by the reduction in percentage proliferating CFSE labelled lymphocytes stimulated (10:1) with Ox62+ DC in the presence of (10:1 lymphocyte:BMDC) BMDCs or Dexa BMDCs (representative graph of 4 independent experiments mean \pm SEM *p \leq 0.05, **p \leq 0.01 and ***p \leq 0.001 two-tailed Student's t test).

Ex-vivo generated donor derived untreated BMDC and Dexa BMDC cell therapies prolong corneal allograft survival.

Next we assessed the capacity of Dexa BMDCs (and control untreated BMDCs) to modulate allogeneic immune responses in-vivo in a fully allogeneic corneal transplantation model (DA (donor)/LEW (recipient)). Prior to corneal transplantation (day -7), recipient LEW rats received an intravenous (i.v.) injection of donor untreated BMDCs or Dexa BMDCs ($1x10^6$ cells). In untreated animals receiving allogeneic corneal grafts, transplants were rejected uniformly with a mean survival time (MST) \pm s.d. of 18 ± 1.57 days. In contrast, significant prolongation of corneal allograft survival was observed in transplanted animals receiving donor Dexa BMDC (MST ≥ 30 days). Interestingly, this was also achieved in animals receiving donor untreated

BMDCs (Fig. 2a). While both BMDC treatments resulted in a significant reduction of corneal opacity, corneal neovascularization was not affected by either BMDC injection (Fig. 2b). Clinical evaluation of the corneal allografts by light and slit lamp microscopy followed by histological analysis confirmed a significant reduction in the level of infiltration of inflammatory cells on day 18 (average day of rejection) and on day 30 after transplantation for both treated groups (Fig. 2c,d). Evidence of reduced corneal thickness was observed at day 30 for both treatments (Fig. S2a). In contrast to the therapeutic effect achieved with donor BMDCs, application of Dexa treated syngeneic (recipient derived) BMDCs pulsed with donor alloantigen did not prolong corneal allograft survival (MST 14 ± 7.16 days, Fig. S1g). Our results, therefore, indicate that single i.v. administration of donor derived untreated BMDCs or Dexa BMDCs, without additional immunosuppressive therapies, is sufficient to promote corneal allograft survival.

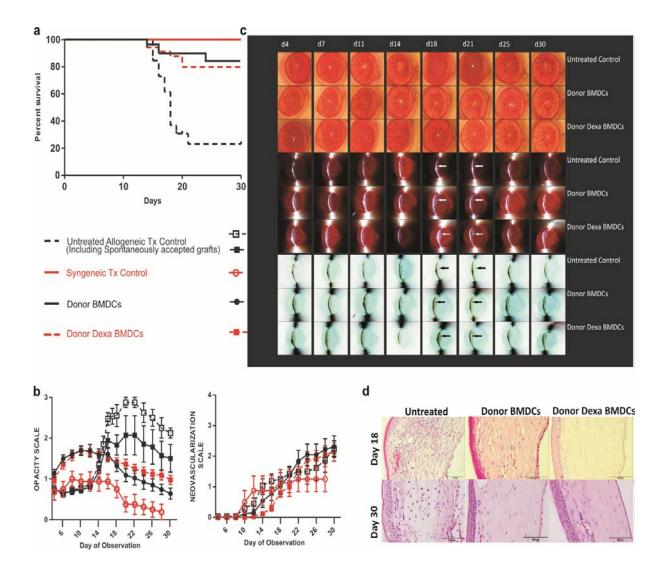


Figure 2. Prolongation of corneal allograft survival with donor derived untreated BMDCs or Dexa BMDC administration. (a) Graft survival curves of allogeneic transplantation (Tx) controls (n=26), syngeneic Tx controls (n=8), donor BMDCs (1x10⁶cells/ml PBS i.v. n=30) and donor Dexa BMDCs (1x10⁶cells/ml PBS i.v. n=34), (Kaplan-Meier survival analysis, n numbers include animals used for experiments in addition to the illustrated survival and opacity analysis above). (b) Opacity scores and neovascularization scores day 4-day 30 of control groups, donor BMDCs and Dexa BMDCs treated groups. (c) Every other day post transplantation, corneal allograft opacity was evaluated by light microscopy, slit lamp and contrast slit lamp images for all groups, arrows indicate slit lamp reflection in iris visible only in donor BMDCs and Dexa BMDCs treated groups. (d) H&E stained section of the cornea also

illustrate a reduction in corneal allograft cell infiltration which was evident for both BMDC and Dexa BMDC treated groups at day 18 and day 30 points (n=2-5 per group).

Investigation into the mechanism of untreated BMDC and Dexa BMDC mediated prolongation of corneal allograft survival.

To further characterise untreated BMDC and Dexa BMDCs mechanism to promote survival of corneal allografts, we examined the phenotype of the cell populations infiltrating the allograft and in secondary lymphoid organs by flow cytometry and RT-PCR. As expected, the significantly reduced corneal opacity levels correlated with a significant reduction in the absolute number of cells isolated from corneal allografts for both treatments (Fig. S2c). A significant reduction in the frequency of activated T cells (CD4+CD25+) was observed in both treated groups (Fig.3b). There was also a significant increase in the percentage of intragraft regulatory CD4+FoxP3+ cells within the Dexa BMDC treated group and an overall significant increase in the ratio of FoxP3+ regulatory T cells to CD4+CD25+ activated T cells in both treated groups (Fig. 3b). The absolute numbers of CD11b/c+ cells (monocyte/ macrophage/ DCs) were reduced in BMDC and Dexa BMDC groups, however, both treatments resulted in a significant increase in the frequency (percentage population) of CD11b/c+ MHCII+CD86+ dendritic cells present in the graft (Fig. 3c). A significant reduction in the total number of B cells (CD45RA+) in the cornea and a trend towards a reduced frequency and total cell number of activated NKT (CD3+CD8+CD161++) and activated NK cells (CD3-CD8+CD161++) for both treated groups was also observed (Fig. 3d). Results of cytokine RT-PCR analysis revealed a significant reduction in the mRNA expression levels of IL-6 and IL-1β for both treated groups

