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Process-structure-property modelling for post-build heat treatment of powder bed fusion Ti-6Al-4V

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Abstract

Post-build heat treatment is an important component in optimized manufacturing processing for laser beam powder bed fusion (PBF-LB) Ti-6Al-4V. The development of predictive modelling, based on the understanding of the relationships between process parameters, microstructure evolution and mechanical properties, is a potentially key ingredient in this optimization process. In this paper, a process-structure-property (PSP) model is developed to predict the effect of post-build heat treatment on yield strength, which is a key tensile property for PBF-LB Ti-6Al-4V. The process-structure part is developed with a focus on the prediction of solid-state phase transformation, especially dissolution of martensite during the heating phase. Subsequent tensile properties are quantified by a microstructure-sensitive yield strength model based on the predicted microstructure variables. The integrated PSP model is validated by via experimentally measured phase fraction, α lath width and monotonic tensile tests on PBF-LB Ti-6Al-4V with different heat-treatment temperatures, for identification of optimal process parameters.

Keywords: Laser beam powder bed fusion; Ti-6Al-4V; Solid-state phase transformation; Yield

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30 strength; Heat treatment

31 **1. Introduction**

32 In recent years, laser beam powder bed fusion (PBF-LB) has become one of the most widely
33 used methods in additive manufacturing (AM), and has been adopted to manufacture numerous
34 strategically-important products with complex geometries. Typical materials include stainless steel,
35 titanium and aluminum ¹. PBF-LB Ti-6Al-4V is one of the most strategically important due to its
36 wide usage in critical industry applications, including biomedical and aerospace. Conventional Ti-
37 6Al-4V has two main crystal structures: hexagonal close-packed structure (HCP) α -phase and body-
38 centered cubic (BCC) β -phase. In contrast with conventional Ti-6Al-4V, PBF-LB Ti-6Al-4V has a
39 predominantly martensitic microstructure (α' -phase, >95% ², also HCP), attributed to the rapid
40 cooling process after heating in PBF-LB, which is stronger but more brittle than typical α -phase ³.
41 Due to the characteristics of martensite, as-built PBF-LB Ti-6Al-4V shows enhanced strength but
42 significantly poorer ductility (elongation normally < 10% ⁴). Thus, post-heat treatment for
43 optimization of strength-ductility properties of Ti-6Al-4V is necessary.

44 It has been reported that α , β and α' phase fractions can be controlled by solid-state phase
45 transformation (SSPT) during different heat treatment processes ^{3,5}. Moreover, since different phases
46 dominate different mechanical properties, e.g. acicular α' martensite usually exhibits high strength
47 and low ductility, β -phase gives the opposite and α -phase is in between ^{3,6-9}, the mechanical properties
48 of PBF-LB Ti-6Al-4V can potentially be tailored by tuning the post-heat treatment process. Vrancken
49 et al. ³ found that heat treatment below the β transus temperature can transform α' martensite into
50 lamellar $\alpha+\beta$ structure with retained columnar features of prior β . Higher heat treatment temperature
51 also results in coarsening of α -phase and higher volume fraction of β -phase. In addition, columnar-
52 to-equiaxed transition of prior β grains occurs when the temperature is above β transus. The effect of
53 different cooling rates on the microstructure of Ti-6Al-4V has been extensively studied ¹⁰⁻¹⁵. When
54 the cooling rate is lower than 20 °C/s, diffusion-controlled β to α phase transformation occurs with
55 clear prior β grain boundary. Most of the α phase nucleates non-uniformly at the prior β grain
56 boundary and grows into the grain interior. For intermediate cooling rates, e.g. 20 to 410 °C/s,
57 diffusion-less phase transformation (i.e. martensitic transformation, β to α') is accompanied by the
58 diffusion-controlled process. Martensitic transformation dominates the SSPT process when the

59 cooling rate is higher than 410 °C/s, in which case a hexagonal microstructure of acicular α' martensite
60 forms from the lattice shear of β phase accompanied with the broken and annihilation of prior β grain
61 boundary.

62 Different phase composition due to different heat treatment processes have a significant effect
63 on the tensile properties of treated samples. Vranchen et al. ³ showed that the ductility of heat-treated
64 PBF-LB Ti-6Al-4V specimens increased to 12.8% after post-build heat treatment at 850°C for 2 h
65 followed by furnace cooling, which represents an increase of 74% relative to the as-built specimens.
66 Zhang et al. ¹⁶ annealed PBF-LB Ti-6Al-4V at different temperatures from 600 °C to 900 °C for 2 h.
67 Corresponding results show that ductility to the level of the forged Ti-6Al-4V can be obtained at the
68 cost of reduced strength for the samples treated at temperatures larger than 850 °C. In addition,
69 superior tensile properties of heat-treated PBF-LB Ti-6Al-4V compared to conventional Ti-6Al-4V
70 can be achieved. Charlotte et al. ¹⁷ simultaneously improved strength and ductility of PBF-LB Ti-
71 6Al-4V specimens by generating an $\alpha+\alpha'$ dual-phase microstructure with significant work hardening
72 behavior through post heat-treatment between 850 and 920 °C. For example, the specimens heat
73 treated at 920 °C, containing 51% of martensite, show 13% increment on both ultimate tensile
74 strength and uniform engineering strain comparing to those of a wrought Ti-6Al-4V. Thus, the tensile
75 properties of treated PBF-LB Ti-6Al-4V can be tailored by controlling the α/α' phase ratio. Although
76 α' martensite can be fully transformed to Widmanstätten α (α_w) by a specific post-build heat treatment
77 process to obtain better ductility, the material strength is concomitantly also significantly reduced.
78 Retained α' martensite can still contribute to the relatively high strength while the transformed α_w
79 improves the poor ductility of as-built PBF-LB Ti-6Al-4V. It has been reported that the combined
80 optimal strength-ductility properties can be achieved by generated a lamellar $\alpha+\beta$ structure via
81 annealing treatment of PBF-LB Ti-6Al-4V ¹⁸.

82 Although the post-build heat treatment of PBF-LB Ti-6Al-4V has been extensively studied, most
83 studies use a trial-and-error approach to optimize heat-treatment parameters. The development of an
84 integrated process-structure-property (PSP) model has the potential to make this optimization process
85 more efficient and objective. In general, PSP model development can be divided into process-
86 structure model development and structure-property model development. The process-structure
87 model aims to obtain microstructure variables which have deterministic effects on the tensile

88 properties, such as phase fractions and grain size. Full-field microstructural models, e.g. phase field
89 and cellular automaton (CA) methods, can provide detailed phase information such as phase fraction
90 evolution, spatial distribution and phase morphology. For example, Sahoo et al.¹⁹ successfully
91 simulated the columnar structure of PBF-LB Ti-6Al-4V which consists of prior β boundary and α
92 grains using phase field simulation. In terms of CA methods, Zinovieva et al.²⁰ reproduced the
93 experimental grain structures of PBF-LB Ti-6Al-4V considering multi-track and layer-by-layer
94 processes. De Baere et al.²¹ studied the SSPT evolution of PBF-LB Ti-6Al-4V during the post-heat
95 treatment above the β transus temperature and the predictions were also validated by experimental
96 observations. However, such simulations have very high associated computational expense,
97 particularly given the small scale of material typically modelled. Mean-field models, for example the
98 classical Johnson-Mehl-Avrami-Kolmogorov (JMAK) model, have been adopted to predict the phase
99 fractions with acceptable accuracy. In our recent work, a modified incremental form of the JMAK
100 model is proposed to predict phase evolution during the non-isothermal processes associated with
101 PBF-LB²². In addition, α lath width as a key microstructure variable is also predicted, with the aim
102 to establish the linkage between strength and microstructural features²³. Structure-property models
103 are usually developed to capture the microstructure-sensitivity of PBF-LB material^{24,25}. Specifically,
104 full-field crystal plasticity (CP) model, e.g. crystal plasticity finite element (CPFE)²⁶⁻²⁸ and crystal
105 plasticity fast Fourier transform (CPFFT)²⁹⁻³¹ models, are widely used to predict mechanical
106 properties based on inherited microstructure, e.g. from the PBF-LB process. However, the visible
107 intra- and inter-granular mechanical fields from full-field CP also results in very high computational
108 overhead and again, for a typically small-scale representative volume element (RVE) of simulated
109 material. Classical Taylor-type modelling, although based on the iso-strain assumption and neglecting
110 intergranular interactions, can provide efficient predictions, and have been enhanced with physically-
111 based strengthening mechanisms, for microstructure effects, via incorporation of dislocation density
112 evolution³²⁻³⁴.

113 In this paper, the process-structure model²² and structure-property model²⁴ from our previous
114 work are integrated together in MATLAB, enabling implementation of an integrated PSP predictive
115 capability, with extension to optimize the post-build heat treatment process. Specifically, as the
116 thermal history of the heat treatment process is different from the PBF-LB process itself, in particular

117 with respect to a significantly lower cooling rate, corresponding cooling rate effects are captured in
118 the present work to improve the prediction accuracy. Based on the predicted phase fractions,
119 corresponding grain sizes for different phases are calculated. Thus, the microstructure-sensitive yield
120 strength model proposed in our previous work²⁴ can be used to quantify the effects from different
121 post-build heat treatment processes. The validity of the proposed PSP model is verified by
122 metallographic observation and monotonic tensile testing.

