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Title	A CFD investigation of a variable-pitch vertical axis hydrokinetic turbine with incorporated flow acceleration
Author(s)	Mannion, Brian; McCormack, Vincent; Leen, Sean B.; Nash, Stephen
Publication Date	2019-02-18
Publication Information	Mannion, Brian, McCormack, Vincent, Leen, Seán B., & Nash, Stephen. (2019). A CFD investigation of a variable-pitch vertical axis hydrokinetic turbine with incorporated flow acceleration. <i>Journal of Ocean Engineering and Marine Energy</i> , 5(1), 21-39. doi: 10.1007/s40722-019-00130-1
Publisher	Springer
Link to publisher's version	https://doi.org/10.1007/s40722-019-00130-1
Item record	http://hdl.handle.net/10379/15590
DOI	http://dx.doi.org/10.1007/s40722-019-00130-1

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A CFD Investigation of a Variable-pitch Vertical Axis Hydrokinetic Turbine with Incorporated Flow Acceleration

Brian Mannion^{1,*}, Vincent McCormack², Seán B. Leen¹ and Stephen Nash^{1,*}

¹ College of Engineering & Informatics, National University of Ireland Galway, Ireland and SFI Centre for Marine Renewable Energy Ireland.

² GKinetic Energy LTD, Limerick, Ireland.

* Correspondence: b.mannion4@nuigalway.ie; stephen.nash@nuigalway.ie

Abstract: This paper presents the numerical modelling of a novel vertical axis tidal turbine that incorporates localised flow acceleration and variable-pitch blades. The focus is to develop a computational fluid dynamics model of a 1:20 scale model of the device using ANSYS® Fluent®. A nested sliding mesh technique is presented, using an outer sliding mesh to model the turbine and additional inner sliding meshes used for each of the six blades. The turbine sliding mesh is embedded in an outer static domain which includes the flow accelerating bluff body. Modelled power performance and velocity data are compared with experimental results obtained from scale model tests in a recirculating flume. The predicted power curves show general agreement with the measured data; the relative difference in maximum performance coefficient for example, is just 5.7 %. The model also accurately reproduces measured flows downstream of the turbine. The verified and experimentally validated model is subsequently used to investigate the effects of the variable-pitching and number of blades on device performance.

Keywords: Novel vertical axis tidal turbine; Performance prediction; Flow acceleration; Sliding mesh; Blade pitch control; Computational fluid dynamics.

1. Introduction

In recent times, significant research and development resources are being utilised in an effort to develop efficient tidal stream energy converters. To date, the majority of the research has primarily concentrated on horizontal axis tidal turbines; this is evident from the current market leaders in the sector such as Simec Atlantis Energy, Verdant Power, Andritz Hydro Hammerfest, Voith, OpenHydro and Scotrenewables, whose turbines are all horizontal axis designs. Although there are some examples of vertical axis tidal turbines (e.g. Instream Energy Systems, New Energy Corporation, HydroQuest, and Norwegian Ocean Power), they have not been investigated to the same extent.

Numerical models for performance prediction and design optimisation have become imperative to the successful development of commercial-scale hydrokinetic devices. Several numerical modelling approaches of varying complexity and accuracy have been developed, but there are three primary model types: (1) blade element momentum theory models, (2) free-vortex models and (3) computational fluid dynamics models.

Blade Element Momentum Theory (BEMT) was initially developed by the research contributions of Glauert (1926), Strickland (1975) and Templin (1974). It is based on a combination of blade element and momentum theories through the use of the well-documented actuator disc and stream tube approaches. The strength of this approach is its relatively low computational cost compared to other methods. BEMT models allow for rapid evaluation of turbine design iterations. The majority of BEMT models require an iterative approach to determine the local axial induction factor and depend on experimental aerofoil data or data that has been predicted using a panel method (e.g. XFOIL®); the work of Sheldahl and Klimas (1981) is a commonly used data set. BEMT is most useful for devices with low blade loadings and/or low solidity, and devices that operate at lower tip speed ratio ranges; for highly loaded turbines, the implementation of the iterative approach in determining the axial induction factor can result in convergence issues (Gupta and Leishman, 2005; Klimas and Sheldahl, 1978; Paraschivoiu et al., 1983; Strickland, 1975). Free-vortex models are based on the representation of the aerofoil blade as a bound vortex filament, called a lifting line. This lifting line changes its magnitude as the azimuthal position varies (Ponta and Jacovkis, 2001). Strickland et al. (1979) were the first to

48 successfully implement a vortex model in relation to a (wind) turbine. Blade forces are calculated within the
49 free-vortex model using the blade element method (BEM) based on experimental aerofoil data, and the forces
50 are applied with knowledge of blade local velocity vectors.

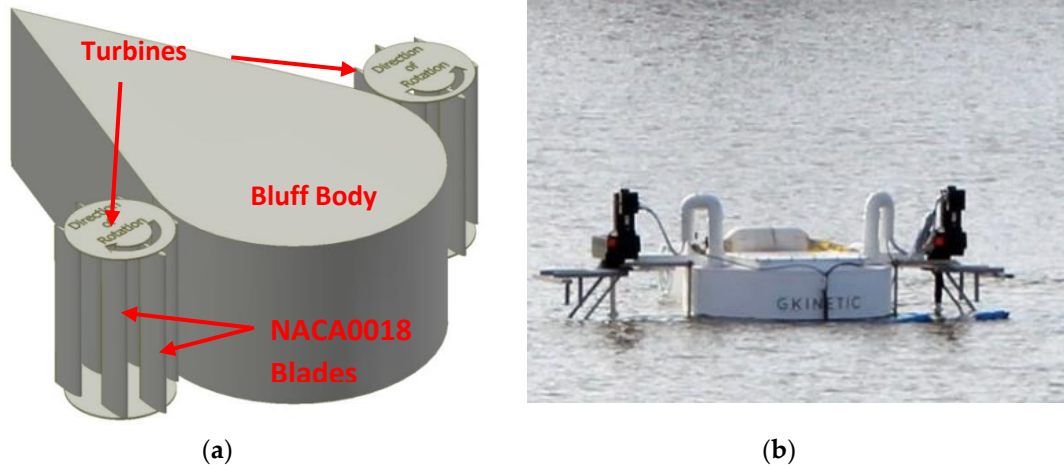
51 Although the BEMT and free-vortex model approaches can be used as early stage design evaluation tools,
52 when accuracy is paramount, the method most commonly used throughout research and industry is CFD. The
53 governing equations of flow in CFD models are the Navier-Stokes equations, and a number of approaches are
54 used to solve them including Direct Numerical Simulation (DNS), Large Eddy Simulation (LES), Detached
55 Eddy Simulation (DES), and the Reynolds Averaged Navier-Stokes (RANS) approach. Direct Numerical
56 Simulation (DNS) involves the complete 3D and time-dependent solution of the Navier-Stokes and continuity
57 equations. However, due to the massive computational expense associated with DNS modelling, it is currently
58 restricted to very simple geometries and is therefore not a viable option for turbine modelling. Large Eddy
59 Simulation (LES) is a technique where the large eddies are directly computed without. The conservation
60 equations are not averaged in time, but rather are averaged in space. The small-scale turbulence is diluted and
61 contributes less to the Reynolds stresses, and is therefore not as vital. Turbulence modelling is then reduced to
62 only the sub-grid scale. However, even with the application of a wall-treatment (sub-grid scale model).
63 Significant difficulties occur for LES near solid surfaces where eddies are small, to the extent that the stress-
64 bearing and dissipation ranges of eddy size overlap. This means that the spatial and temporal refinement near
65 solid surfaces increases to that required for full DNS. The Detached Eddy Simulation (DES) method was
66 developed as a computational cost-reducing method that treats large eddies using conventional LES, while
67 treating boundary layers and thin shear layers with the conventional RANS approach.

68 The RANS approach is commonly used to model complex turbulent flows such as flow through a turbine.
69 This time-averaged approach requires the use of a turbulence model to compute the Reynolds stresses. The most
70 commonly used turbulence models include the Spalart-Allmaras (Spalart et al., 1992), $k - \varepsilon$ (Launder and
71 Spalding, 1974), $k - \omega$ (Wilcox, 1988), $k - \omega$ shear stress transport (SST) (Menter, 1994) and Transitional
72 SST models (Menter et al. 2006). The Transitional SST model incorporates two additional equations, in addition
73 to the, k and ω equations of the $k - \omega$ SST model, intermittency (γ) and the transitional momentum
74 thickness Reynolds number (\overline{Re}_{θ_t}). γ is used to determine whether the Transitional SST model should be active.
75 When γ equals zero, the production of turbulent kinetic energy, k is suppressed and the flow is effectively
76 laminar. When γ is equal to one, the Transitional SST model is fully active and the flow is assumed to be fully
77 turbulent. \overline{Re}_{θ_t} controls the transition criterion between laminar and turbulent flow. The critical Reynolds
78 number, Re_{θ_c} , occurs where intermittency begins to increase in the boundary layer. It occurs upstream of the
79 Reynolds number of transition onset, Re_{θ_t} , as turbulence must first build up to appreciable levels in the
80 boundary layer before any change in the laminar profile can occur. As a result, Re_{θ_c} is the location where
81 turbulence starts to grow and Re_{θ_t} is the location where the velocity profile starts to deviate from a purely
82 laminar profile. Further information on the Transitional SST is available in the developers research Langtry &
83 Menter (2009); Menter et al. (2006) or the ANSYS Fluent 17.1 theory guide (2016).