within the corneal graft (Fig. 3e). IFN-γ mRNA expression was also significantly reduced in Dexa BMDC group (Fig. 3e). We also detected a profound increase in the level of IDO mRNA expression in the corneal graft. Interestingly, PD-L1 mRNA expression was significantly reduced and no detectable changes in IL-10 mRNA levels for both BMDC and Dexa BMDC treated groups (Fig. 3e) were observed. The secondary lymphoid organs (ipsilateral draining LNs and the spleen (data not shown)) were collected from grafted animals and analysed (Fig. S2d-f). Results indicated that there was a trend towards a reduction in CD4+CD25+ T cells in the draining LNs and an increased ratio of regulatory CD4+FoxP3+ cells (Fig. S2e). Upon investigating the mRNA expression of immunomodulatory molecules in the draining LN, a profound increase in the level of IDO mRNA expression and a significant increase in FoxP3 mRNA was also detected for both treated groups (Fig. S2f). In summary, our results indicate that administration of donor untreated BMDCs and Dexa BMDCs promotes an immunoregulatory microenvironment within the corneal allograft itself and the draining LNs resulting in prolongation of graft survival.

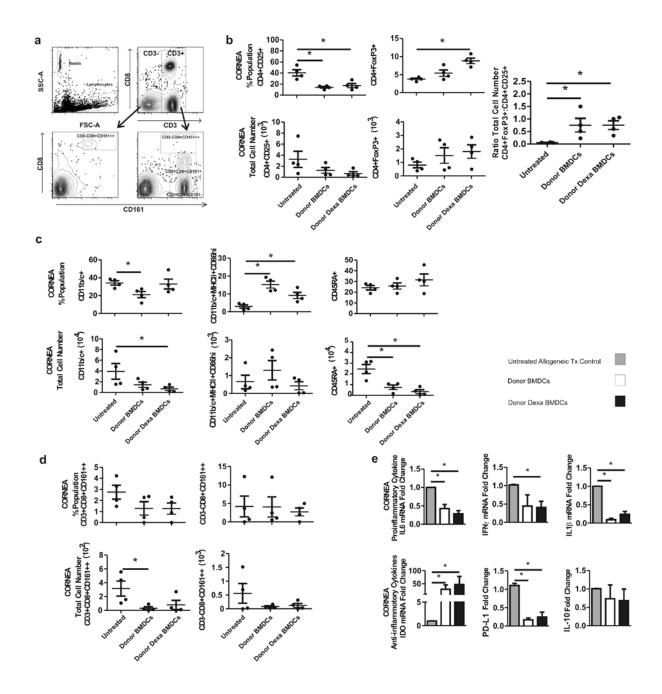


Figure 3. Both untreated BMDC and Dexa BMDC administration result in a reduction in percentage and absolute number of graft infiltrating cells and an increased ratio of intragraft FoxP3+ expressing cells. (a) Gating strategy for corneal cell infiltrating analysis. (b) The corneal infiltrating population of activated Tcells (CD4+CD25+) and regulatory Tcells (CD4+FoxP3+) were analysed looking at percentage cell population, total cell number. The intragraft ratio of regulatory CD4+FoxP3+ T cells to activated CD4+CD25+ T cells was also analysed (mean \pm SEM *p \leq 0.05 two-tailed Mann-Whitney test n=4 per group). (c) Infiltrating

population of APCs (CD11b/c+), DCs (CD11b/c+MHCII+CD86hi) and B cells (CD45RA) were evaluated, as were (d) activated NKT (CD3+CD8+CD161++), NK (CD3-CD8+CD161++) (mean \pm SEM *p \leq 0.05 two-tailed Mann-Whitney test n=4 per group). (e) mRNA analysis of intragraft cytokine expression (normalised to β-actin, fold change relative to untreated allogeneic Tx controls) for pro-inflammatory cytokines IL-6, IFN-γ and IL-1β and IDO, PD-L1 and IL-10 expression (mean \pm SEM *p \leq 0.05 two-tailed Mann-Whitney test n=4 per group).

Evaluation of peripheral donor specific unresponsiveness and alloantibody production after donor untreated BMDC and Dexa BMDC administration.

To examine the induction of peripheral donor specific unresponsiveness following BMDC and Dexa BMDCs treatment a strategy was devised where grafted animals day 65 – 80 post transplantation were rechallenged with donor antigen (Fig. 4a). Injection of complete mismatched cells to donor and recipient (3rd party Sprague Dawley (CD) rats) which mimics first time exposure to an antigen were used as a control. Detection of fluorescently labelled cells within the circulation was examined for all groups between 15hrs – 4 days post injection (Fig. 4a, b). Both control 3rd party and syngeneic splenocytes were detected in the blood at similar frequencies in all groups. In naïve groups (recipients without corneal transplantation) donor (DA) splenocytes could be detected at similar frequencies to that of 3rd party splenocytes. However, results from recipients treated with donor derived BMDCs and Dexa BMDCs at day 65 – 80 post transplantation which were injected with syngeneic and donor derived splenocyte cell mix, revealed that only the syngeneic cells were detectable 15hrs post injection (Fig. 4b).