123

124 2. Experimental methodology

125 In this study, aerosol-produced Ti-6Al-4V powder was used for PBF-LB processing, with the
126 chemical composition listed in Table 1. Most of the powder particles are regular spheres with an
127 average particle size of 45 μm , as shown in Figure 1. The PBF-LB process was carried out in an argon
128 atmosphere using SLM[®]280 HL provided by SLM Solutions Group AG. The commercial standard
129 process parameters (Table 2) were adopted with a preheating temperature of the substrate set at 200 $^{\circ}\text{C}$.
130 The laser scanning strategy is shown in Figure 2. A 15 $^{\circ}$ rotation of the scanning direction between
131 successive layers was used. Both the process parameters and the scanning strategy are optimized
132 parameters from SLM Solutions. In total, 25 cuboid specimens with length \times width \times height equal to
133 95 mm \times 13 mm \times 14 mm were manufactured. Since heat-treatment temperature has significant
134 effects on microstructure and mechanical properties^{35, 36}, a wide range of holding temperatures is
135 selected based on the schematic diagram of SSPT mechanisms (Figure 3) of PBF-LB Ti-6Al-4V.
136 When the holding temperature is lower than the martensite dissolution temperature ($M_D = 400$ $^{\circ}\text{C}$ ³⁷),
137 α' martensite is retained with no SSPT. The α' martensite partially dissolves to α and β when the
138 holding temperature is between M_D and martensite start temperature ($M_S = 575$ $^{\circ}\text{C}$ ¹⁵). Corresponding
139 phase fractions are controlled by the equilibrium phase fraction, which is determined by the holding
140 temperature. When the holding temperature is higher than M_S but lower than the β transus temperature
141 ($T_{\beta} = 994$ $^{\circ}\text{C}$ ¹⁵), the residual α' fully transforms into α and β . Subsequent α to β transformation occurs
142 simultaneously based on the specific ratios between equilibrium α and β phases. Full β phase is
143 assumed once the holding temperature is between the range of T_{β} and liquidus temperature ($T_L =$
144 1655 $^{\circ}\text{C}$ ³⁸). During the slow cooling process, for example, subsequent furnace cooling in this heat-
145 treatment study, β to α transformation can be observed until an equilibrium state is achieved. As such,
146 it is clear that different holding temperatures, relative to phase transition temperatures, will result in
147 different phase compositions. In order to obtain representative microstructures of PBF-LB Ti-6Al-4V
148 after heat-treatment, corresponding holding temperatures are defined between 500 and 900 $^{\circ}\text{C}$ (i.e.
149 500, 600, 700, 750, 800, 850, 900 $^{\circ}\text{C}$). The as-printed cuboid specimens are removed from the
150 substrate and then heat-treated in a TL2012 type muffle furnace based on the schematic shown in
151 Figure 4. The heating rate is set as 5 $^{\circ}\text{C}/\text{min}$ with a dwell time of 2 hours at the holding temperature.
152 The cooling rate for furnace cooling is approximately 1 $^{\circ}\text{C}/\text{s}$.

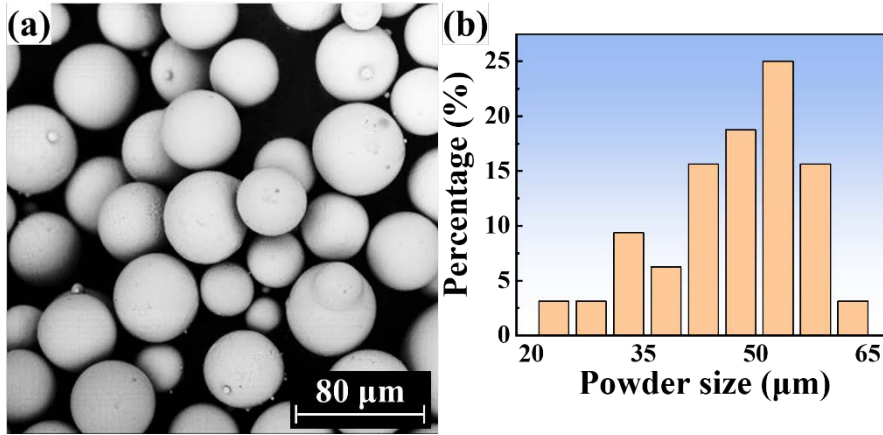
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Table 1. Chemical composition of the Ti-6Al-4V powder in wt.%. The balance is Ti.

Al	V	Fe	C	O	N	H	Mo	Cu	Ti
6.07	3.98	0.14	0.007	0.13	0.007	0.02	0.05	0.05	Bal

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156

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Figure 1. (a) Morphology of Ti-6Al-4V powder particles and (b) powder size distribution.

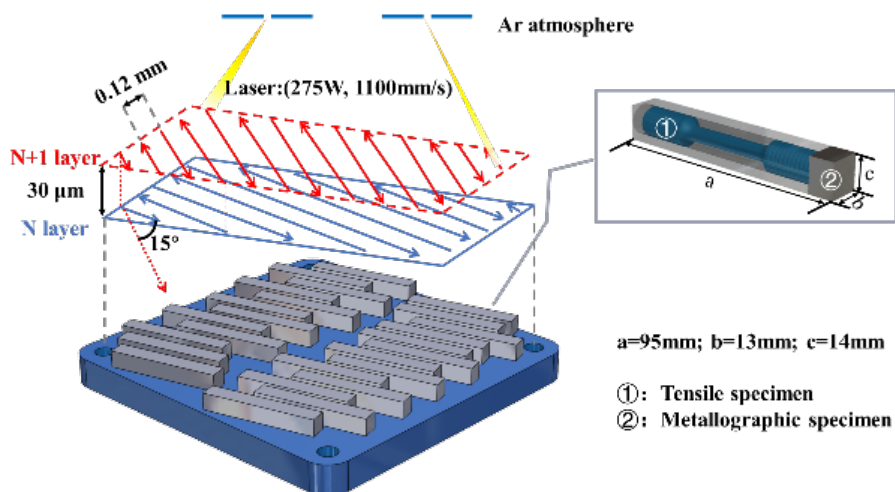
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Table 2. Commercial standard process parameters of SLM@280 HL.

Laser power (W)	Scanning speed (mm/s)	Layer thickness (μm)	Hatching spacing (mm)
275	1100	30	0.12

160



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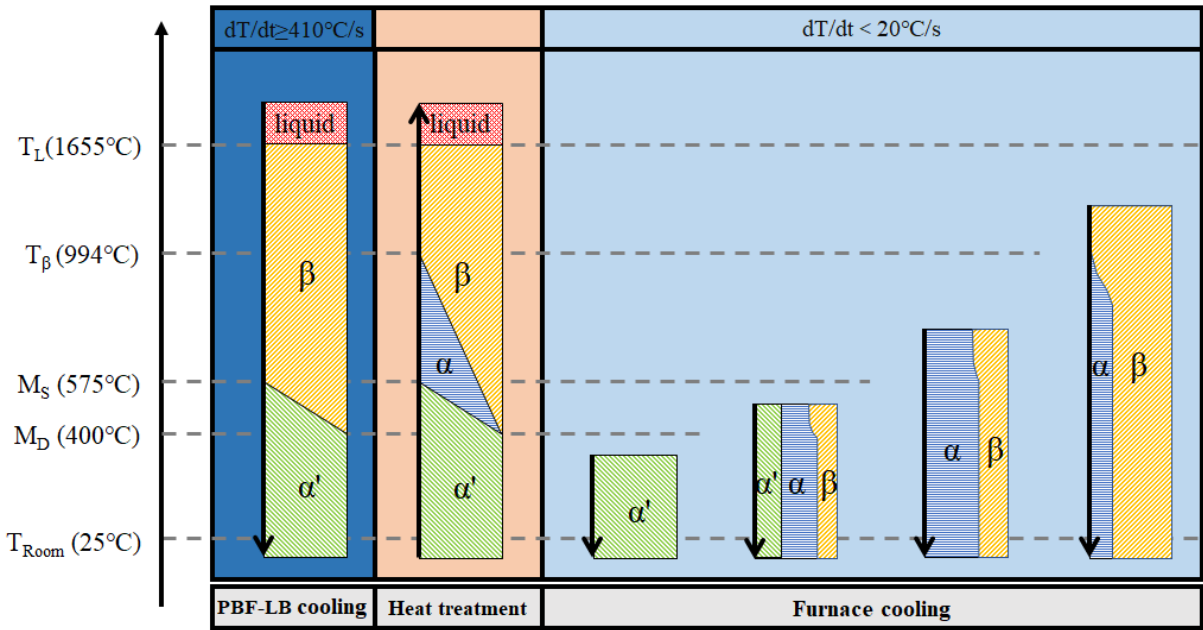
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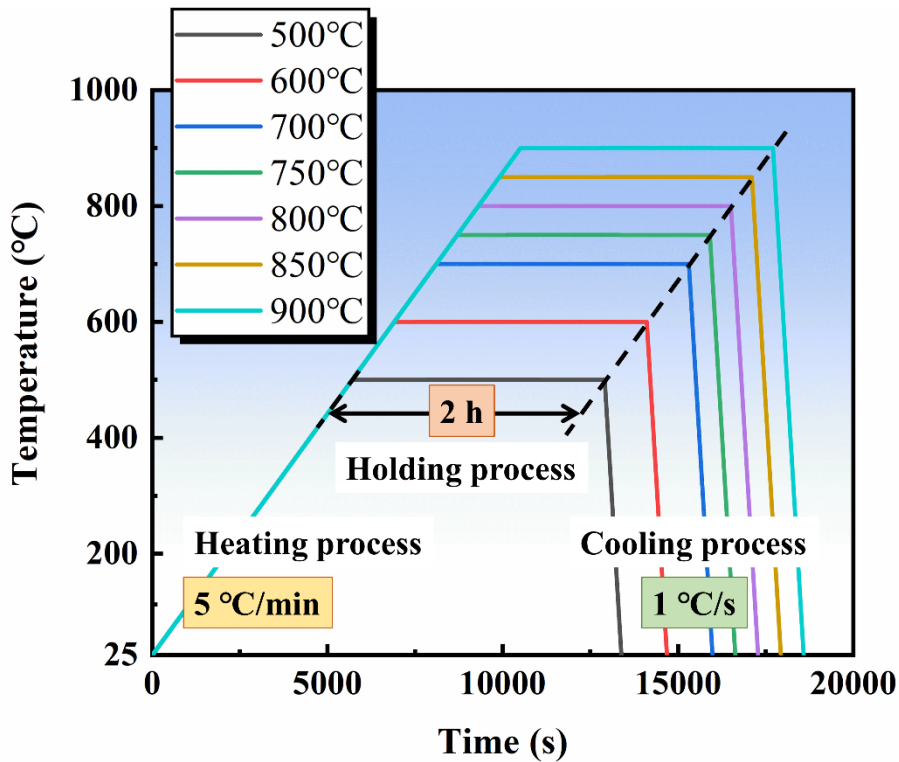
Figure 2. Schematic of laser scanning strategy in PBF-LB process and layout of cuboid specimens (95 mm × 13 mm × 14 mm). Each cuboid is further machined into a tensile test specimen and a metallographic specimen after the post-build heat treatment process.