84 The software used to implement the RANS equations in this research is ANSYS® Fluent®; it is commonly
85 used in turbine modelling. ANSYS® Fluent® was chosen for this research over other code such as Star CCM
86 and OpenFOAM for example, as Fluent allows adequate flexibility and robustness for the development of a
87 variable pitch turbine model. Fluent also has an extensive user-defined function library for adaptation to many
88 problems and scenarios. CFD models of turbines can be steady-state or transient. If computational resources
89 are scarce, steady-state models can be applied for turbine blades at different azimuthal positions and the results
90 aggregated (Masters et al., 2015). Transient modelling of the moving blades, although more complicated, is
91 more accurate and is important where blade interaction occurs, e.g. for high solidity devices like the turbine
92 studied in this research. Transient modelling techniques require the simulation to explicitly represent the turbine
93 blade movement through the fluid. This can be accomplished using a sliding mesh techniques (Korobenko et
94 al., 2013; Lain and Osorio, 2010; Lee et al., 2015) where one part of the mesh moves while the remainder is
95 static. The sliding mesh technique is adapted here to facilitate variation in blade pitch by nesting inner higher
96 resolution sliding meshes within an outer lower resolution sliding mesh.

97 The vertical axis hydrokinetic turbine which is the focus of this research was developed by GKinetic
98 Energy Ltd. A 3D image of the device is shown in Figure 1(a), while a picture of the device during field tests
99 is presented in Figure 1(b). The device has two vertical axis turbines positioned either side of a central bluff
100 body. Two significant features of the device are: (1) the central *bluff body* accelerates the entrance velocities to
101 the turbines and (2) the variable-pitching turbine blades that are designed to maximise hydrodynamically

102 induced torque on the generator shaft. Scaled prototypes of the turbine have been tested in a recirculating flume
 103 (Mannion, et al. 2018a) and in the field.
 104



105
 106 **Figure 1:** (a) Solid model of the GKINetic tidal turbine; (b) photograph of the deployed device.
 107 Reproduced with permission from Mannion, et al. (2018a).

108 This paper presents the development of a 2D transient CFD model of the turbine shown in Figure 1 using
 109 a nested sliding mesh technique. The model includes the flow accelerating bluff body and variable blade
 110 pitching; the latter is controlled during simulations via a user-defined function (UDF). The developments are
 111 based on CFD modelling recommendations and best practice identified from the literature. The model is used
 112 to simulate 1:20 scale model tests conducted in a recirculating flume. The predicted performance is validated
 113 by comparison with measured data for mechanical power and wake velocities. The converged and
 114 experimentally validated model is used to investigate various aspects of the current device setup including the
 115 number of blades on the turbines, the benefits variable versus fixed pitch blades, shaft sizing, location of turbine
 116 relative to the bluff body and the effect of blade chord length. Each of the design cases is assessed in relation
 117 to mechanical power performance.

118 2. Methodology

119 2.1. Device background and experimental testing

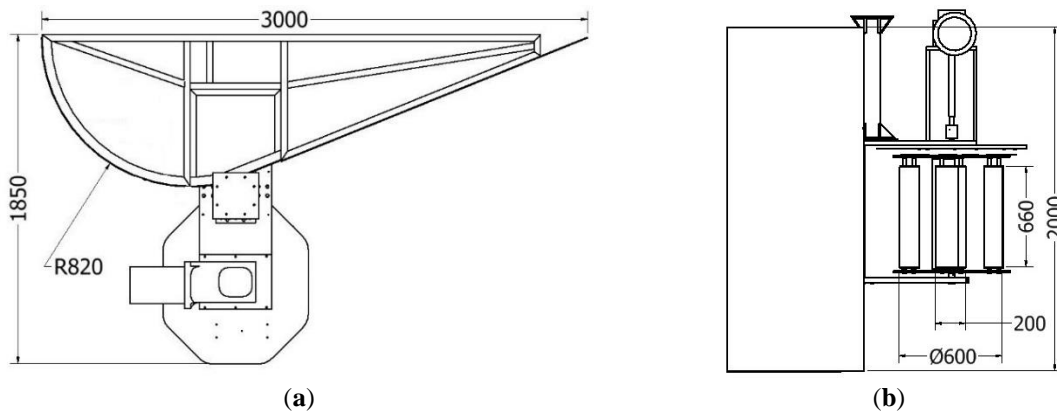
120 As seen in Figure 1, the hydrokinetic device comprises of two vertical axis turbines (VATs), each of which
 121 has six NACA 0018 profile blades of 200 mm chord length. For VATs, the angle of attack varies widely during
 122 each revolution of the turbine, so symmetrical profiles are most commonly used. Furthermore, in the present
 123 device, when the blades of the turbine are transitioning from upstream to downstream, they undergo a sudden
 124 70° change in pitch angle which made symmetrical blade profiles more desirable. Thinner profiles exhibit
 125 larger lift to drag ratios (Sheldahl and Klimas, 1981), but NACA 0018 profiles were chosen for this particular
 126 turbine due to their stiffness due to bending over the thinner profiles of NACA 0012 and NACA 0015.

127 Variable-pitching was implemented using a patented cam track and follower controlling each blade via
 128 individual shafts.

129 The experimental data used to validate the CFD model was collected during testing of a 1:20 scale model
 130 in the IFREMER recirculating flume in Boulogne-sur-Mer, France. The flume measures 18 m long, 4 m wide
 131 and 2.1 m deep and is capable of producing flow velocities in the range of 0.1 to 2.2 m/s. Due to the dimensional
 132 constraints of the tank, it was only feasible to test half of the device, i.e. one-half of the bluff body and a single
 133 turbine, the dimensions of which are shown in Figure 2. The mechanical power, P_m , was calculated from
 134 measured torque and rotational speed and converted to a power coefficient (C_p) using:

$$C_p = \frac{P_m}{0.5\rho AU_\infty^3} \quad (1)$$

135 where ρ is water density, A is device entrance area (i.e. the sum of the bluff body and turbine entrance areas),
 136 and U_∞ is freestream velocity. More detail on the experimental testing is available in Mannion, et al. (2018a).

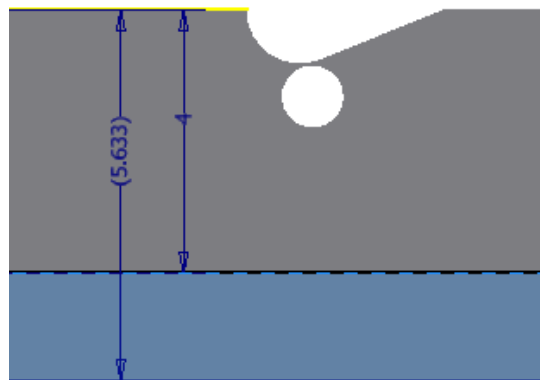


137 **Figure 2:** 1:20 scale device with outlining dimensions (mm); (a) plan view (b) end elevation.
 138 Reproduced with permission from Mannion, et al. (2018a).

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140 2.2. CFD Modelling Considerations

141 There are many differences between previously published CFD sliding mesh turbine model studies. Mesh
 142 refinement (e.g. number of nodes over hydrofoils edges), diameter of rotating domain relative to turbine
 143 diameter and extents of the domain upstream and downstream of the turbine all vary widely. Domain width also
 144 varies; in some cases, it is restricted to the extents of the experimental domain (Bachant and Wosnik, 2016)
 145 while other studies define domain sizes relative to blade chord length (Almohammadi et al., 2012). A 2D model
 146 which retains the same domain extent as a test setup presents a higher blockage ratio than the test. Mannion et
 147 al. (2018b) showed that this higher blockage of the 2D model could lead to performance over-prediction. They
 148 also showed that such blockage errors can be corrected by extending the width of the 2D model domain to give
 149 the same blockage ratio as the test (Mannion et al. 2018b). This approach is implemented here so that the width
 150 of the domain is extended from 4 m to 5.633 m (Figure 3).



151

152 **Figure 3:** Blockage correction, distance to model wall extended from 4 m to 5.633 m.

153

154 The dimensionless wall distance, y^+ , is an essential parameter in turbulence modelling as it helps determine
 155 the appropriate mesh resolution near solid boundaries. Values vary between studies but can be estimated for
 156 use with the selected turbulence model. Mohamed (2012) employs the $k - \epsilon$ model for a VAT and recommend
 157 a $y^+ > 30$. However, logarithmic-based wall functions are not recommended where flow separation is likely,
 158 such as for VATs. Instead, either a $k - \omega$ based model or a Spalart-Allmaras based model can be used and the

159 viscous sublayer directly resolved using $y^+ \cong 1$. Maître et al. (2013) followed this recommendation with the
160 $k-\omega$ SST.

161 2nd order spatial discretisation schemes are most commonly used in the literature and have shown to
162 provide accurate model results. There is no general agreement on the best Fluent[®] solver for VAT modelling.
163 All four available solvers have been implemented: SIMPLE (Semi-Implicit Method for Pressure-Linked
164 Equations) (Mohamed, 2012), SIMPLEC (Semi-Implicit Method for Pressure-Linked Equations-Consistent)
165 (Lam and Peng, 2016), PISO (Pressure-Implicit with Splitting of Operators) (Ghasemian and Nejat, 2015) and
166 COUPLED (pressure-velocity coupling method), (Balduzzi et al., 2016) which is used in this research.

167 Confirmation of model convergence is crucial for CFD model credibility. The Richardson extrapolation
168 is an example of a method used for determining CFD model convergence based on error estimating
169 (Almohammadi et al., 2013). The Richardson extrapolation is used in this work to assess mesh convergence.
170 Whereas the number of rotations required for convergence is determined based on variation in the average
171 torque loading of the rotor between subsequent rotations. In the literature the number of rotations for
172 convergence has been found to vary, likely due to the turbine design, but is generally found to lie between 8 to
173 15 rotations (Chatterjee and Laoulache, 2013; Maître et al., 2013). The general consensus is that a solution is
174 converged if the difference between the torque values of successive rotations is less than 1 %.