This indicated that donor cells were removed from the circulation at a faster rate than that of 3rd party or syngeneic cells in both treated groups compared to that of naïve recipients, thereby showing donor responsiveness. Analysis of cell distribution within LNs, spleen and lung on day 4 post injection was performed (Fig. 4b). It is important to note that 4 days post rechallenge of grafted animals with donor splenocytes the graft itself remained clear and did not reject (Fig. S2h). The re-challenge and subsequent rapid loss of detectable donor splenocytes in the circulation of treated groups indicated the possible presence of donor specific alloantibodies. Analysis of the serum from untreated and BMDC treated transplanted groups (day 4-14 and day 18 post transplantation) for the presence of donor specific alloantibodies revealed significantly higher levels of IgG1 and IgG2 antibodies recognising donor antigen detected in the serum from BMDC treated animals compared to untreated transplanted controls (IgM response for all groups did not significantly differ, Fig.4c). The Dexa BMDC response was significantly lower compared to BMDC treated animals, with the detectable IgG1 and IgG2 response of Dexa BMDC treated animals remaining similar to that of untreated transplanted animals until day 14/18 at which time a significant increase was observed (Fig.4c). To characterise the differences in the donor alloantibody response, we further examined the phenotype of BMDCs and Dexa BMDCs looking at the modifications in the glycome profile of Dexa BMDC cultures compared to untreated BMDCs. We found that Dexa BMDCs (Fig. 4d) had significantly higher expression of α -2,3 linked sialic acids compared to untreated BMDCs after LPS stimulation. Moreover, the α -2,6 sialic linked acid expression, characteristic of a tolerogenic, immature DC, was significantly higher in Dexa BMDC cultures before and after LPS stimulation compared to untreated BMDCs. The differential glycome profile may in part explain the difference observed in antibody production. Furthermore, the donor alloantibody results may explain the results observed in BMDC and Dexa BMDC peripheral clearance of donor splenocytes. Although there were detectable levels of donor specific alloantibodies and a clearance of the injected donor splenocytes, crucially, the grafts themselves remained clear and did not reject suggesting a level of local graft protection (Fig. S2g).

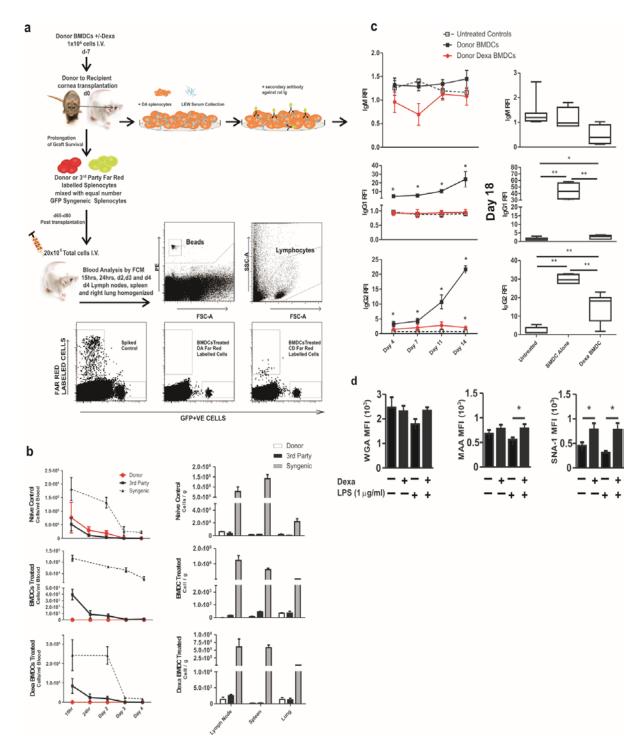


Figure 4. Effects of donor untreated BMDC and Dexa BMDC administration on peripheral donor specific unresponsiveness. (a) Illustration of experimental design for evaluation of tolerance induction (including gating strategy) and alloantibody detection. (b) Day 65-day 80 post transplantation long-term allograft surviving and naïve ungrafted LEW rats were injected i.v. with a total of $20x10^6$ labelled Far Red donor (DA) + GFP syngeneic

(LEW) splenocytes or Far Red 3rd party (CD) + GFP syngeneic cells. Blood samples were collected from naïve ungrafted, BMDC and Dexa BMDC grafted treated groups 15hrs-day 4 post injection and analysed for the detection of labelled cell populations. On day 4 post injection of labelled cells LNs (submandibular, cervical and deep cervical), spleen and lung were also harvested (mean \pm SEM n=2-4 per group). (c) Differential levels of anti-donor antibodies detectable in both BMDC and Dexa BMDC treated groups on day 4 – day 14 post transplantation and day 18 (average time point of rejection), (mean \pm SEM *p \leq 0.05, **p \leq 0.01 two-tailed Mann-Whitney test n=3-7 per group). (d) Differences in expression of cell surface glycans; N-acetylglucosamine, α 2,3 linked sialic acids and α 2,6 sialic linked acids were analysed using plant lectins WGA, MAA and SNA-I (respectively), was also analysed (mean \pm SEM n=3 experiments *p \leq 0.05 one-tailed Student's t-test).

Discussion

It is well accepted that the immune response may be customised to the organ in which the response is initiated, as well as being specialized for the region in which it has to function ²⁰. Others have demonstrated, with mixed efficacy, that the application of donor derived DCs alone/modified in combination with/without additional immunosuppressive therapies results in prolongation and tolerance of allografts, others have also reported priming of the recipient immune response ^{9,10,13,17,21,22}. However, the eye is well-defined as an immune-privileged organ ^{23–25} and thus there may be differences in immune responses within the cornea and draining LNs to donor antigen after i.v. administration of donor derived BMDCs and Dexa BMDCs compared to other transplantation models. We show that application of donor BMDCs leads to