166

167 Figure 3. Schematic of SSPT mechanisms for PBF-LB Ti-6Al-4V at different holding temperatures
 168 and cooling rates ³⁹.

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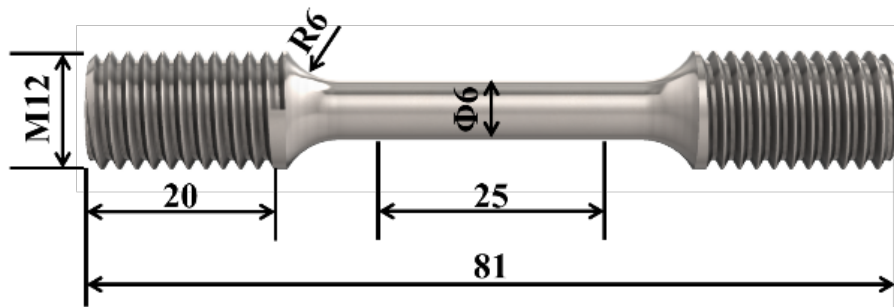


170

171 Figure 4. Schematic of heat-treatment processes at different holding temperatures with heating rate:
 172 5 °C/min, dwell time: 2h and cooling rate: 1 °C/s.

173

174 After heat-treatment, as shown in Figure 2, 10 mm × 10 mm × 5 mm metallographic specimens
175 were cut from the cuboids. The sample surface was polished using 2000# SiC sandpaper and roughly
176 polished with a water-soluble polishing paste. In order to obtain a bright, scratch-free mirror surface,
177 a metallographic spray polishing solution with a particle size of 0.5 μm was employed. The
178 metallographic specimens were etched by a specific reagent with HF:HNO₃:H₂O = 1:3:46⁴⁰ for about
179 18 s and immediately cleaned up. Optical microscopy (OM) and scanning electron microscopy (SEM)
180 were used for microscopic observation and corresponding phase compositions were measured and
181 calculated using the MIPAR software^{41, 42}. In addition to the metallographic specimens, tensile
182 specimens were also machined from the cuboid sample with a gauge length of 25 mm following
183 ASTM E8/E8M-21 standard, as shown in Figure 5. Monotonic tensile tests were conducted at a strain
184 rate of 1.0×10⁻³/s, using a SINOTEST EQUIPMENT RPL-100 machine equipped with a 100 kN load
185 cell.



186
187 Figure 5. Geometry and dimensions of monotonic tensile test specimen.
188

189 3. Modelling framework

190 In this work, the integrated PSP model is developed by adapting and sequentially coupling our
191 process-structure model ²² and our structure-property model ²⁴, with specific modifications relating
192 to the present heat treatment processes. Eq. (1) shows the classical JMAK model ⁴³⁻⁴⁶, which is usually
193 used to describe a complete phase evolution from 0 to 100%:

$$F = 1 - e^{-k(t^{eq})^n} \quad (1)$$

194 where F is the phase fraction, t^{eq} is the equilibrium time, k and n are kinetic parameters. In order to
195 apply the JMAK model for incomplete phase transformation and non-isothermal conditions, an
196 incremental form with different initial phase fractions can be expressed ⁴⁷as :

$$F_{\alpha,i} = \left[1 - e^{-k_i(t_i^{eq} + \Delta t)^{n_i}} \right] (F_{\alpha,i}^{eq})(F_{\alpha,i-1} + F_{\beta,i-1}) \quad (2)$$

197 where the α phase fraction at time step i ($F_{\alpha,i}$) is determined by the α and β phases at the previous
198 time step ($F_{\alpha,i-1} + F_{\beta,i-1}$) with updated kinetic parameters (k_i , n_i) and equilibrium time (t_i^{eq}) at
199 successive temperatures. The various parameters can be inversely calculated based on published TTT
200 (temperature-time-transformation) curves ²² and the calculated equilibrium α -phase fraction as a
201 function of temperature is shown in Figure 6.

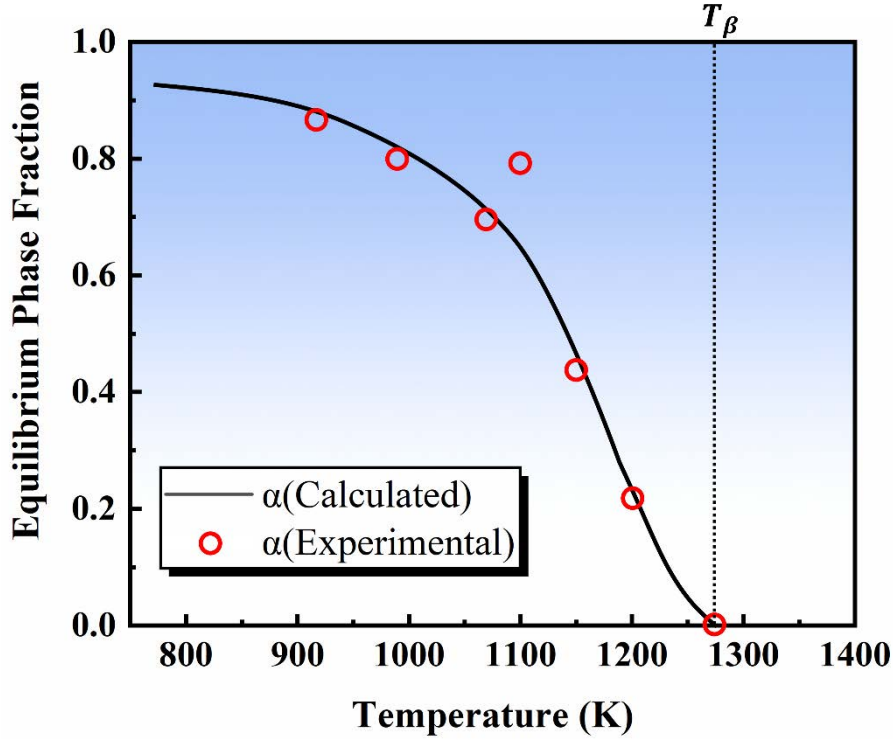


Figure 6. Calculated equilibrium α -phase fraction compared with experimental data ⁴⁸.

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203
204

205 Figure 7 shows a flowchart of the relevant SSPT mechanisms during post-build heat treatment
206 of PBF-LB Ti-6Al-4V. α' martensite, which is the dominant microstructure (>95%) of as-built PBF-
207 LB Ti-6Al-4V, is a non-equilibrium phase, attributed to the rapid cooling process, and it is stable at
208 room temperature. During heating and holding periods of the heat treatment, the α' martensite
209 dissolves to a relatively stable $\alpha+\beta$ phase. Most of the α -phase is α_w and β -phase nucleates at the
210 grain boundaries of α' martensite ⁴⁹. The dissolution of α' martensite is a diffusion-controlled phase
211 transformation process ⁵⁰ which can generally be described by Eqs. (3) to (5):

$$F_{\alpha,i} = F_{\alpha,i}^{\text{eq}} - \left[e^{-k_{\alpha,i}(t_{\alpha,i}^{\text{eq}} + \Delta t)^{n_{\alpha,i}}} \right] (F_{\alpha,i-1} + F_{\beta,i-1} - F_{\alpha,i}^{\text{eq}}) \quad (3)$$

$$F_{\alpha_w,i} = F_{\alpha_w,i-1} + (F_{\alpha',i-1} - F_{\alpha,i})(F_{\alpha,i}^{\text{eq}}) \quad (4)$$

$$F_{\beta,i} = F_{\beta,i-1} + (F_{\alpha',i-1} - F_{\alpha,i})(F_{\beta,i}^{\text{eq}}) \quad (5)$$

212 where the formed α_w and β is calculated based on the current equilibrium phase fractions. Kinetic
213 parameters and equilibrium phase fractions for α' martensite have been obtained from the work of Gil
214 Mur et al. ³⁷. When the holding temperature is higher than M_s but lower than T_β , α_w further
215 transforms to β -phase. The growth of β -phase is controlled by diffusion of vanadium and can be
216 mathematically described as:

$$F_{\beta,i} = A(T)\sqrt{t} \quad (6)$$

217 where the diffusion rate $A(T)$ depends on holding temperature and is expressed in the form of
 218 parabolic rate ³⁵:

$$A(T) = a \left(\frac{T_i}{T_{\text{ref}}} \right)^b \quad (7)$$

219 where T_i is current temperature in Kelvin with reference temperature $T_{\text{ref}} = 1$ K. Coefficients $a =$
 220 $2.2 \times 10^{-31} \text{ s}^{-1/2}$ and $b = 9.89$ have been previously identified by Kelly et al. ³⁵.