175 Another parameter that can have a significant effect on accuracy is the model time-step. It is essential to
176 carry out temporal (and spatial) discretisation studies in order to achieve an entirely independent solution. It is
177 common practice to normalise time-step values to correspond with azimuthal sizing. The value of time-step
178 required for an independent solution is found to vary significantly. (Rossetti and Pavesi, 2013) found that a
179 time-step representative of 2° azimuth rotation per time-step is required for solution independence while other
180 researchers such as Maître et al. (2013) and Trivellato and Raciti Castelli, (2014) suggest smaller time-step
181 values of 1° and less than 0.5°, respectively. Balduzzi et al. (2016) show that smaller time-step values are
182 required in cases of low flow speeds where large separation regions occur.

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185 3. Model development

186 The 1:20 scale testing was conducted at a range of flow speeds, but model development and validation
187 runs were limited to flow speeds of 0.7 m/s and 1.1 m/s. Table 1 presents a summary of the key dimensions of
188 the CFD model and the 1:20 scale test device.

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191 3.1. Mesh Geometry

192 The CFD mesh was developed using ANSYS[®] Workbench Meshing and predominately consists of
193 unstructured triangular elements with quadrilateral elements at the walls. As shown in Figure 4, the model
194 contains eight nested meshes in total. The outermost domain is static and contains the half-bluff body. The large
195 outer sliding mesh, measuring 0.9 m in diameter, represents the turbine which has a diameter of 0.6 m. Nested
196 within the turbine sliding mesh are six smaller sliding meshes of 0.29 m diameter, each of which represents one
197 of the individual blades of 0.2 m chord length. Figure 5(a) shows the mesh for the full turbine, which is more
198 refined closer to the hydrofoil walls; Figure 5(b) displays the full width of the static mesh where the size of the
199 device in relation to the size of the domain is visible. Figure 6 shows an image of this converged mesh around
200 one of the hydrofoils. The 35 quad element inflation layers are visible with a growth rate of 1.2.

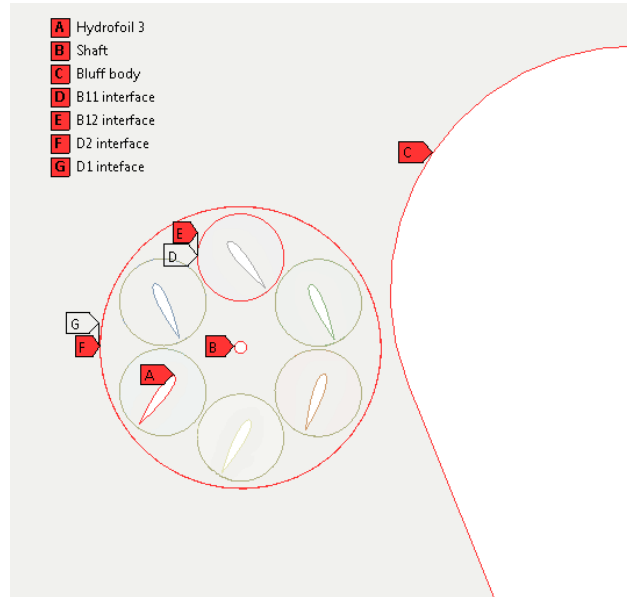
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202 **Table 1.** Key details and dimensions of 1:20 tidal turbine and associated CFD model domain details.

Description	Value
Turbine diameter (D)	0.6 m
Blade rotating mesh diameter	0.290 m
Turbine rotating mesh diameter	0.9 m
Blade profile type	NACA 0018

Blade chord (c)	0.2 m
Blade length (L)	0.667 m
Number of blades	6
Bluff body radius (BBR)	0.82 m
Bluff body length (BBL)	3 m

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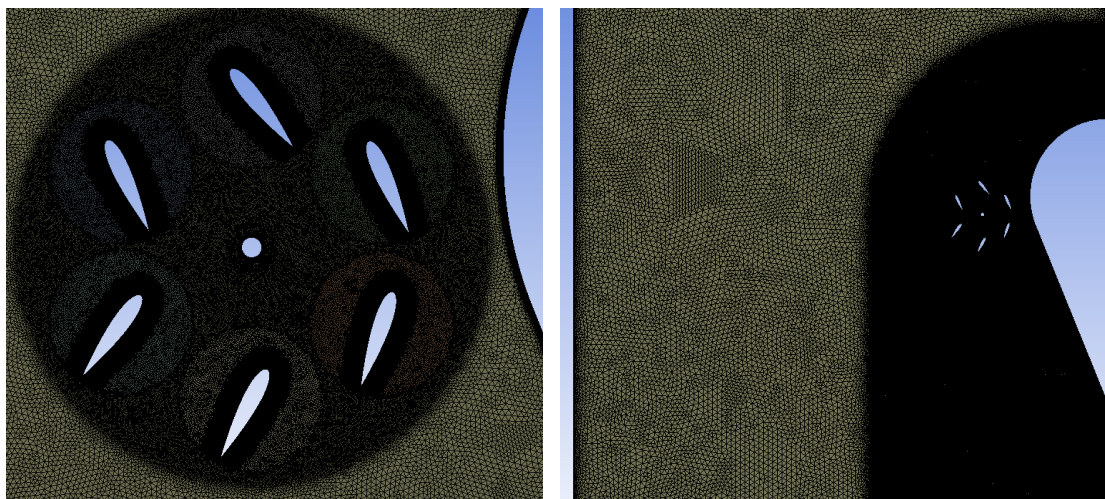
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Figure 4: Schematic showing the arrangement of the model meshes with crucial components identified.

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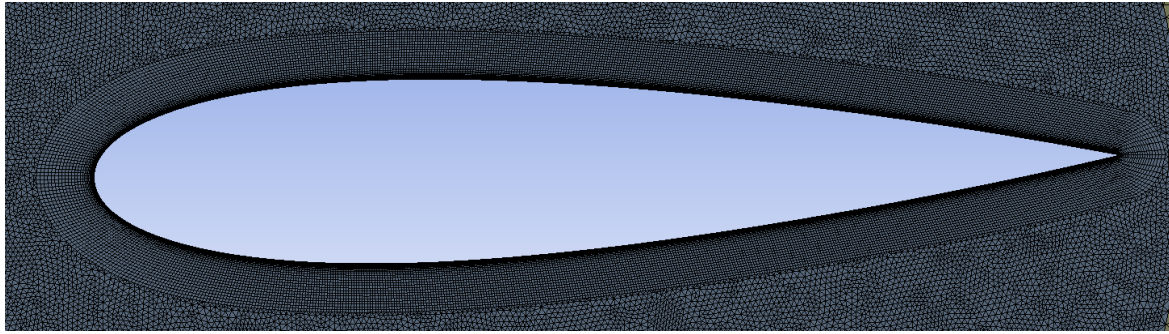
(a)

(b)

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Figure 5: Mesh showing (a) around turbine and (b) far field.

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Figure 6: Mesh around hydrofoil with 35 quad inflation layers visible.

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It was shown in Mannion, et al. (2018b) that while model results were sensitive to the turbine sliding mesh size, the effects were negligible once the diameter of the turbine rotating mesh was greater than 1.5 times the turbine diameter. In the present study, the sizes of the sliding meshes were restricted by dimensional constraints of the device setup. As can be seen in Figure 5(a), the presence of the bluff body (i.e. a wall boundary) next to the turbine restricted the turbine mesh size, while the chord length and the extents of the turbine sliding mesh restricted the size of the blade meshes. In both cases, however, the sliding mesh diameter was 1.5 times greater than the turbine diameter / chord length. Turbulence intensity was set at 5 % to be reflective of that present in the experimental testing. The lateral walls of the tank were considered as no-slip boundary walls.

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3.2. Blade Pitch Control

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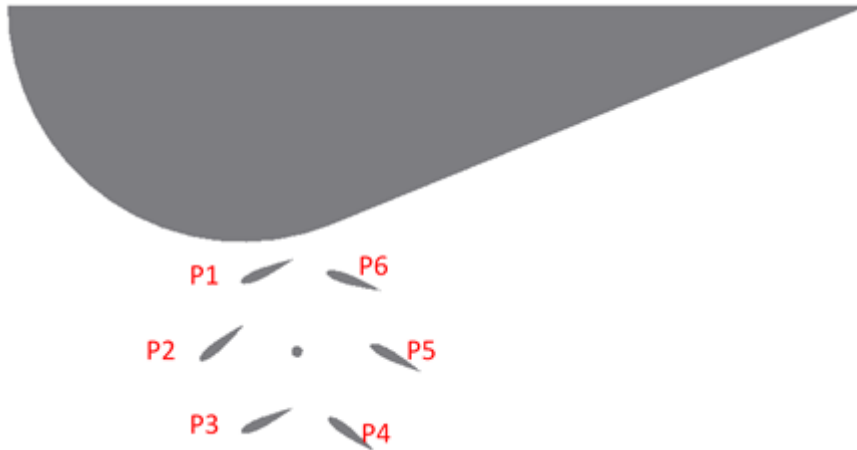
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Figure 7 presents a graphical illustration of the pitching of each of the six blades at an instance in time. In this orientation, the turbine rotates anticlockwise. It can be seen that the blade pitch changes as the blades turn along the up-stream (front) end of the turbine; this is due to the gradients in velocity magnitude and direction as one moves outwards from the bluff body. There is also a noticeable difference in the pitch of the blades on the down-stream side of the turbine compared to their up-stream pitch positions. Between position 3 and position 4, the blade undergoes a pitch transition of about 70° where the angle of attack changes from positive to negative. This location was chosen for this large transition (or flip) to minimise the turbulence generated in doing so. The reason for the flip is because the blades were found to contribute more power from drag than lift when turning through the down-stream portion of the cycle. To incorporate variable-pitching into the CFD model, the motion of the sliding meshes containing the blades had to be controlled. Each blade follows the same pitching profile within each turbine rotation. Mathematical expressions were developed to represent the pitching of the blades at each azimuthal position. A user-defined function (UDF) written in the C programming language was used to control the motion of the individual blade domains and is able to account for different turbine rotational velocities. The model was implemented on a Linux 48 node cluster.