prolongation of corneal allograft survival by inducing an intragraft immunoregulatory environment which favours graft acceptance. To our knowledge, there has not been an in-depth analysis in this model of graft infiltrating cell populations after treatment with donor derived BMDCs or Dexa BMDCs. We employed flow cytometric analysis of graft infiltrating cells ²⁶ and described the local immune cell populations within the allograft and of the draining LNs after BMDC and Dexa BMDC treatment. We chose to examine, as prototypic therapeutic DCs, ex-vivo generated donor derived BMDCs treated with Dexa. This treatment protocol resulted in cells with a maturation resistant cell phenotype (ensuring BMDCs remain in a continued immature state upon injection) capable of modulating an allogeneic lymphocyte response with an efficacy comparable with previously described immature, tolerogenic DCs ^{4,5,7,17}. Our lymphocyte assay results also demonstrated that BMDCs, even without additional Dexa treatment, have a reduced capacity to stimulate allogeneic lymphocytes. On further investigating the immunomodulatory properties of Dexa BMDCs, it became clear that untreated BMDCs themselves had significant immunomodulatory properties allowing them to inhibit T cell proliferation and/or modify T cell differentiation independently of their maturation status ^{22,27}. The molecular basis for BMDCs and Dexa BMDCs profound immunomodulatory properties may be due to BMDC expression of NO and PD-L1 and in the case of Dexa BMDCs IL-10, NO, PD-L1 and IDO. Expression of these molecules, such as the well characterised PD-L1 ²⁸, are significantly increased in both unstimulated and stimulatory conditions relative to ex-vivo mature Ox62+ DCs for both untreated BMDCs and Dexa BMDCs. Recently, it has been suggested that PD-L1 is not essential to inhibit lymphocyte proliferation, NO which we have also shown to be expressed by both BMDCs and Dexa BMDCs, was found to be the key modulator of proliferation inhibition ²⁹. It has been demonstrated that unlike freshly isolated DCs, ex-vivo generated BMDCs secrete NO, which may explain our observed in vitro results which demonstrated that not only do Dexa BMDCs

significantly inhibit lymphocyte proliferation but so too did the untreated BMDCs ³⁰. The catabolism of essential amino acids, such as arginine by iNOS or tryptophan by IDO may result in a localized, immune-privileged microenvironment in which naive T cells that would normally become activated proliferating T cells, are instead kept in an anergic, unproliferative state ^{27,31}. Our results show clear evidence that not only maturation resistant, immature Dexa BMDCs have significantly reduced immunogenicity but also *ex-vivo* generated semi-mature, untreated BMDCs and in an inflammatory environment these cells express significant levels of molecules such as PD-L1 and NO.

We were interested in examining the effect of both donor derived untreated BMDC and Dexa BMDC cell administration in an *in vivo* transplantation model. For this, a corneal allograft transplantation model using the high-responder allogeneic strain combination of LEW recipients to DA donors was selected ^{9,32}. Treatment of allograft recipients with donor derived Dexa BMDCs significantly prolonged corneal allograft survival and interestingly, significance was also observed in groups treated with unmodified donor derived BMDCs. One contributing factor for the promotion of allograft survival is the maturation status of BMDCs at the time of injection, which is a key determinant of transplantation outcomes. It is therefore important to note that allograft recipients received these BMDCs in a semi-mature phenotypic state and not in a fully (LPS-treated) matured state, as in other studies which subsequently leads to the rejection of the allograft ¹⁷. Our results suggest that in addition to Dexa BMDCs having a strong *in vivo* immunomodulatory potential, untreated BMDCs also display a significant immunomodulatory capacity sufficient to promote corneal graft survival. Notably, we were unable to prolong corneal allograft survival with a systemic injection of syngeneic donor antigen pulsed Dexa BMDCs. Recently it has been suggested that it is in fact the recipient DC

processing of donor DC cell therapies and immunomodulation of both in-direct and semi-direct pathways that play a significant role in the induction of allograft survival ^{33–35}. This may be a potential explanation for the failed induction of graft survival with syngeneic alloantigen pulsed Dexa BMDCs as insufficient quantities of donor MHC antigen are available to promote corneal allograft survival. However, it is likely that the intact donor MHC antigen expression on donor derived untreated BMDC and Dexa BMDC in combination with their expression of immunomodulatory molecules (e.g. PD-L1, NO or IL-10) are what is required to induce corneal allograft survival.

We also examined how administration of BMDC populations affects the immune cell populations within the graft and secondary lymphoid organs. Our results indicated a significant reduction in the percentage population of intragraft activated CD4+CD25+ T cells which was accompanied by a profound reduction in the total number of CD4+CD25+ T cells and a significantly increased ratio of CD4+Foxp3+ cells to activated CD4+CD25+ T cells in both treated groups. It is likely that these CD4+FoxP3+ cells, along with the significantly increased expression of IDO, which is known to promote and maintain a regulatory T cell phenotype ^{36,37}, play a key role in inducing and promoting survival of the corneal allografts. A profound reduction in the numbers of NK and NKT cells after BMDC and Dexa BMDC treatment was also detected. Evidence now shows that the cells of innate immunity such as NK and NKT cells play a key role during corneal allograft rejection ³². Prolongation of graft survival has been established in models where treatment significantly impacts the level of NK and NKT cell infiltration, as was observed in work also from our group, where corneal allograft over expression of PD-L1 resulted in a reduction in graft infiltrating NK and NKT cell populations ³². The observation of coincidental increases of CD11b/c+MHCII+CD86+ and IDO expression

within the allografts of both treatment groups is strengthened by a recent study which demonstrated that IDO expressing DCs are required for promotion of graft survival in various transplantation models ^{36,38–40}. We believe similar to what has been described for other transplantation models, the immunomodulatory microenvironment created within the corneal allograft of BMDC and Dexa BMDC treated groups, allows FoxP3+ cell interaction with DCs, inducing expression of IDO that can locally deplete tryptophan and may therefore play an important role in limiting T-cell proliferation and effector function within the graft ^{31,41,42}. The observed increased ratio of CD4+FoxP3+ cells in the draining LNs and also the significant increase in the level of FoxP3 mRNA expression for both BMDC and Dexa treated groups is an important indicator of graft acceptance ⁴³.