221 Compared with the PBF-LB process, furnace cooling during post-build heat treatment has a
 222 significantly lower cooling rate ($< 20^\circ\text{C/s}$) ⁹, leading to negligible formation of α' martensite, as
 223 depicted by the continuous cooling transformation (CCT) curve of Figure 8. The diffusion-controlled
 224 phase transformations, i.e. β to α_{gb} (located at prior β grain boundary) and α_{w} , are dominant during
 225 such cooling periods. The use of the previous SSPT model ²² tends to numerically approach the
 226 equilibrium phase fraction, which is not consistent with experimental observations. Consequently, we
 227 have improved the iteration algorithm by combining the conventional JMAK solutions at two
 228 successive time steps to avoid overestimating phase transformations, as:

$$\begin{aligned} F_{\alpha_{\text{gb}},i} &= \Delta F_{\alpha_{\text{gb}},i-1} + F_{\alpha_{\text{gb}},i-1} \\ &= \left[1 - e^{-k_{\alpha_{\text{gb}},i} (t_{\alpha_{\text{gb}},i}^{\text{eq}} + \Delta t)^{n_{\alpha_{\text{gb}},i}}} \right] (F_{\alpha,i}^{\text{eq}}) (F_{\beta,i-1}) \\ &\quad - \left[1 - e^{-k_{\alpha_{\text{gb}},i-1} (t_{\alpha_{\text{gb}},i-1}^{\text{eq}} + \Delta t)^{n_{\alpha_{\text{gb}},i-1}}} \right] (F_{\alpha,i-1}^{\text{eq}}) (F_{\beta,i-2}) + F_{\alpha_{\text{gb}},i-1} \end{aligned} \quad (8)$$

$$\begin{aligned} F_{\alpha_{\text{w}},i} &= \Delta F_{\alpha_{\text{w}},i-1} + F_{\alpha_{\text{w}},i-1} \\ &= \left[1 - e^{-k_{\alpha_{\text{w}},i} (t_{\alpha_{\text{w}},i}^{\text{eq}} + \Delta t)^{n_{\alpha_{\text{w}},i}}} \right] (F_{\alpha,i}^{\text{eq}}) (F_{\beta,i-1}) \\ &\quad - \left[1 - e^{-k_{\alpha_{\text{w}},i-1} (t_{\alpha_{\text{w}},i-1}^{\text{eq}} + \Delta t)^{n_{\alpha_{\text{w}},i-1}}} \right] (F_{\alpha,i-1}^{\text{eq}}) (F_{\beta,i-2}) + F_{\alpha_{\text{w}},i-1} \end{aligned} \quad (9)$$

229 where the equilibrium transformation time for α_{gb} and α_{w} is expressed as:

$$t_{\alpha_{\text{gb}},i}^{\text{eq}} = \left[-\ln \left(1 - \left(1 - e^{-k_{\alpha_{\text{gb}},i-1} (t_{\alpha_{\text{gb}},i-1}^{\text{eq}} + \Delta t)^{n_{\alpha_{\text{gb}},i-1}}} \right) \right) / k_{\alpha_{\text{gb}},i} \right]^{1/n_{\alpha_{\text{gb}},i-1}} \quad (10)$$

$$t_{\alpha_w,i}^{\text{eq}} = \left[-\ln \left(1 - \left(1 - e^{-k_{\alpha_w,i-1} \left(t_{\alpha_{\text{gb}},i-1}^{\text{eq}} + \Delta t \right)^{n_{\alpha_w,i-1}}} \right) \right) / k_{\alpha_w,i} \right]^{1/n_{\alpha_w,i-1}} \quad (11)$$

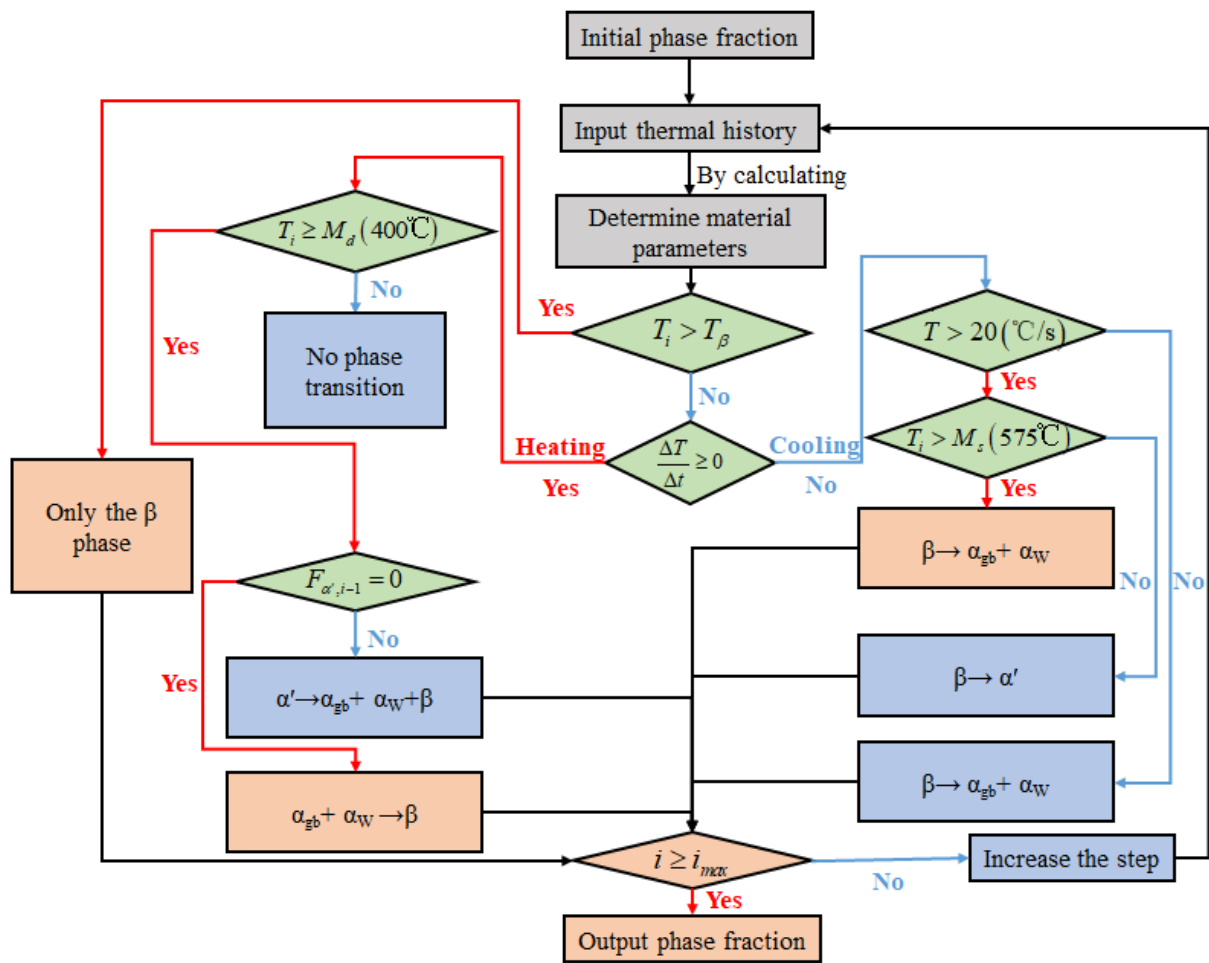
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231 In order to predict the grain size for different phases, grain coarsening kinetics^{51, 52} was adopted
 232 to describe the evolution of α lath width and β grain size, as follows:

$$\bar{D}_i^c - \bar{D}_0^c = k_0 \exp\left(-\frac{Q}{RT}\right)t \quad (12)$$

233 where c is coarsening exponent, negatively related to grain growth rate, \bar{D}_i is average size of grains
 234 after coarsening, \bar{D}_0 is initial average grain size, k_0 is kinetic constant, Q is activation energy, taken
 235 as 97 kJ/mol for Ti-6Al-4V⁵¹, R is the gas constant, T is absolute temperature during heat treatment
 236 and t is holding time. For α lath length, to account for constraint by β grain boundaries, a correction
 237 pre-factor is defined as 0.2, i.e. α lath length is approximately one fifth of the β grain size.

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Figure 7. Flowchart of SSPT mechanisms during post-build heat treatment of PBF-LB Ti-6Al-4V.