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Figure 7: Schematic of turbine blade pitching relative to the bluff body. Reproduced with permission from Mannion, et al. (2018a).

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242 3.3. Mesh Convergence Study

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The mesh sensitivity study required the development of several different meshes of varying densities (Table 2). As is seen in the table, the method of increasing the mesh densities was to increase the number of nodes along the edges of the hydrofoils (identified as “A” in Figure 4 and “No. of nodes along edges of hydrofoils” in Table 2). The number of elements along the domain interface edges was increased by increasing the number of divisions. The edges on both sides of the domain were selected to ensure the elements on either side of the interface corresponded; the interfaces are shown in Figure 4 as D and E (“Blade interface No. of divisions” in Table 2) for an inner rotating domain and F and G (“Turbine interface No. of divisions” in Table 2) for the outer rotating domain. Face sizing was also adjusted when mesh refinement was required. The number of quadrilateral/prism layers off the blade are referred to in the table as “No. of Quad rows” where a growth rate of 1.2 was used.

259

Table 2. Mesh parameters for sensitivity analysis.

	No. of nodes along edges of hydrofoils	No. of Quad rows	Turbine interface No. of divisions	Blade interface No. of divisions
M1	400	20	200	200
M2	550	25	400	250
M3	700	35	600	300
M4	850	35	800	350
M5	1000	35	1000	400

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The Richardson extrapolation is used to calculate the exact solution based on the convergence and refinement ratio determined using a series of increasingly refined meshes. Before applying the Richardson extrapolation, it is necessary to determine the apparent convergence condition based on the R^* , defined as:

$$R^* = \frac{\phi_{\text{grid}_2} - \phi_{\text{grid}_1}}{\phi_{\text{grid}_3} - \phi_{\text{grid}_2}} \quad (2)$$

265

266

267 where ϕ is the parameter used for convergence, T in this case.

268

269 The following conditions apply:

- 270 • $R^* > 1$ Monotonic divergence
- 271 • $1 > R^* > 0$ Monotonic convergence
- 272 • $0 > R^* > -1$ Oscillatory Convergence
- 273 • $R^* < -1$ Oscillatory divergence

274

275 Richardson extrapolation may only be used when the apparent convergence condition is monotonic. A constant
276 mesh refinement ratio, r , is defined as:

277

$$r = \left(\frac{N_{\text{fine}}}{N_{\text{coarse}}} \right)^{\frac{1}{2}} \quad (3)$$

278

279 where N_{fine} is the number of elements in the fine mesh and N_{coarse} is the number of elements in the coarse
280 mesh. The order of convergence, p is defined as:

281

$$p = \frac{\ln \left(\frac{T_{\text{grid}_2} - T_{\text{grid}_1}}{T_{\text{grid}_3} - T_{\text{grid}_2}} \right)}{\ln(r)} \quad (4)$$

282

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284 The Richardson's extrapolation value is calculated as follows:

$$T = T_{\text{grid}_1} + \frac{T_{\text{grid}_1} - T_{\text{grid}_2}}{r^p - 1} + HOT \quad (5)$$

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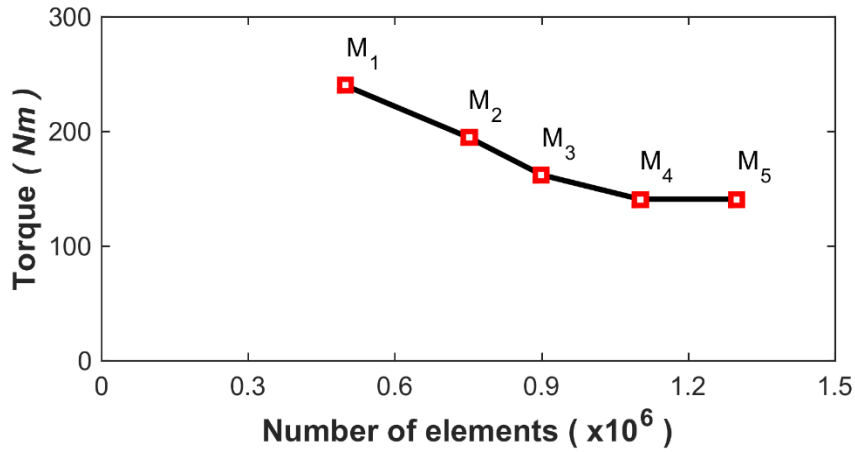
286 where HOT is for any higher order terms.

287 Figure 8 presents the variation of the five meshes in terms of predicted turbine torque. It is clear that mesh
288 M4 was the most suitable as it provides a converged solution (within 1 % of the final Richardson's extrapolation
289 value in Table 3) whilst minimising the computational cost. More specific spatial details of the M4 mesh are
290 presented in Table 4. Figure 9 shows the variation of average and maximum y^+ evaluated over the duration of
291 a full rotation.

292 **Table 3.** Mesh refinement convergence determined with Richardson's extrapolation

	No. of mesh elements (x10⁶)	Modelled Torque (Nm)	Richardson's Value	Difference in Torque
M1	0.5	240.47	141.25	-70.24%
M2	0.75	194.92	141.25	-37.99%
M3	0.9	162.07	141.25	-14.74%
M4	1.1	142.26	141.25	-0.72%
M5	1.3	141.10	141.25	0.11%

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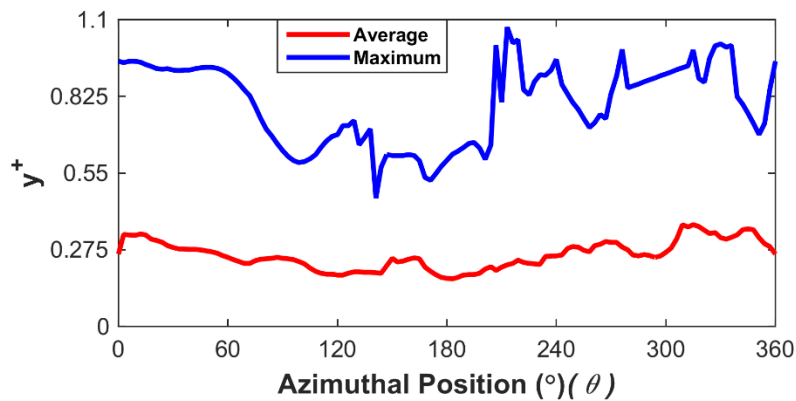
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Figure 8: Mesh convergence study, showing M4 mesh as optimum for the model.

Table 4. Converged M4 mesh details.

Description	Value
Average skewness	0.0059
Max skewness	0.81
Average quality	0.86
Max aspect ratio	65
Max y^+	1.06
Number of elements	1.1×10^6

300



301
302

Figure 9: Average and maximum y^+ evaluated over the duration of a full rotation.

303 3.4. Solution Convergence

304 The model solution is achieved in three stages. First, a moving reference frame (MRF) steady-state
305 approach with 1st order discretization schemes is run for 10,000 iterations. Upon completion, the model is
306 changed to transient and *mesh motion* continuing with the 1st order schemes until torque has reached a quasi-

307 periodic state (usually after three to four rotations). At this stage, the model is changed to 2nd order schemes for
 308 the remainder of the calculation. This progressive approach was used to define the flow around the device
 309 adequately and to eliminate any potential divergence issues that may have arisen from using 2nd order schemes
 310 and sliding meshes at start-up. The coupled solver was used throughout this process.

311 The criteria for the number of rotations required for a converged solution was set to $\overline{\Delta T} < 0.1\%$ between
 312 one rotational torque average and the next, where T is the torque; this was based on recommendations by
 313 Balduzzi et al (2016). Table 5 shows that 14 rotations were required for this criteria to be met.
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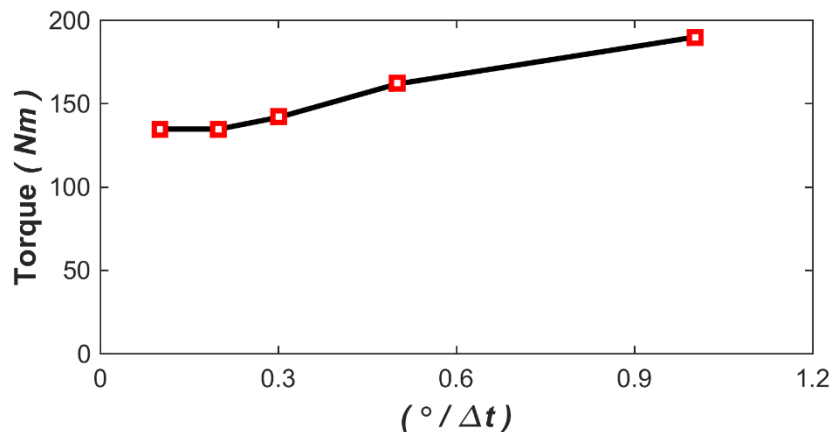
315 **Table 5.** Number of rotations required for convergence.