Despite inducing corneal allograft survival, donor BMDC and Dexa BMDC treatment was not effective at inducing peripheral donor specific unresponsiveness. Recent reports have described sensitisation of the recipient to donor antigen with pre-treatment of donor derived Dexa BMDCs ^{9,13}. Our data indicating a donor specific response in the form of detectable levels of donor alloantibodies with both donor BMDC treatments supports these recent observations ^{9,13}. The allo-response was however, significantly reduced with Dexa BMDC treatment, which may be due to the immunomodulatory phenotype and glycome profile of the BMDCs after Dexa treatment. Unlike the aforementioned reports which demonstrate accelerated rejection of the allografts ^{9,13}, in our corneal allograft model, the allografts remained protected and were not rejected. Although cell-mediated immunity is believed to play the dominant role in corneal graft rejection, the role of antibody-mediated rejection is controversial ^{19,44,45}. The immunomodulatory environment generated by treatment with donor BMDCs or donor Dexa

BMDCs may protect the corneal allograft from cell mediated immunity which in turn may promote the prevention of rejection of the cornea by complement-fixing alloantibodies.

In conclusion, we have clearly demonstrated that *ex vivo* generated donor Dexa BMDCs have sufficient immunomodulatory properties to significantly prolong corneal allograft survival. Interestingly, donor derived untreated BMDCs have similar effects in this model. Although both cell therapies failed to induce peripheral donor specific unresponsiveness they did induce a local immunoregulatory milieu within the allograft and draining LNs resulting in protection of the corneal allograft. These results demonstrate a novel therapeutic application for donor derived BMDCs with and without glucocorticoid treatment for the prevention of corneal allograft rejection but also highlight the potentially contrasting results associated with DC therapies in different models of transplantation.

Materials and Methods

Animals and corneal transplantation

All procedures performed on animals were approved by the Animals Care Research Ethics Committee of the National University of Ireland, Galway and conducted under licence from the Department of Health, Ireland. In addition, animal care and management followed the Standard Operating Procedures of the Animal Facility at the National Centre for Biomedical Engineering Science, Galway, Ireland. A well established, fully allogeneic MHC I/II disparate cornea transplant model was applied for these studies. Male Lewis (LEW, RT-1¹) rats served as recipients of male Dark Agouti (DA, RT-1^{avl}) grafts. DA and LEW rats were obtained from Harlan Laboratories UK. The outbred strain Sprague Dawley (CD) rats used as a 3rd party cell source were obtained from Charles River UK and the LEW GFP transgenic rats were a gift from Naoto Kawakami, Max Planck Institute of Biochemistry, Munich, Germany. All animals were 8-14 weeks old and housed with food and water ad lib. Orthotopic corneal transplantation was performed as reported previously ^{26,32}. Briefly isoflurane was administered systemically at 2%–2.5% in medical oxygen (BOC, Galway, Ireland) with a flow rate of 2 l/min. Tetracaine 1% (Chauvin Pharmaceuticals Ltd., Kingston- upon-Thames, UK) was used as a local anesthesia and iris dilation was performed with Atropine 1%, Tropicamide 1% and Phenylephrine 2.5% (all Chauvin Pharmaceuticals Ltd.). A 2.5 mm graft bed was prepared and a 3 mm full thickness graft was fixed in place with 8-10 interrupted 10-0 Ethilon® sutures (Ethicon, Livingston, Scotland) and covered with chloramphenicol antibiotic ointment. Alcon BSS® (Alcon, Hemel Hempstead, UK) was used for irrigation of cornea tissue. Eyelids stayed open post-op and the sutures were not removed²⁶. Graft transparency as an indicator of rejection was evaluated every second day by light and slit lamp microscopy and graded as follows: 0 – completely transparent cornea; 0.5 – slight corneal opacity, iris structure easily visible; 1.0 – low opacity with visible iris details; 1.5 – modest corneal opacity, iris vessels still visible; 2.0 – moderate opacity, only some iris details visible; 2.5 – high corneal opacity, only pupil margin visible; 3.0 – complete corneal opacity, anterior chamber not visible. Grafts were considered rejected based on an opacity score of 2.5 for three or more consecutive days or an opacity score of 3, in combination with edema and correlating changes of transplant geometry (degree of convex contour, shrinking and surface roughness of graft) 32,46. Animals with surgical complications were excluded.

Generation of BMDCs

Bone marrow derived dendritic cells (BMDCs) were generated as previously described for the rat ^{47,48} with some modifications. Briefly, male DA BM was flushed from both the femur and tibia; the cell suspension was collected and pelleted then re-suspended in ACK buffer to lyse the red blood cells. BM cells were washed in complete medium consisting of RPMI-1640 (Gibco, Grand Island, NY) supplemented with heat inactivated 10% FBS, 2mM L-glutamine, 0.1M non-essential amino acids, 1mM sodium pyruvate, 100U/ml penicillin, 100µg/ml streptomycin, and 55μM 2β-ME (Gibco). BM cells were then seeded in a 6 well plate at a concentration of 4.5x10⁶cells/3ml per well. The culture medium was supplemented with 5ng/ml rat GMCSF and 5ng/ml rat IL-4. Cells were then incubated at 37°C at 5% CO₂. On the 3rd day of culture half of the medium from each well was harvested and cells were resuspended in fresh medium supplemented with rat granulocyte-macrophage colony-stimulating factor (GMCSF) and interleukin (IL)-4 and added back into the culture. On the 5th day of culture all medium was removed (to remove dead lymphocytes and granulocytes) and replaced with fresh complete medium supplemented with GMCSF and IL-4. For the generation of Dexa BMDCs 10⁻⁶M dexamethasone was added to the culture. On day 7 half the medium and replaced with fresh medium supplemented with GMCSF, IL-4 and Dexa if required. For the preparation of mature BMDCs cultures were subsequently stimulated with LPS (1 µg/ml; Sigma-Aldrich, UK) for 24 h. Cultures were maintained until day 10 when they were harvested for in-vitro assays or *in-vivo* applications.