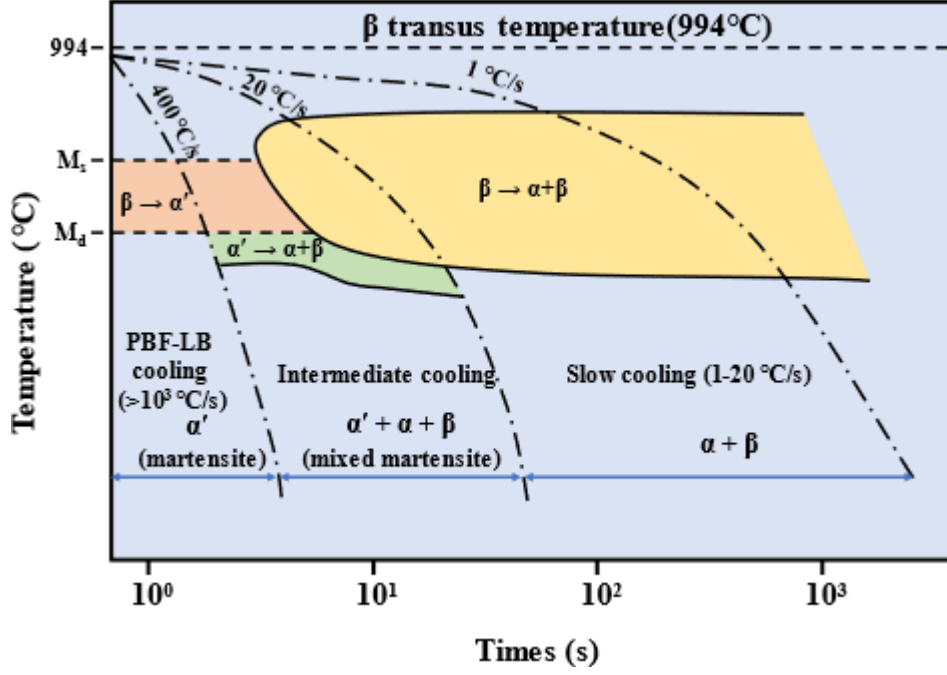


Figure 8. Continuous cooling transformation (CCT) curve of titanium alloy ⁹.

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Based on these predicted key microstructure variables (i.e. phase fractions and grain sizes), our physically-based yield strength model is also implemented here to link microstructure to properties. The model is developed based on two assumptions: (i) assumption of iso-strain for all phases within the aggregate and (ii) the yield strength consists of a long-range athermal stress σ_{LR} and a short-range friction stress σ_{SR} . The formulation is expressed as:

$$\sigma_y = \sum_i (\sigma_{LR,i} + \sigma_{SR,i}) F_i \quad i = \alpha, \beta \text{ or } \alpha' \quad (13)$$

250

where σ_y is calculated yield strength and F_i is volume phase fraction obtained from the SSPT model.

251

σ_{LR} is formulated as the sum of grain boundary hardening and forest stress, as:

$$\sigma_{LR,i} = \sigma_{HP,i} + \sigma_{for,i} = \frac{k_{HP,i}}{\sqrt{D_i}} + M\alpha_\rho\mu_i b_i \sqrt{\rho_{0,i}} \quad (14)$$

252

where the Hall-Petch coefficient $k_{HP,i} = \alpha_G \mu_i \sqrt{b_i}$, α_G is material constant accounts for dislocation-

253

grain interactions, μ_i is temperature-dependent Young's modulus, b_i is magnitude of Burgers

254

vector, D_i is equivalent grain size, M is the Taylor factor, α_ρ is material constant related to the

255

hardening from forest dislocations, $\rho_{0,i}$ is the initial dislocation density varies for different phases.

256 Specifically, the high dislocation density of α' is estimated based on martensitic lath structure²⁴ while
 257 the measured value for conventional Ti-6Al-4V is taken for α and β . σ_{SR} is calculated in terms of
 258 Peierls-Nabarro stress and solid solution strengthening contribution, as:

$$\sigma_{SR} = \sum_i M(\tau_{PN,i} + \tau_{SS,i})G_i(T, \dot{\epsilon}) \quad i = \alpha, \beta \text{ or } \alpha' \quad (15)$$

259 where τ_{PN} is the Peierls-Nabarro stress, formulated as:

$$\tau_{PN,i} = \frac{2\mu_i}{1-\nu} \exp\left(\frac{-2\pi}{1-\nu}\right) \quad (16)$$

260 where ν is Poisson's ratio. τ_{SS} is calculated considering different element content (x_j) and
 261 corresponding strengthening constant ($B_{i,j}$), as:

$$\tau_{SS,i} = \left(\sum_j B_{i,j}^{3/2} x_j \right)^{2/3} \quad (17)$$

262 where $B_{i,j}$ is related to the shear modulus and lattice parameter misfits between phase i and element
 263 j , which can be calculated based on our previous work²⁴. $G_i(T, \dot{\epsilon})$ in Eq. (15) is a normalized
 264 activation energy and formulated as a function of temperature and strain rate ($\dot{\epsilon}$), as:

$$G_i(T, \dot{\epsilon}) = \left(\frac{f_0 \mu_i b_i^3}{k_B T \ln(\dot{\epsilon}_{ref}/\dot{\epsilon})} \right)^n \quad (18)$$

265 where f_0 is activation energy factor, k_B is Boltzmann's constant, $\dot{\epsilon}_{ref}$ is reference strain rate and
 266 n is a material constant related to the shape of energy barriers. Further details of this yield strength
 267 model are given in previous work²⁴.

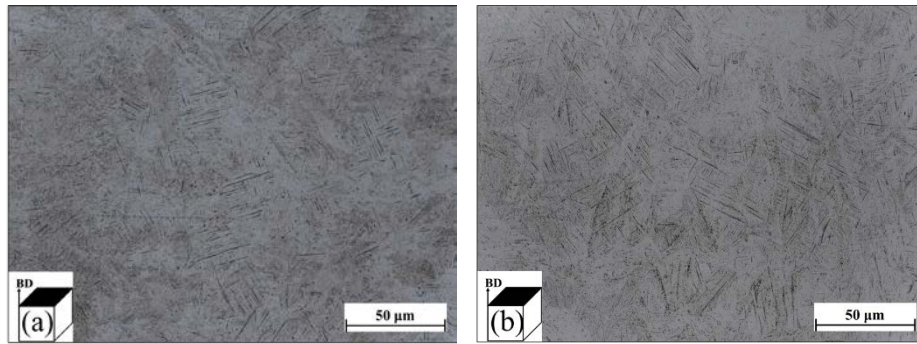
268 4. Results

269 4.1 Experimental results

270 4.1.1 Microstructure

271 OM is used to observe the microstructure of Ti-6Al-4V specimens after the different heat
 272 treatment processes. Figure 9 shows the OM images of samples after 500 °C for 2 h and 600 °C for 2
 273 h heat treatments. Acicular α' martensite is retained after 500 °C-2h heat treatment whereas a slight
 274 decrease of which is observed in the 600°C-2 h sample. For higher heat treatment temperatures, as
 275 shown in Figure 10, using MIPAR software⁴¹, β -phase fractions are measured and calculated as

276 15.81%, 19.04%, 20.13%, 26.36% and 37.01% for Ti-6Al-4V specimens after holding for 2 hours at
 277 700°C, 750°C, 800°C, 850°C and 900°C, respectively, and accompanied with subsequent furnace
 278 cooling. Only a small variation of β -phase fraction is observed within the specimens heat-treated
 279 between 700 °C to 800°C (Figure 10 (a)-(c)) and the phases mainly consists of α and β , dissolved from
 280 the sub-stable martensitic α' . When the holding temperature is increased above 850 °C, the β -phase
 281 fraction increases significantly, along with decrease of α -phase. At the same time, coarsening of α
 282 lath is clearly observed with increase of holding temperature. The α lath width changes from
 283 $1.27\pm 0.15 \mu\text{m}$ at 700 °C to $1.45\pm 0.09 \mu\text{m}$ at 800°C and $2.08\pm 0.13 \mu\text{m}$ at 900°C. For a fixed
 284 temperature increment of 50 °C, the increment of α lath width is 0.08 μm between 700 and 750°C
 285 and increases to 0.38 μm between 850 and 900°C, highlighting more significant coarsening at
 286 temperatures higher than 850 °C. The measured α lath width, along with other key measured
 287 microstructure variables (i.e. α lath length and β grain size) are listed in Table 3.