Turbine Rotation	Torque (Nm)
1	260
4	155
8	143.7
13	141.35
14	141.22

316
 317

318 3.5. Temporal Convergence Study

319 A time-dependence study was carried out for a range of model timesteps. Balduzzi et al. (2016) showed
 320 that smaller time-steps are required for lower tip speed ratios (TSR or λ). Therefore, the lowest TSR value of
 321 0.15 was chosen for the time convergence study as the most conservative case. The model was run using a
 322 number of different time-steps and torque was again compared across simulations. The independence study was
 323 undertaken at a flow speed of 1.1 m/s. A graph of the results is presented in Figure 10. It is clear from the figure
 324 that the model requires a small time-step in order to obtain an independent solution. This is most likely due to
 325 the complexity of the model with seven moving meshes and the high spatial resolutions. Based on the study, a
 326 time-step value coinciding to 0.2 azimuthal degrees per time-step ($^\circ/\Delta t$) was identified as optimum for this
 327 TSR and was used across all TSRs.



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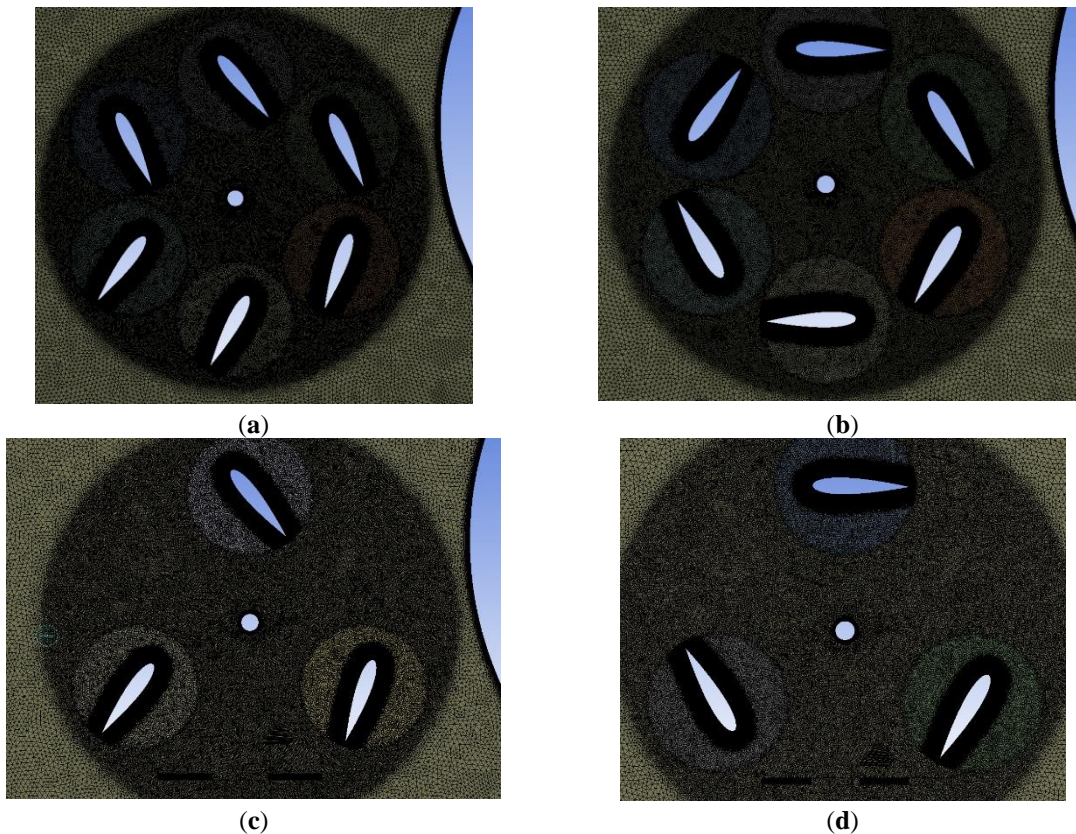
Figure 10: The time-step study, showing optimum time step representing 0.2° per time step.

330 3.6. Design iterations investigation

331 The validated model was used to investigate the effects of design decisions on device performance. The
 332 CFD results from the current device setup (Figure 11(a)) are used as a baseline against which all other device
 333 setups are compared. The following design decisions are investigated.

- 334 1. Turbine position: the present turbine location relative to the static parts of the device was chosen based on
 335 experimental studies of flow acceleration around the bluff body (Mannion, et al. 2018a) which found that

- 336 maximum localised flow acceleration occurred at the widest point of the bluff body. To investigate whether
 337 this is indeed the optimum position for the turbine, two models are used where the turbine is moved
 338 downstream from its present position by 100 mm and 200 mm (parallel to the flume).
 339 2. Shaft diameter: It is well understood that larger diameter shafts generate more turbulence and vorticity than
 340 smaller diameter shafts. As the current device has a 40 mm diameter shaft, additional analyses for shaft
 341 diameters of 15 mm and 80 mm were investigated.
 342 3. Blade pitching and number of blades: The effects of (i) a fixed 0° pitch (both 3 and 6-bladed) and (ii) a 3-
 343 bladed device with the same variable-pitch regime as the 6-bladed case were investigated. Small numbers
 344 of blades (usually 2-3) are typical on VATS.
 345 4. Hydrofoil chord length: a model consisting of 0.15 m chord length hydrofoils is compared with the current
 346 0.2 m chord length hydrofoils. Both models use NACA 0018 hydrofoils.



347 **Figure 11:** Images of meshes used for carrying out investigation of performance for (a) baseline model
 348 experimental setup, (b) 6 bladed 0° fixed pitched (c) 3 bladed variable-pitched and (d) 3 bladed 0°
 349 fixed pitched.

350 4. Results

351 CFD-predicted power coefficients and downstream flow velocities are presented in this section and
 352 compared with measured experimental data for model validation, including a comparison of the two SST
 353 turbulence models and the study.

354 4.1. Model Validation for Power Coefficient

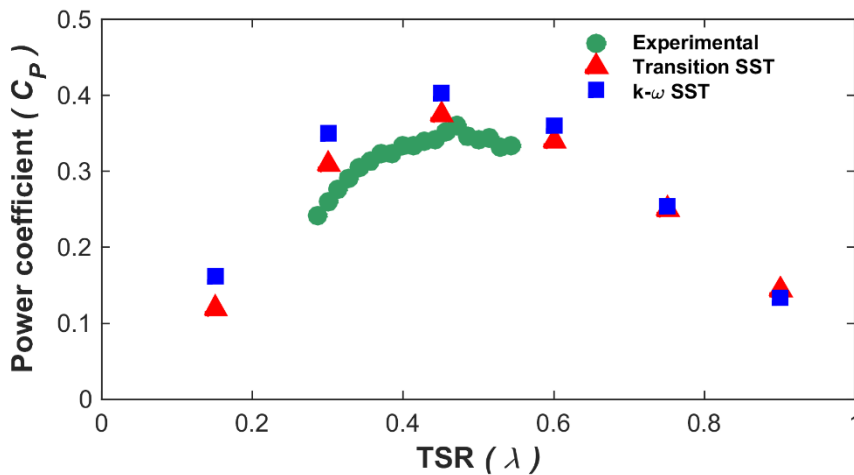
355 Power performance of the turbine is assessed using the power coefficient, C_p . A common method for
 356 calculation of C_p from CFD simulations uses the average moment coefficient ($\overline{C_m}$) (see Equation (6)) over a
 357 rotation multiplied by the tip speed ratio (λ). For cases where the freestream velocity is accelerated before
 358 entering the turbine, as in this work, an alternative approach is required. Instead, the torque is output after the
 359 completion of each time-step and averaged and C_p is then calculated according to Equation (7). Figure 12

360 presents a comparison of modelled C_p versus λ using the two different turbulence models with the measured
 361 data for an ambient flow speed of 1.1 m/s.
 362

$$\overline{C_m} = \frac{\overline{M}}{0.5\rho AU_\infty^2 L} \quad (6)$$

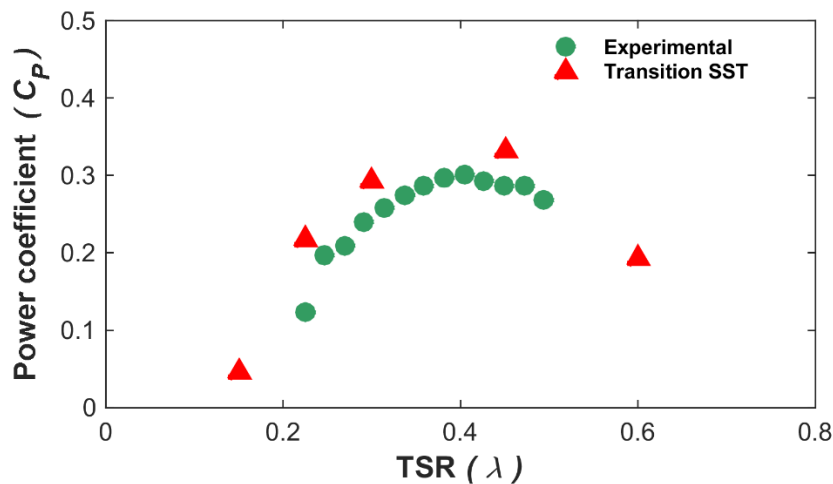
363 where \overline{M} is the average turning moment (Torque) created around a predefined axis, i.e. the centre
 364 of the turbine, and L is the reference length (taken as the turbine radius).
 365
 366

$$C_p = (\overline{C_m})(\lambda) = \frac{\overline{T}\omega}{0.5\rho AU_\infty^3} \quad (7)$$



367
 368 **Figure 12:** Power curve comparison between experimental and CFD at U_∞ equal to 1.1 m/s.