Cytokine and NO Analysis

TNF- α and IL-10 cytokine determination for BMDC supernatants, were quantified using enzyme-linked immunosorbent assay (ELISA; R&D Systems, Abingdon, UK), using the manufacturers protocols. NO release was assessed using a standard Griess Assay protocol. Briefly, 100 μ l of supernatant from 1x10⁶ unstimulated BMDCs/Dexa BMDCs and LPS (1 μ g/ml) stimulated cells in addition to the required standards (NaNO₂ 1st standard 100 μ M in culture media) were added to the appropriate wells of a 96 flat bottom plate. 50 μ l of solution A (sulfanilamide, phosphoric acid, H₂O) was added to each well and then 50 μ l of solution B (n-(1-naphthyl)-ethylenediamine dihydrochloride, phosphoric acid, H₂O). Absorbance was read at 550nm.

Allogeneic Lymphocyte Assays

Isolation of DA Ox62+ dendritic cells was carried out by MACs bead sorting as follows. Briefly, a rat splenocyte and thymocyte cell mix (2x10⁸ cells) was resuspended in 80μl of MACs buffer per 10⁷ total cells and 20μl of Ox62 microbeads (Miltenyi Biotec, Bergisch Gladbach, Germany) per 10⁷ total cells were added and the protocol carried out according to the manufacturer's instructions using a LS column (Miltenyi Biotec, Bergisch Gladbach, Germany). LEW lymphocytes were stained with CFSE (Molecular Probes, Invitrogen, UK), according to the manufacturer's instructions; lymphocytes were washed and resuspended in the appropriate volume of complete medium (1.5x10⁵cells/125μl) and plated in a 96 well round

bottom plate. Gamma irradiated (20Gy) DA Ox62+, BMDCs and Dexa BMDCs were added to the appropriate wells in a ratio 10:1, lymphocyte:DC. Proliferation and activation of lymphocytes was measured on day 5.

Flow cytometry

The following monoclonal antibodies (mAbs) were used for the characterisation of BMDCs: CD11b/c APC, CD80-PE, CD86-PE, the macrophage marker HIS36-PE (Biolegend, San Diego, CA USA) and MHCII-PE (Serotec, Oxford, UK). Appropriate APC or PE conjugated Ig isotype controls were also used (Biolegend, San Diego, CA USA). For glycome analysis of BMDC the following FITC-labelled lectins were used: WGA (wheat germ agglutinin), MAA (Maackia amurensis) and SNA-I (Sambucus nigra) (1.5µg/ml, gift from Dr Jared Gerlach and Professor Lokesh Joshi) prepared in lectin staining buffer (PBS containing 1% FBS, 1mM CaCl₂ and 2mM MgCl₂). Controls were used by incubating BMDCs with lectins in FBS only buffer. Cells were stained on ice for 30mins in lectin staining buffer and washed and resuspended in FACS buffer (PBS containing 2% FCS and 0.01% NaN3, all from Sigma Aldrich, Dublin, Ireland) for analysis using a FACS Canto (BD Biosciences, Oxford, UK). For the analysis of lymphocytes isolated from transplanted corneas, ipsilateral submandibular and cervical LNs the following mAbs were used: CD3-FITC, CD8-PE, CD161-AF647, CD4-APC, CD11b/c-APC, CD86-PE, CD45RA-PE (BioLegend, San Diego, CA, USA), CD25-FITC, FoxP3-PE (eBioscience, San Diego, CA, USA) and MHCII-FITC, (BD Biosciences, New Jersey, USA). For staining, cells were washed with FACS buffer. mAbs were diluted in 50µl FACS buffer, added to the cells and incubated for 30min at 4°C. Finally, unbound

antibody was removed by washing twice with FACS buffer. A commercial kit (eBioscience, San Diego, CA) was used to detect the transcription factor foxp3. After samples were stained with appropriate cell surface stains the permeabilization and staining of foxp3 was performed using the manufacturer's protocol as a guideline. Cells were resuspended in FACS buffer for analysis. Compensation parameters were established on the FACS Canto using appropriately single stained cells and fluorescence minus one (FMO) controls. Data was analysed using FlowJo software (Tree Star, Inc.). Results are presented as percentage of cell population or as absolute cell number in graft. Unlabelled beads (CaliBRITE unlabelled beads, BD bioscience, Oxford, UK) were added to FACS samples for detection of absolute number of cells. Absolute number of graft-infiltrated cells was calculated as a function from number of FACS counted beads and cells and number of beads which were added into the probe.

DQ OVA Assay

Ox62+ DCs, BMDCs and Dexa BMDCs were seeded in a 96 well round bottom plate at a concentration of 1x10⁵cells/250µl. DQ OVA (Molecular Probes, Invitrogen, UK) was added to the DCs at a final concentration of 50µg/ml. Cells were collected at various time points washed and resuspended in FACs buffer for analysis by flow cytometry.

Isolation of lymphocytes from transplanted corneas and lymph nodes.

Single cell suspensions from individual transplanted corneas were prepared from the excised graft. The corneal graft was excised using a 3mm trephine and vannas scissors ensuring that the graft was free of iris pigments. The graft was then stored in sterile PBS on ice. The graft was then incubated and digested with 2.5μg/ml Collagenase D (Sigma-Aldrich, Wicklow, Ireland) in a 1.5ml eppendorf and placing it into a tube shaker/heater (50 x rcf; 90 min.; 37°C). Digestion was stopped and all liquid and tissue poured into a 100μm cell strainer and placed into a 6cm Petri dish. The cornea graft was further disintegrated by mashing with the head of a syringe plunger. The cell suspension was collected in a 15ml falcon tube and the cell strainer and Petri dish thoroughly rinsed and added to cell suspension. The sample was centrifuged (400 x rcf; 3min.; 4°C) and resuspended in 1.2mls of FACS buffer for counting. Ipsilateral submandibular and cervical LNs were also homogenised with the syringe plunger and passed through a 100 μM cell strainer. Cell suspensions were transferred into 15 ml tubes, spun at 400 x rcf for 5 min and washed again with PBS. Cell suspensions from individual corneas and LNs were resuspended in FACS buffer and used for subsequent flow cytometry.