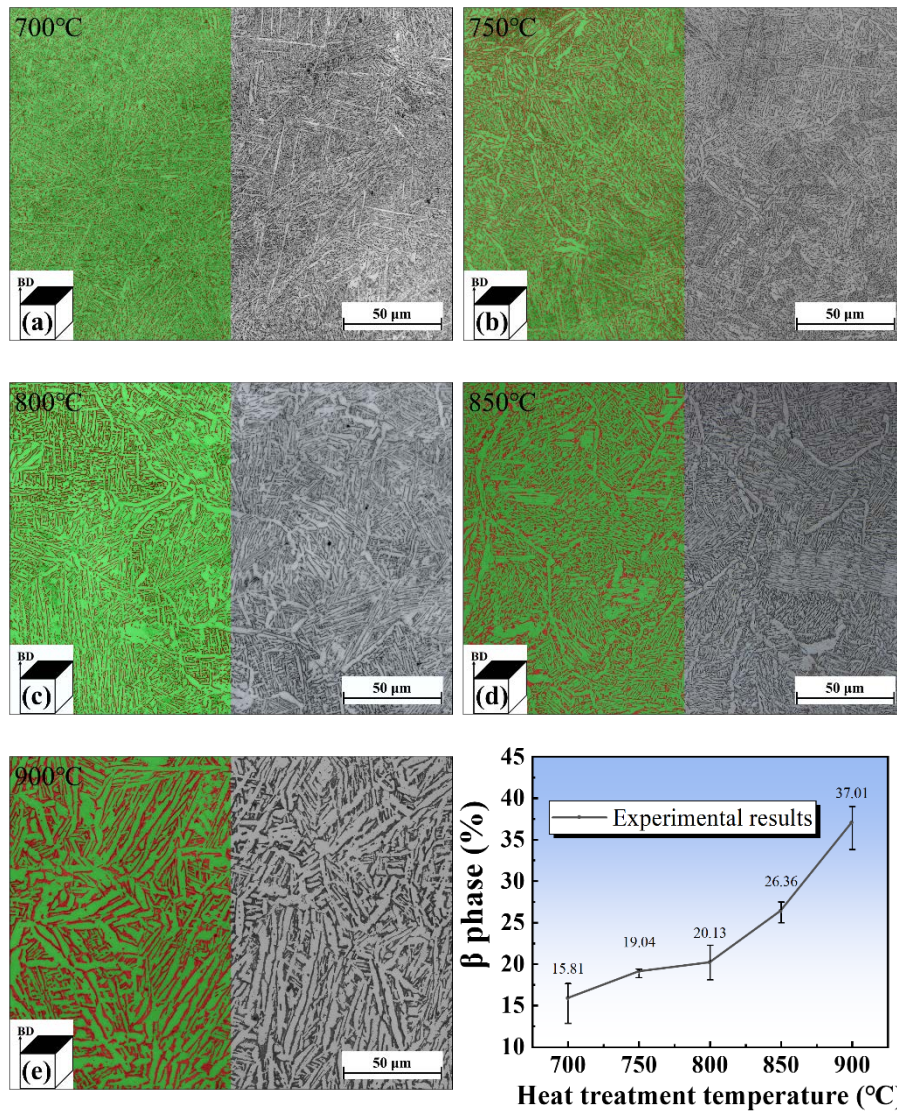


288
 289 Figure 9. OM images of PBF-LB Ti-6Al-4V specimens heat-treated for 2 h at (a) 500°C; (b) 600°C.
 290

291 Table 3. Key measured microstructure variables, including α lath width, length and β grain size.

Heat treatment temperature (°C)	α lath length μm	α lath width μm	β grain size μm
700	28	1.27	130
750	29	1.35	140
800	29	1.45	145
850	25	1.7	194
900	38	2.08	200

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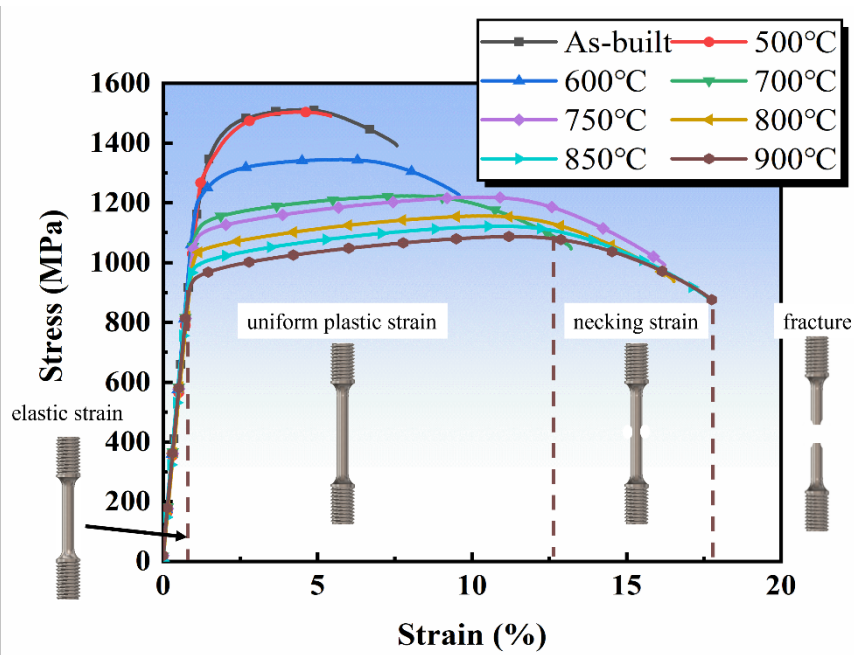
293 Figure 10. Metallographic characterization of the fraction of α -phase (green) and β -phase (red)
 294 phases after heat-treatment at (a) 700°C; (b) 750°C; (c) 800°C; (d) 850°C; (e) 900°C; (f) Summary.

295

296 4.1.2 Tensile properties

297 The engineering stress-strain curves of PBF-LB Ti-6Al-4V after different heat treatments are
 298 shown in Figure 11. Obvious necking and strain hardening stages are observed for both as-built and
 299 heat-treated samples. The tensile properties including Young's modulus (E), yield strength (σ_y),
 300 ultimate tensile strength (σ_{UTS}) and fracture elongation (δ), obtained from the tensile test curves, are
 301 summarized in Table 4. In general, Young's modulus of the specimens is stable with respect to heat-
 302 treatment (holding) temperature with all values higher than 106 GPa, indicating that the porosity
 303 inherited from the manufacturing process is negligible. As per ASTM F2924-14⁵³, minimum tensile

304 properties of PBF Ti-6Al-4V after thermal processing are as follows: should with $\sigma_y > 825$ MPa,
 305 $\sigma_{UTS} > 895$ MPa and $\delta > 10\%$, respectively. The samples of 500 °C for 2 h and 600 °C for 2 h both
 306 have higher strength compared with the standard specification but are not qualified due to low
 307 elongation (<10%). With increasing holding temperature, the ductility of PBF-LB Ti-6Al-4V is
 308 significantly improved at the expense of strength. For example, the fracture elongation of the 900 °C
 309 for 2h sample is almost three times that of the 500 °C for 2h sample, while the yield strength drops
 310 about 30% from 1318 MPa to 949 MPa.



311
 312 Figure 11. Effect of post-build heat-treatment (holding) temperature on room temperature
 313 monotonic tensile test response of PBF-LB Ti-6Al-4V after heat-treatment at different temperatures.

314
 315 Table 4. Tensile properties of PBF-LB Ti-6Al-4V after heat treatment at different temperatures.

Heat-treatment temperature (°C)	Young's modulus (GPa)	Yield strength (MPa)	Ultimate tensile strength (MPa)	Fracture elongation (%)
As-built	111.2	1309	1510	7.56
500	106.7	1318	1504	5.42
600	115.3	1227	1345	9.59
700	111.5	1120	1223	13.21
750	109.8	1093	1220	16.24
800	106.6	1036	1156	16.53

850	115.7	987	1122	17.72
900	108.9	949	1088	17.77

316

317 4.2 Model prediction results

318 4.2.1 Predicted microstructure

319 Figure 12 shows the predicted phase fractions in PBF-LB Ti-6Al-4V with respect to different
320 heat treatment temperatures; corresponding material parameters are listed in Table 5. The β -phase
321 shows a gradual increase from 11.74% for the 500 °C sample to 37.6% for the 900 °C sample. At the
322 same time, α' martensite phase fraction decreases quickly with increase of holding temperature and
323 is fully dissolved in the 700 °C sample. Increasing β -phase from 700 °C to 900 °C is attributed to the
324 subsequent α to β transformation. In addition, different α phase fractions are also shown in Figure 12.
325 α_w shows an increase from 500 °C to 700 °C due to the dissolution of α' martensite while the
326 subsequent decrease is attributed to and contributes to the formation of β phase. α_{gb} phase fraction
327 can be neglected in all samples up to 800 °C and increases due to higher transformation rate at higher
328 temperatures. Figure 13 shows the comparison between experimental and predicted α lath width of
329 Ti-6Al-4V after heat treatment at the different temperatures. Coarsening of α lath width is successfully
330 predicted in terms of the consistent value of α lath width and the increased trend of coarsening rate
331 with respect to hold temperature.

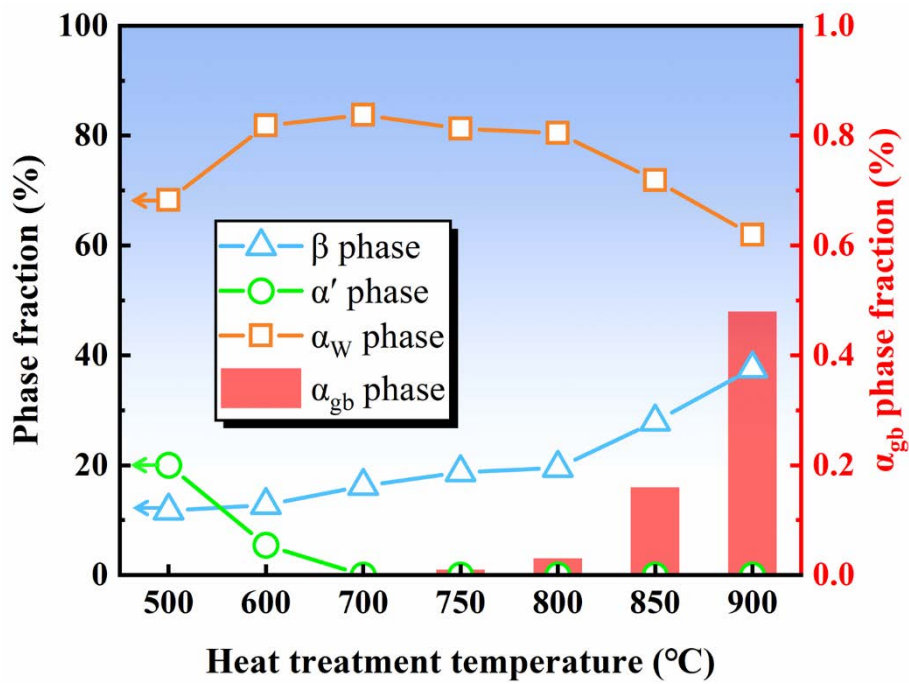
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333 Table 5. Material parameters of integrated PSP model for prediction of key microstructure variables
334 and yield strength.