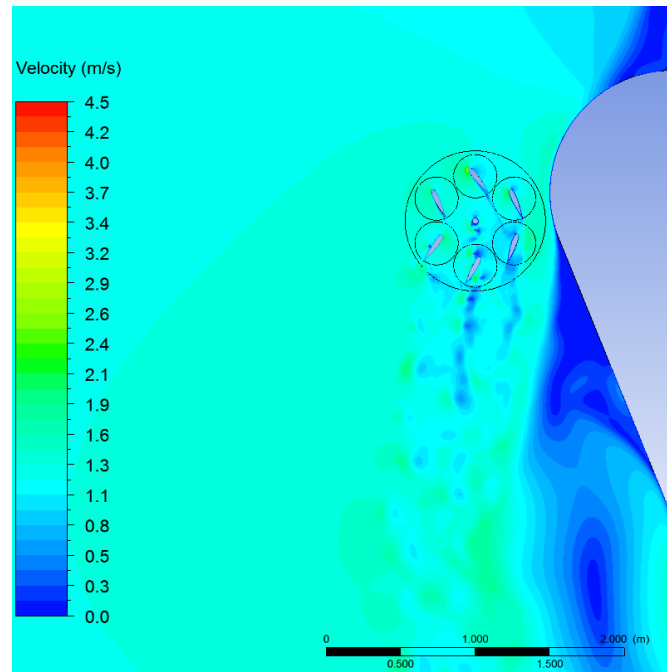
369 It can be seen that both models performed well in predicting the peak and overall trend of the power curve.
 370 The test data recorded a C_{pmax} of 0.35 at a TSR of 0.46, and both CFD models predicted the C_{pmax} to occur
 371 at a TSR of 0.45. The results from the Transitional SST model are more accurate than those from the $k -$
 372 ω SST model. For C_{pmax} the difference in modelled and measured values for the Transitional SST model
 373 was just 5.7 % while the difference was 14 % for the $k - \omega$ SST model. The more accurate Transitional SST model
 374 was also subsequently further investigated for an ambient flow speed of 0.7 m/s. Figure 13 shows the
 375 comparison of the modelled and measured power curves (U_∞ equal to 0.7 m/s). In this case, the model is
 376 accurate to within 10 % for C_{pmax} and the general trends are again in agreement.



377

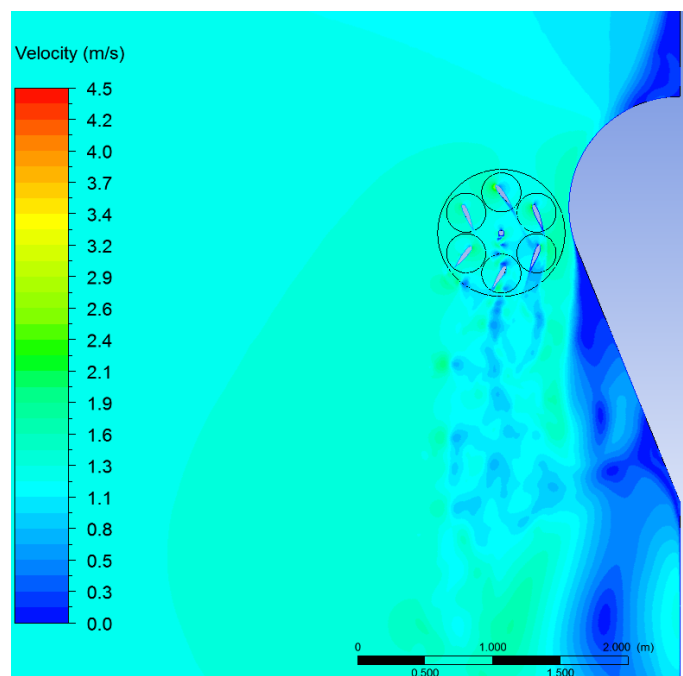
378 **Figure 13:** Power curve comparison between experimental and CFD at U_∞ equal to 0.7 m/s.

379 Figure 14 and Figure 15 present velocity contour plots (at U_∞ equal to 1.1 m/s) after 14 rotations from
380 the two different turbulence model simulations. The two plots clearly show the complexity of the flow through
381 and around the device. The flow accelerator, blade pitching and high solidity of the device are some of the
382 reasons why such a complex flow is formed.



383

384 **Figure 14:** Transition SST velocity contour map around the device at 1.1 m/s.



385

386 **Figure 15:** $k-\omega$ SST velocity contour map around the device at 1.1 m/s.

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392 4.2. Model Validation for Downstream Velocities

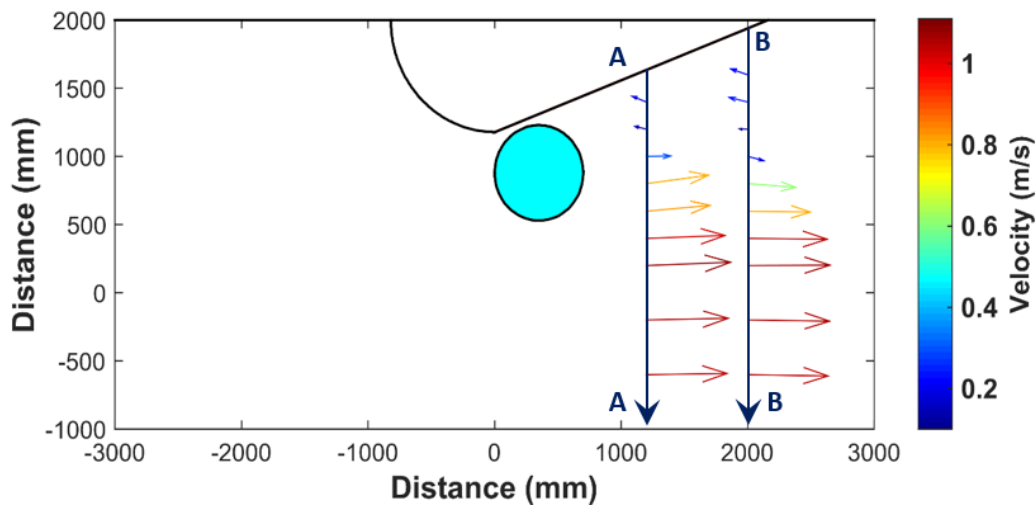
393 During the scale tests, flow velocities were measured using a laser Doppler velocimetry (LDV) system at
 394 a free-stream velocity of 0.8 m/s. Due to restrictions in the movement of the LDV system, it was only possible
 395 to obtain LDV data along two transects downstream of the turbine. The velocities measured at mid-turbine
 396 depth are presented in the form of a velocity vector plot in Figure 16. Velocities are clearly reduced in the wake
 397 of the turbine and flow reversal is evident adjacent to the bluff body due to the generation of turbulent eddies.
 398 By way of further model validation, the model was rerun at 0.8 m/s and predicted velocities were compared
 399 with the measured data in Figure 17 and Figure 18, along transects A-A and B-B, respectively. Model data were
 400 extracted at every grid cell along the relevant transects. For clarity, these data are presented as line graphs
 401 instead of data points. Again, the Transitional SST model is shown to be more accurate. Both of the model
 402 datasets contain some velocity fluctuations not present in the measured data, but they are lower in the
 403 Transitional SST model. Given the complexity of the downstream flows shown in Figure 14 and Figure 15, the
 404 level of agreement achieved by the Transitional SST model is very encouraging. The model accurately predicts
 405 both the reductions in wake velocities and the flow reversal due to the presence of turbulent eddies. Table 6
 406 presents the root mean squared errors (RMSE) for the wake velocity data predicted by the two turbulence
 407 models, These values were determined at the same locations as the LDV data.

408

Table 6. RMSE values for predicted velocity data along transects A-A and B-B.

	Transitional SST RMSE (m/s)	$k-\omega$ SST RMSE (m/s)
Transect A-A	0.13	0.38
Transect B-B	0.10	0.21

409



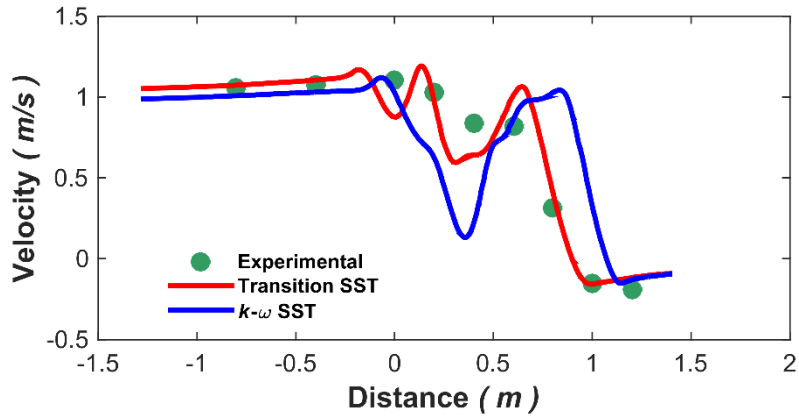
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Figure 16: Vector plot of LDV experimental flow data for transects A-A and B-B.