RNA-Isolation and RT-PCR

Total RNA from Ox62+ DCs, BMDCs, Dexa BMDCs, corneas and LNs was isolated using TRIzol reagent (Invitrogen, UK) according to the manufacturer's protocol. PCR array on BMDCs and Dexa BMDCs was performed using a detection kit according to the

manufacturer's protocol (PARN-011, Qiagen, SA Biosciences, Crawley, UK). cDNA was synthesized using RevertAidTM H Minus Reverse Transcriptase (Fermentas, Thermo Scientific, MA, USA) with random primers. Two step qRT-PCR based on BMDC and Dexa BMDC RNA was performed on day 10 and on day 18 for grafted cornea and draining LNs. For primer sequences of PD-L1, IL-10, IDO, iNOS, CXCL9, CXCL10, CCR2, TLR2, TLR4, TLR7, TLR9, IFNγ, IL-6, IL-1β. IL-2R, FoxP3 and eNOS (Table S1). All samples were normalised to expression of the house-keeping gene β-actin and relative expression in the case of BMDC and Dexa BMDCs was to Ox62+ DC and for treated groups cornea and LN analysis it was to untreated allogeneic controls. All quantitative real-time PCR was performed according to the standard program on the Applied Biosystems StepOne Plus Real Time PCR System.

Histology and histochemistry

For histological analysis rat eyes were enucleated at day 18 after transplantation for all groups and at the end of the observation period for graft survival on day 30. Briefly, the eyes embedded in paraffin wax were cut for 5 µm thick sections, dried overnight at 56°C and then deparaffinized twice in xylene for 10 min, followed by hydration through graded alcohols. Slides were incubated for 40 s in Harris

hematoxylin, washed in tap water for 2 min, then stained in eosin for 7 min, washed again in water for 2 min and dehydrated through graded alcohols. Next, sections were cleared twice for 10 min in xylene and mounted in DPX (Sigma-Aldrich, Wicklow, Ireland).

In vivo Cell Trace Experiment

Spleens and thymi were harvested from LEW (syngeneic) GFP transgenic, DA (donor) and CD (3rd party) rats, organs were homogenised and treated with ACK as previously described. Cells from donor and 3rd party origins were stained using CellTrace Far Red DDAO-SE (as per manufacturers' recommendations, Molecular Probes, Invitrogen, UK), washed and resuspended at 20x10⁶cell/ml PBS. Far Red labelled donor or 3rd party cells were mixed with equal numbers of syngeneic GFP cells. Naïve (ungrafted control), BMDC and Dexa BMDC treated grafted animals received an i.v. injection of a total 20x10⁶cells/ml PBS of Far Red donor/3rd party and syngeneic GFP cell mix. Blood from naive control and treated groups was withdrawn from the tail vein using a 25G needle and transferred into a sterile 1.5ml eppendorf tube containing 100µl PBS and heparin (2U/ml) at various time points. The blood was then treated with ACK buffer, washed, centrifuged (400 x rcf; 5 min.; 4°C) and resuspended in FACS buffer. Fluorescent beads (CaliBRITE-PerCP beads, BD bioscience, Oxford, UK) were added to FACS samples for detection of absolute number of Far Red and GFP labelled cells. Absolute number of circulating fluorescently labelled cells was calculated as a function from number of FACS counted beads and cells and number of beads which were added into the probe. On day 4 post injection right lung (digested as described for spleen), spleen, submandibular and cervical LNs were harvested and homogenised for localisation of fluorescently labelled cells within these tissues. Aliquots of homogenised tissues were resuspended in FACS buffer and fluorescent beads were added for cell enumeration as previously described and samples were analysed on FACS Canto.

Harvest of Autologous Serum and Detection of allo-antibodies

Blood from untreated controls, treated groups and naïve controls, was withdrawn from tail vein using a 25G needle and transferred into a sterile 1.5ml eppendorf tube containing 100μ1 PBS and heparin (2U/ml). The blood was then centrifuged (500 x rcf; 10 min.; 4°C) and the resulting serum fraction was harvested with a sterile pipette and transferred into 1.5ml micro reaction tubes. The serum was stored at -20°C for later use. Alloantibody analysis was performed as reported previously ⁴⁹. Recipient serum was diluted (1:2 in FACS buffer) and incubated with 1×10⁶ DA splenocytes for 45 minutes on ice in a total volume of 50μ1 per test. Samples were washed twice with FACS buffer and pelleted (400×rcf for 5 min. at 4°C). In the fashion of a secondary FACS stain, samples were then labelled with either anti-rat IgM-PE, IgG1-FITC or IgG2a-FITC (all from Antibodies- online, Germany). In the case of anti-IgM-PE staining, anti-CD45RA-FITC (BD Biosciences) was added to later allow exclusion of B cells from analysis. Splenocytes were incubated further for 45 min on ice, washed and resuspended in FACS buffer for analysis using a FACS Canto.

Statistics

Statistical analysis was performed by GraphPad Prism software (La Jolla, USA) using non-parametric Mann–Whitney or two-tailed parametric Student T-test where appropriate, unless otherwise stated in text. Survival data were compared using the Mantel-Cox log rank test. Differences were considered significant if $p \le 0.05$.

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Supplementary Material

Table 1. RT PCR primer design.