Symbol	Unit	Phases	Value	Source
c	-	α, β	3	Fitted
\bar{D}_0	μm	α	1	Fitted
		β	100	Fitted
k_0	$\mu\text{m}^{-3}/\text{s}$	α	20	Calculated
		β	2×10^7	Calculated
α_G	-	α, α', β	0.3	54
α_p	-	α, α', β	0.5	55
M	-	α, α', β	3.06	56
E	GPa	α	107	57
		α'	113	58
		β	105	Calculated

ν	-	α, α', β	0.34	59
μ	GPa	α	39.8	Calculated
		α'	43.3	Calculated
		β	39	60
b	nm	α, α'	0.295	61
		β	0.288	60
ρ_0	m^{-2}	α, β	4×10^{13}	62
f_0	-	-	0.42	Fitted
$\dot{\epsilon}_{\text{ref}}$	s^{-1}	-	10^7	63
n	-	-	0.8	Fitted

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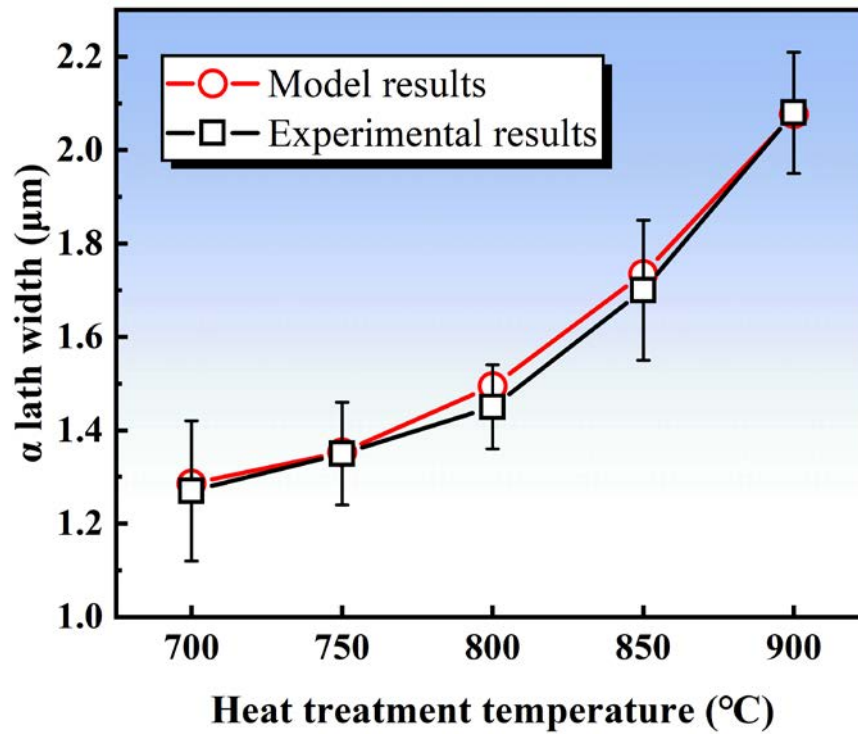


336

337 Figure 12. Predicted different phase fractions (β -phase and breakdown of α : α' , α_w and α_{gb}) with

338

respect to the heat treatment temperatures.

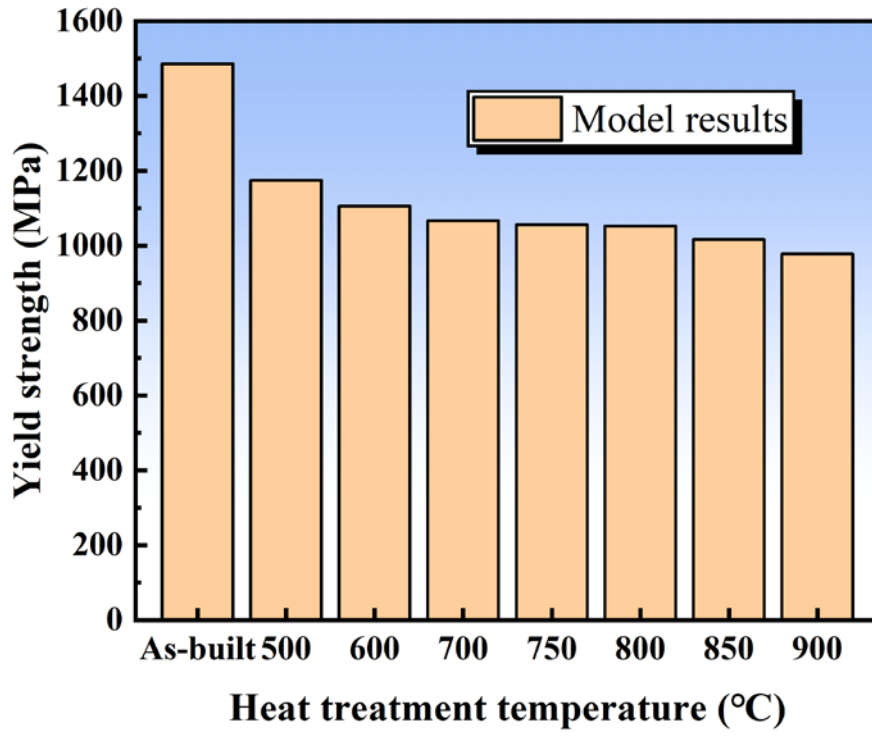


339 Figure 13. Comparison between experimental and predicted α lath width of Ti-6Al-4V after heat
 340 treatment at different temperatures.
 341

342

343 4.2.2 Predicted yield strength

344 Figure 14 shows the predicted yield strength for PBF-LB Ti-6Al-4V after heat treatment at
 345 different temperatures using the integrated PSP model proposed in Section 3. Specifically, the
 346 predicted microstructure variables in Section 4.2.1 are used as input data for yield strength prediction.
 347 The downward trend of yield strength with respect to increasing heat treatment temperature is
 348 captured by the PSP model. The yield strength is predicted to decrease from 1486 MPa in the as-built
 349 state to 1174 MPa after 500 °C (2h) heat treatment with almost 21% decrease. Predicted variations
 350 among the samples from 700 °C to 800 °C is not significant while a nearly 33% decrease is predicted
 351 for the 900 °C, compared to the as-built state, and this is about 100 MPa lower than that of the 700°C
 352 sample.



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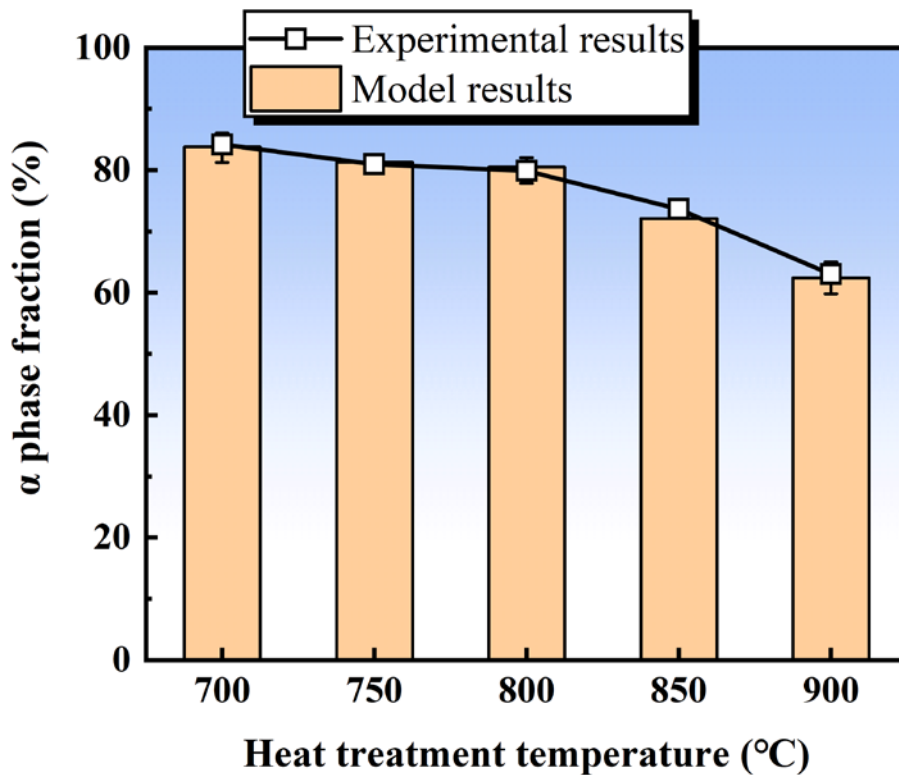
Figure 14. Predicted yield strength for PBF-LB Ti-6Al-4V after heat-treatment at different temperatures.

358 **5. Discussion**

359 **5.1 Effect of different heat treatment temperatures on microstructure**

360 Decomposition of α' martensite into $\alpha+\beta$ dual phase structure is the dominant SSPT mechanism
361 during the post-build heat treatment of PBF-LB Ti-6Al-4V. The experimental results show that high
362 temperature ($>M_D$) promotes coarsening of α' martensite, leading to transformation of α' martensite
363 into lamellar α -phase. Combined with the predicted results, it is shown that heat treatment at 700 °C
364 can completely eliminate α' martensite. Subsequent coarsening of α -phase occurs during the holding
365 process. When the temperature gets closer to the β transus temperature, β -phase is formed at the α -
366 phase boundary, attributed to precipitation of vanadium as a eutectic stabilizer.