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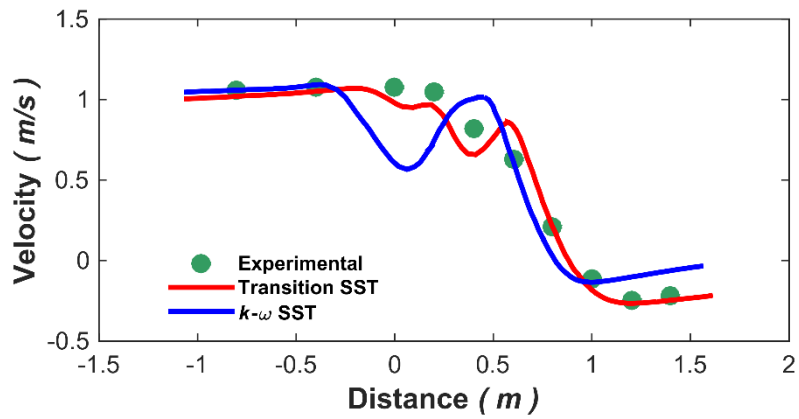
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Figure 17: Velocity comparison between SST models and experimental data along transect A-A.



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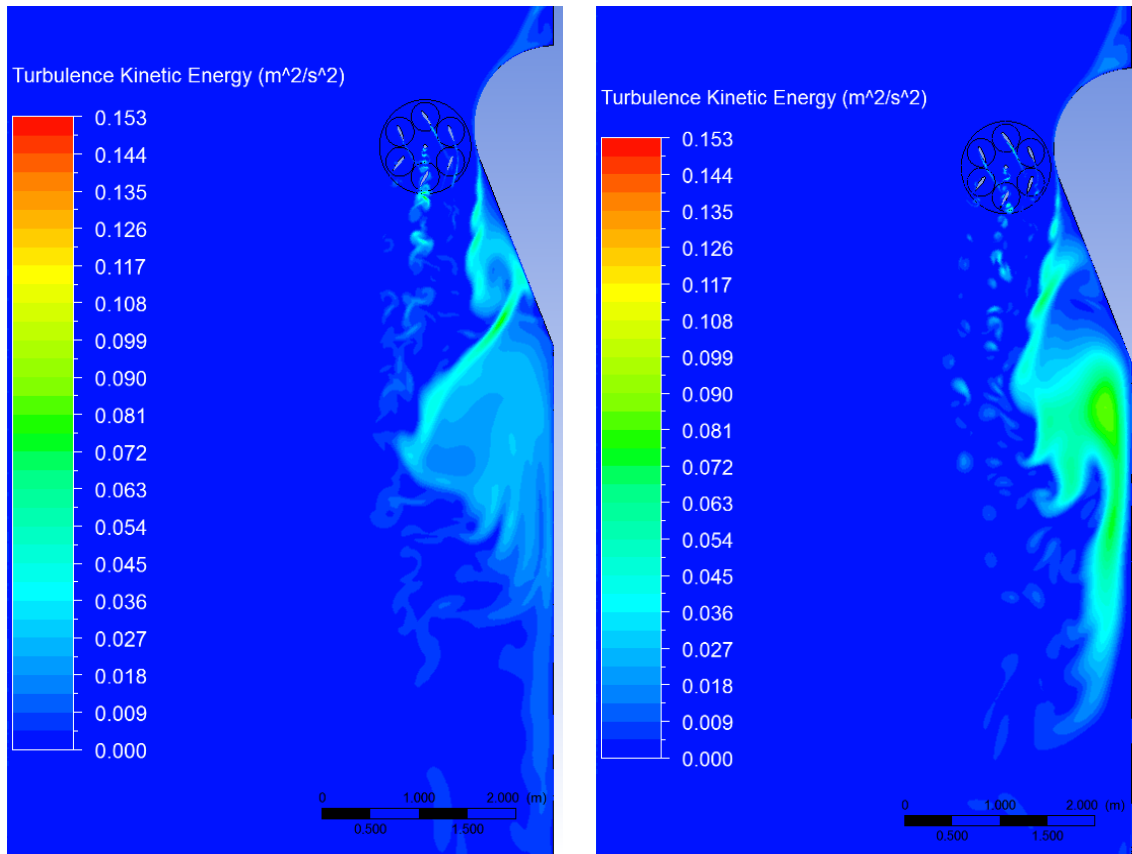
Figure 18: Velocity comparison between SST models and experimental data along transect B-B.

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Contours of the turbulent kinetic energy (k) for each of the SST turbulence models are presented in Figure 19. The significantly more chaotic distribution of the turbulent kinetic energy predicted by the $k-\omega$ SST model is consistent with the greater velocity fluctuations for this model observed in Figure 17 and Figure 18.



(a) (b)

Figure 19: Contour plots for turbulence kinetic energy from (a) Transition SST (b) $k-\omega$ SST models.

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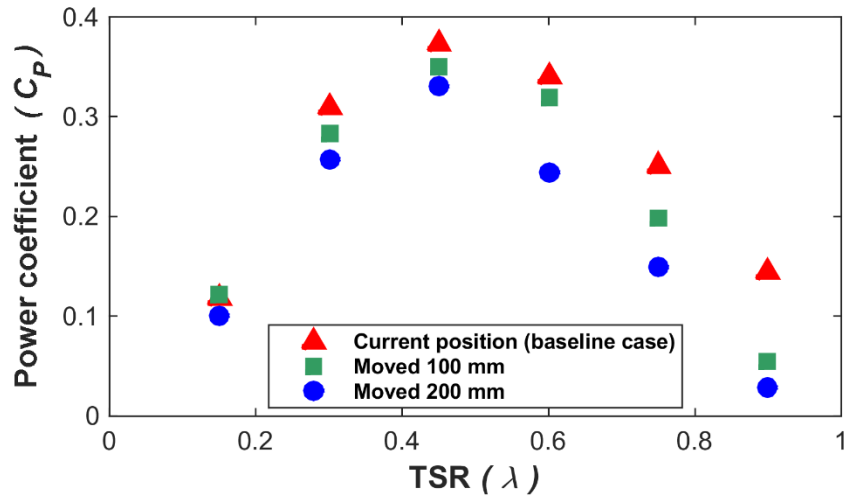
422 *4.3. Design Investigation Study Results*

423 The results of the convergence studies and model performance validation confirmed that the model was
 424 sufficiently accurate for design study purposes. The following sections present the results of the design study,
 425 all of which was conducted using the Transitional SST model at 1.1 m/s. the baseline results were taken from
 426 Figure 12.

427 *4.3.1. Turbine Position*

428 In the present design, the turbine position relative to the bluff body was determined from an experimental
 429 study of flow around a scale model of the bluff body conducted in a tidal basin (Mannion, et al. 2018a).
 430 Obviously, the flow around the bluff body will be different with the turbine in place. To determine whether the
 431 turbine is in the optimum position, two additional simulations were conducted with the turbine moved 100 mm
 432 and 200 mm downstream (parallel to the flume walls) to determine if the flow is fully developed prior to
 433 reaching the turbine inlet. Figure 20 compares the C_p values for the new turbine positions with those from the
 434 turbine in its current position (Baseline case). The Baseline case is seen to give the highest C_p ; C_{pmax} is 6.5 %
 435 and 12 % lower for the 100 mm and 200 mm downstream positions, respectively. Figure 21 compares velocity
 436 contour maps for the three cases. Although there are subtle differences between each case, it is not possible to
 437 conclude why the performance reduces on moving the turbine; one possible explanation is that the present cam
 438 control history for each pitch angle is designed for the current turbine position and is not optimal for the other
 439 cases. It is also possible that there is more space between the turbine and the bluff body. Further investigation
 440 of the figures through the use of difference plots confirmed minimal differences, with the exception of the

441 locations of the downstream vortices which varied between setups. Stronger vortices to the right of the turbine,
 442 for both cases when the turbine was moved, were observed in comparison to the baseline case. It is probable
 443 that these enhanced vortices are the result of the increased distance between the turbine and bluff body.
 444

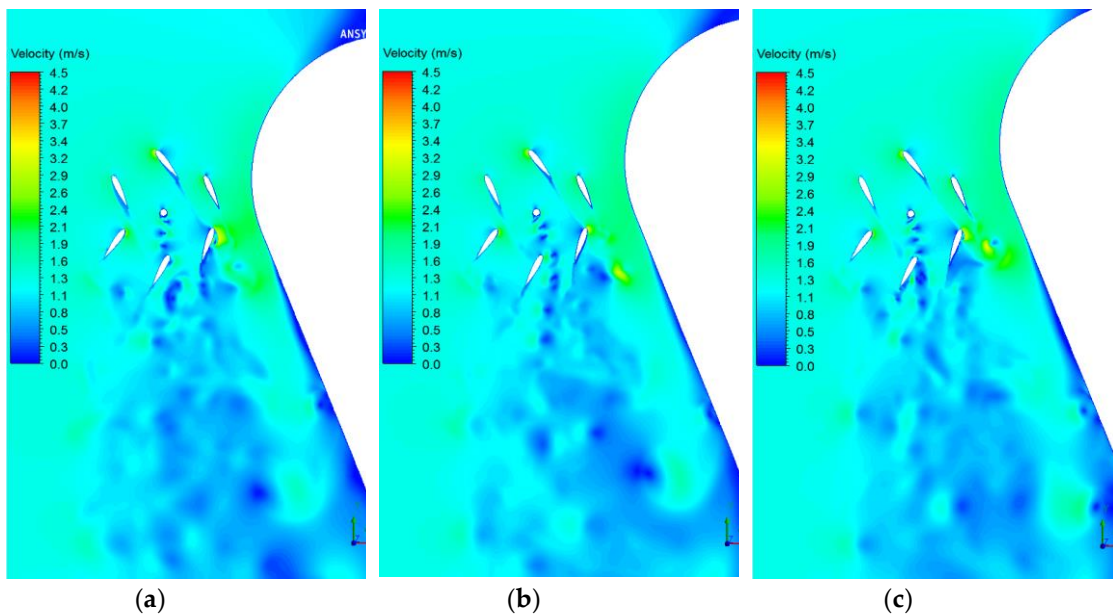


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Figure 20: Comparison of power curves for three different turbine positions.