Gene	Forward 5'-3'	Reverse 5'-3'	Probe 5'-Fam Tamra-3'
IFNγ	AACAGTAAAGCAAAAAAGGATGCATT	TTCATTGACAGCTTTGTGCTGG	CGCCAAGTTCGAGGTGAACAACCC
IL-2R	CACATGCTGTGTACCAGGAGAACCT	CCACGAAGTGGTAGATTCTCTTGG	CAGGTCACTGCAGGGAGCCCC
IL-6	TCAACTCCATCTGCCCTTCAG	AAGGCAACTGGCTGGAAGTCT	AACAGCTATGAAGTTTCTCTCCGCA
β-Actin	GTACAACCTCCTTGCAGCTCCT	TTGTCGACGACGAGCGC	CGCCACCAGTTCGCCATGGAT
CCR2	CACTTAGACCAGGCCATGCA	ACTTCTCACCAACAAAGGCATAAAT	TGACAGAGACTTGGAATGACACACTGCTG
CXCL9	TTGCCCCAAGCCCTAACTG	ACCCTTGCTGAATCTGGGTCTAC	CATCGCTACACTGAAGAACGGAGATCA
CXCL10	GAAGACCCTCTGGATACAGCTGC	TGCTCCACTGCCTTGCTTTT	CGCTGTCATCGATTTCTCCCCTGTGA
TLR2	AGAACTAAGAGATACTAACTTG	ACAGCTTCAGGAGTTCATTAAA	TTTCTGAACTGTCTGTAGACGAAAT
TLR4	CCTGAAGATCTTAAGAAGCTAT	CCTTGTCTTCAATTGTCTCAAT	TTCACCAATTTCTCACAACTTCAGT
TLR7	TCAGCCACAACCAGCTGACAA	AATTGCAAAGCATCTTCTAGAAA	CCTGCGAGATTGGCCAACTGTT
TLR9	CTGGACCTGTCCTATAAGAA	ACAGATTGGCCAGAAAACTGA	ACCTGTACCATTCGAAATCGTTCA
PD-L1	TGGAGTATGGCAGCAATGTC	CCTCCACAAACTGAATAACT	ATGCAGATTCCCAGTAGAACAGA
IDO	CAGGTTACAGCGCCTGGCAC	TCGCAGTAGGGAACAGCAAT	ACATCACCATGGCGTATGTGTGGAA
eNOS	CTGTGCATGGATGAATACGAT	TGCTGCAAAGCTCTCTCCAT	ATCCCTAGAGCATGAGGAATTG
iNOS	TTCCCATCGCTCCGCTG	CCGGAGCTGTAGCACGCA	AACACAGTAATGGCCGACCTGATGTTGC
FoxP3	NP_001101720.1 (Life Technologies, Carlsbad, CA)		

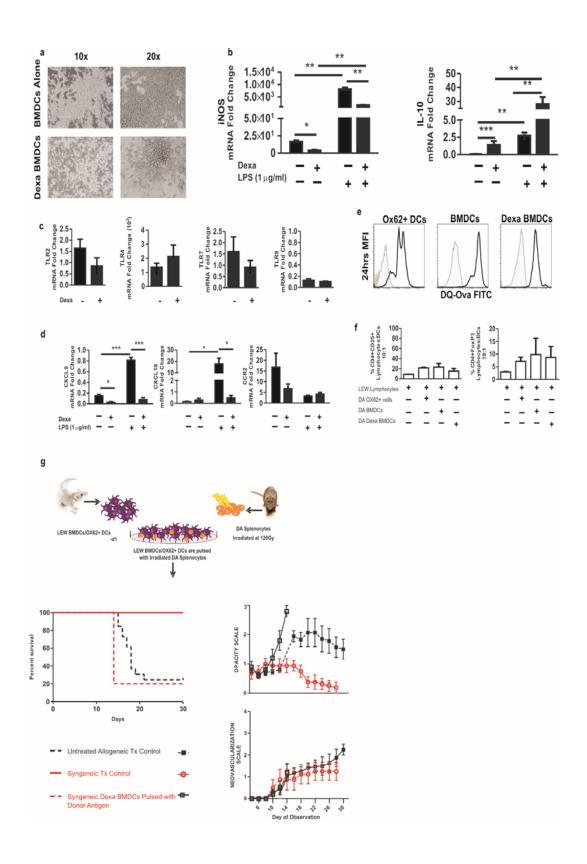


Figure S1. Additional *in vitro* characterisation of BMDC and Dexa BMDC cultures and application of donor alloantigen pulsed Dexa BMDCs in corneal transplantation.

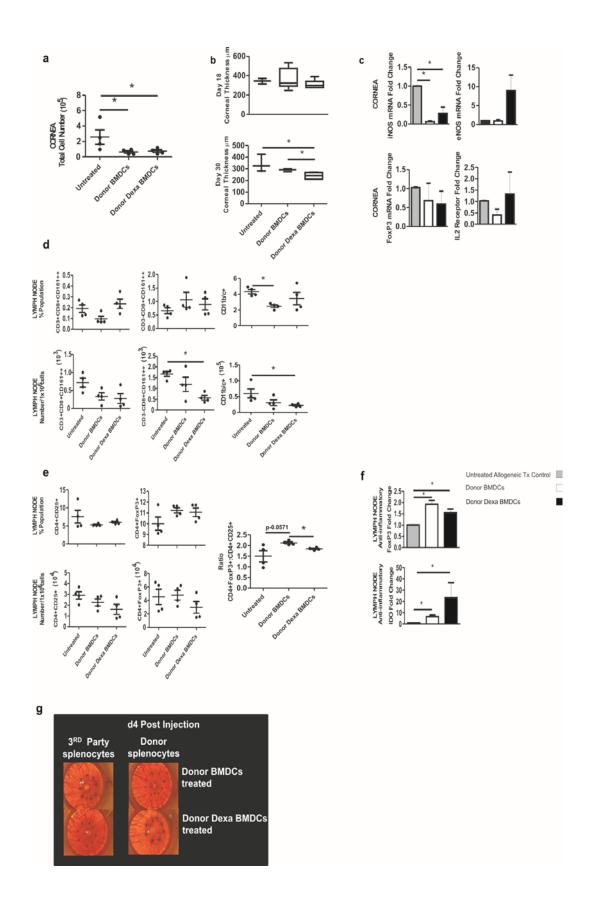


Figure S2. Additional cell population analysis within the corneal allograft and draining LNs after BMDC and Dexa BMDC treatment.