367 Since the phase fractions of α' martensite and β -phase at 500 °C and 600 °C cannot be accurately
368 measured by metallographic observation, the phase fraction of samples heat-treated from 700 °C to
369 900 °C are selected for verifying the model accuracy. As shown above, the α' martensite transforms
370 to α -phase completely after heat treatment above 700 °C. The α -phase fraction, which is the sum of
371 α_w and α_{gb} , as predicted by the model is compared with experimental results in Figure 15, showing
372 that the prediction error is less than 2%.



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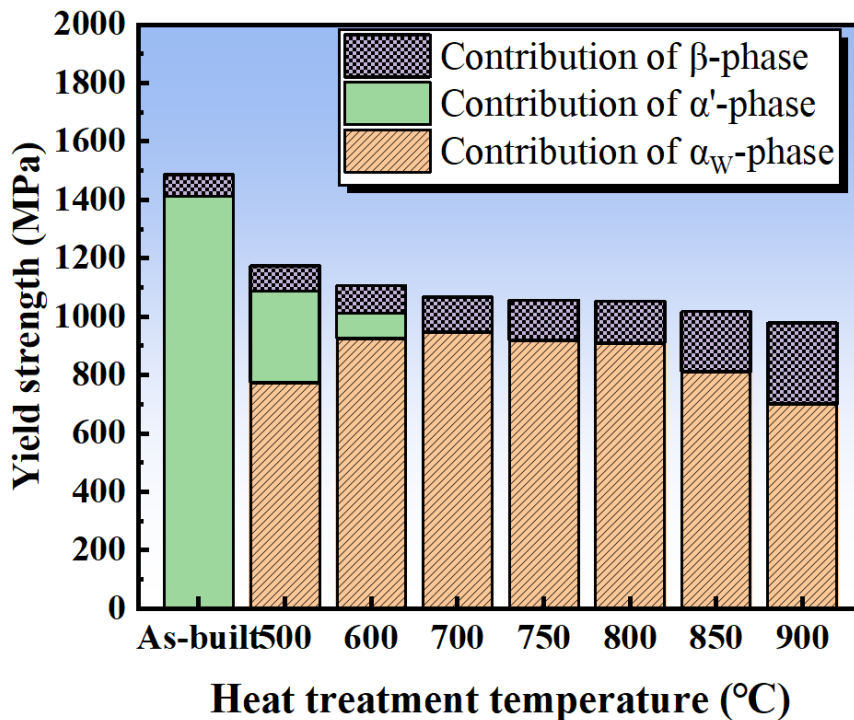
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Figure 15. Comparison between experimentally measured and predicted α -phase fractions.

375

376 5.2 Effect of different heat treatment temperatures on tensile properties

377 Conventional Ti-6Al-4V has a dual phase structure with hard α -phase contributing to strength
378 and soft β phase for ductility ⁶⁴. In PBF-LB Ti-6Al-4V, three phases co-exist, namely α , β and α' ,
379 during the post-heat treatment process. α' is the hardest but is extremely brittle due to inherited high
380 dislocation density from the fast cooling process of PBF-LB. Accompanied with the decomposition
381 of α' martensite, ductility of PBF-LB Ti-6Al-4V increases significantly at the cost of reduced strength
382 after heat treatment. In addition, formation of increased β -phase by increasing holding temperature
383 further improves ductility, consistent with the findings of Kaschel et al. ⁶⁵. Figure 16 shows the
384 strength contributions from different phases after heat treatment at different temperatures. Compared
385 with the 500 °C heat-treated sample, heat treatment at 700 °C leads to 26.7% reduction of α' martensite
386 and the strength contribution from α' martensite (313 MPa) is lost. At the same time, α -phase
387 contributes a boost of 174 MPa with 15.5% increase of α -phase. Calculated results clearly show that
388 α' martensite offers a more significant strengthening effect than α -phase. Similarly, comparing the
389 700 °C to 900 °C heat-treated cases, the contribution of α -phase decreases by 245 MPa, with 20%
390 reduction of phase fraction, while the 20% increase of β -phase only leads to 156 MPa contribution to
391 yield strength, confirming that β -phase is the softest.



392

393 Figure 16. Predicted breakdown of the yield strength contribution from α , β and α' phases after heat

394

treatments at different temperatures.

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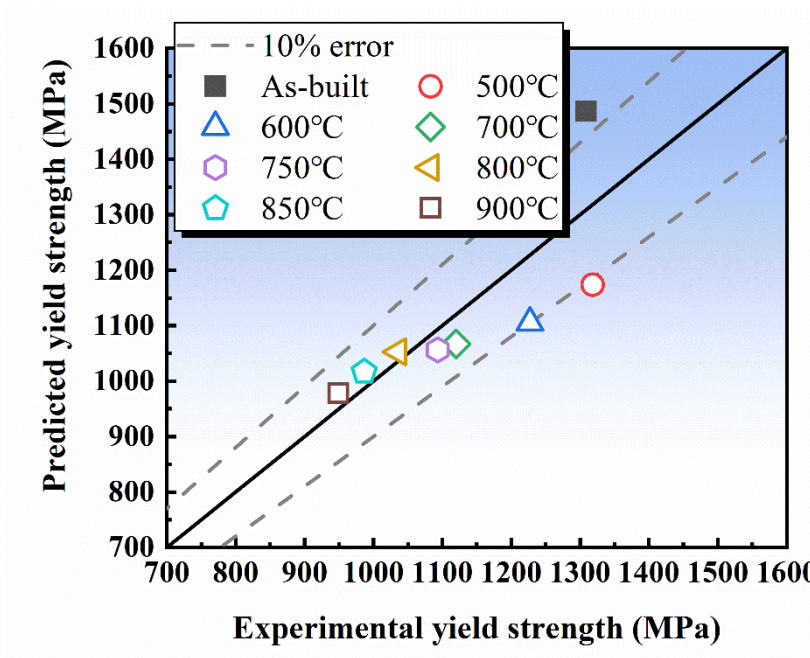
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In order to validate the proposed PSP model, the predicted yield strength for different post-build heat treatment processes, based on predicted key microstructure variables (i.e. α lath width, length and β grain size), is compared with experimental monotonic tensile test results, as shown in Figure 17. The predictions for higher holding temperatures are more accurate, especially when α' martensite is fully dissolved (i.e. > 700 °C). the presence of the hard α' martensite dominates the strength contribution of the three-phase system results in significant variation of yield strength prediction even for minor errors in predicted phase fraction. In addition, as α and α' -phase both have a HCP structure and share a similar lath-like morphology, it is difficult to accurately distinguish them from either X-ray powder diffraction (XRD) or microscopy. Thus, the prediction of individual α' -phase cannot be easily verified; this will be a focus of our future research.



406

407

Figure 17. Comparison between experimental yield strength and predicted results.

408

409

410 **6. Conclusion**

411 In this paper, an integrated process-structure-property model for post-build heat treatment of
412 PBF-LB Ti-6Al-4V is developed. The yield strength of samples with different heat treatment
413 processes (e.g. holding temperatures) is successfully predicted via the accurate prediction of key
414 microstructure variables such as α lath width, length and β grain size. Specific conclusions are as
415 follows:

- 416 • The integrated process-structure-property model is validated against the experimental
417 observations and published papers with acceptable accuracy.
- 418 • Low cooling rate effects of post-build heat treatment are captured in the present work to improve
419 the prediction accuracy for phase fractions. The phase fraction of α' martensite in the as-built state
420 gradually reduces with increasing heat treatment temperature and no longer appears during slow
421 cooling.
- 422 • β phase fraction is positively related to heat treatment temperature. Due to increased β phase
423 fraction, the ductility of heat-treated PBF-LB Ti-6Al-4V is significantly improved at the cost of
424 reduced strength. Tensile properties for the cases with heat treatment temperatures higher than 700 °C
425 are shown to meet ASTM qualification standards for PBF-LB Ti-6Al-4V.
- 426 • The strength contributions of different phases is demonstrated. The relative strength contributions
427 of α , β and α' phases is rationalized by analyzing the strength variation due to phase change.
- 428 • The optimal heat treatment temperature, for post-build heat treatment of PBF-LB Ti-6Al-4V, can
429 be determined inversely using the proposed process-structure-property model. The same approach
430 could be adapted for other materials and processes.

431

432 **Authorship**

433 **Jianxin Liu:** Methodology, Software, Validation, Writing - original draft. **Xinyu Yang:**
434 Conceptualization, Methodology, Software, Investigation, Writing - original draft, Writing - review
435 & editing. **Xingzai Chai:** Software, Investigation. **Adrian Boccardo:** Methodology, Software.
436 **Yefeng Chen:** Investigation, Writing - review & editing. **Xiaowei Wang:** Conceptualization,
437 Investigation, Writing - review & editing, Project administration, Funding acquisition. **Seán B. Leen:**

438 Conceptualization, Methodology, Supervision, Writing - review & editing, Project administration,
439 Funding acquisition. **Jianming Gong**: Supervision, Writing - review & editing, Project
440 administration, Funding acquisition.

441

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451 arising from this submission.

452

453 **Data and code availability**

454 The raw/processed data required to reproduce these findings cannot be shared at this time as the
455 data also forms part of an ongoing study.

456

457 **Conflicts of interest**

458 The authors declare that they have no known competing financial interests or personal
459 relationships that could have appeared to influence the work reported in this paper.

460

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