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Figure 21: Velocity contour plots for different turbine positions behind the widest part of the bluff body for shifted positions of (a) 0 mm (b) 100 mm and (c) 200 mm, relative to static parts parallel to the flume.

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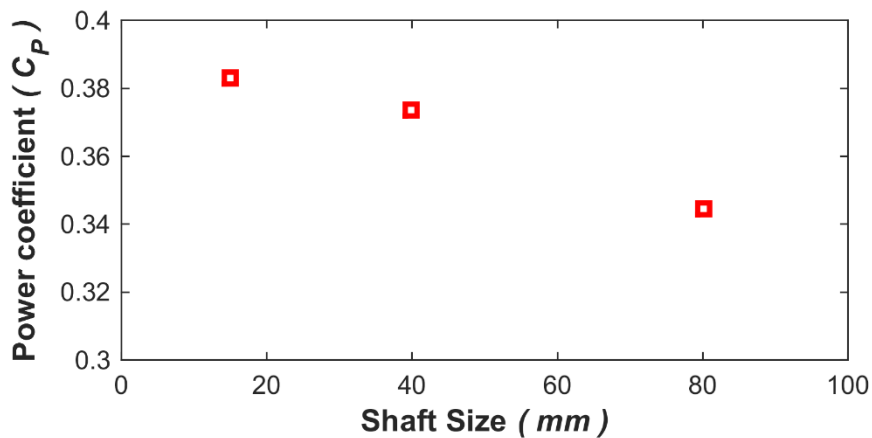
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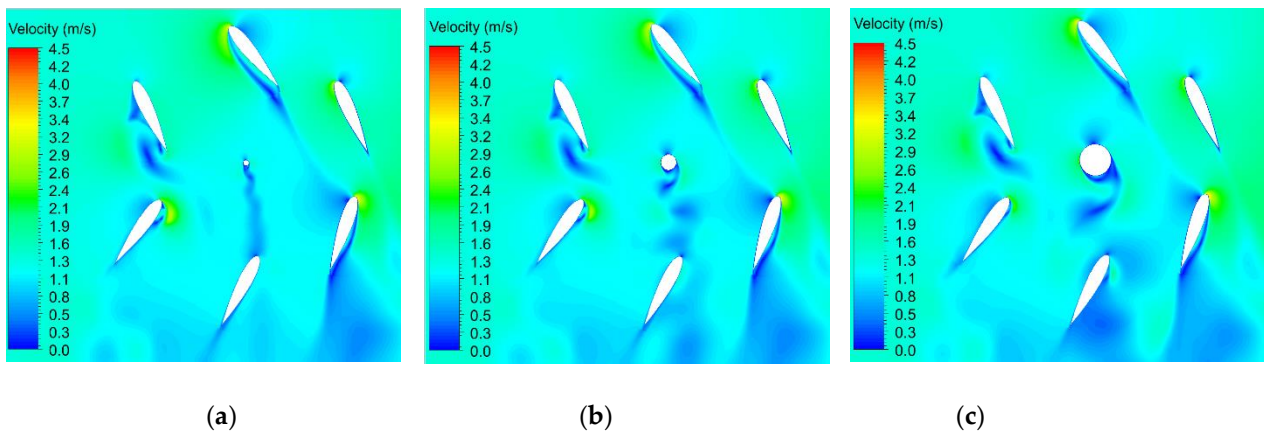
455 4.3.2. Shaft Diameter

456 For VATs, larger turbine shafts create increased turbulence and vorticity on the incoming flow for the
457 downstream blades. The current device uses a shaft of 40 mm diameter. To determine the effect of shaft size,
458 additional model simulations were carried out for shaft diameters of 15 mm and 80 mm. Figure 22 compares
459 values with that of the current design. As expected, use of the 15 mm shaft results in the highest C_{Pmax} value
460 while the 80 mm gives the lowest. Use of the 80 mm results in a 10 % reduction in C_{Pmax} compared to the 15
461 mm shaft. Figure 23 presents contour maps of velocities in the vicinity of the turbine for the three design cases.
462 For the 15 mm case, the shaft wake is quite confined in width but as the shaft diameter increases, it can be seen
463 that the vortex street generated by the shaft spreads over an increasingly wider region, thereby impacting on
464 more of the downstream blades and enhancing the parasitic nature to performance.
465



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Figure 22: Power performance coefficient for turbine against turbine shaft diameter.



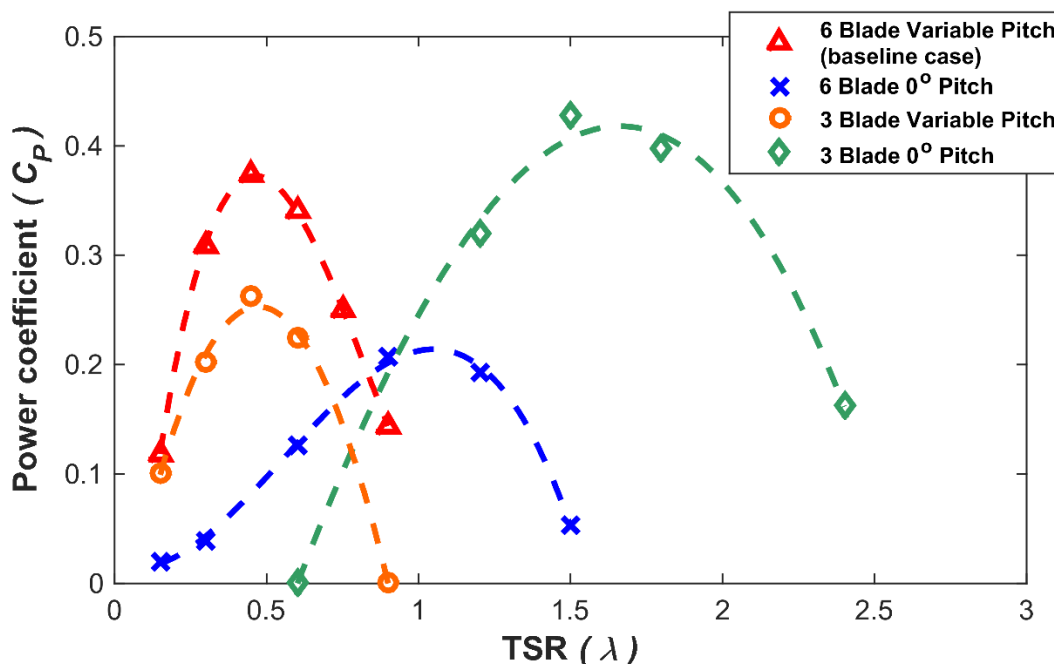
469 Figure 23: Velocity contour map for various turbine shaft diameters: (a) 15 mm (b) 40 mm and (c) 80
470 mm.

471

472 4.3.3. Blade Pitching and number of blades

473 The benefit of the pitching blades was investigated using an additional simulation where the turbine was
474 modelled as a 0° fixed pitch turbine. The effect of device solidity was also investigated by replacing the 6-
475 blade turbine with a 3-blade turbine for both the variable and fixed pitch scenarios. The variable-pitch control

476 specified for the 3-bladed turbine was the same as that used for the current 6-blade turbine. Figure 24 compares
 477 the power curves for these cases. The present 6-bladed variable-pitch setup is denoted as the baseline case.



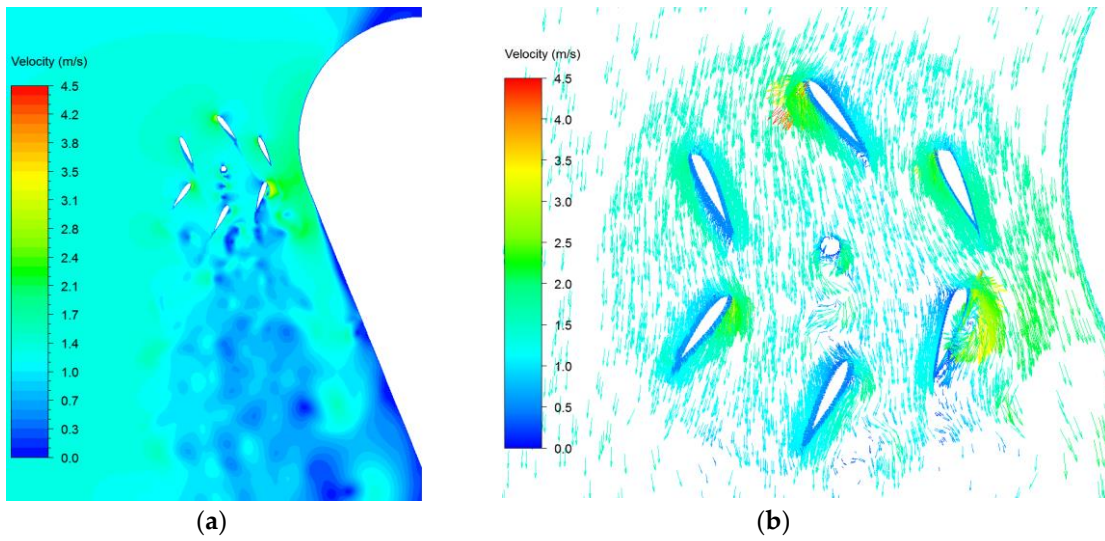
478
 479 **Figure 24:** Comparison of variation in power coefficient of the parametric study of three and six-
 480 bladed turbines and variable-pitched vs 0° fixed pitched turbines.

481
 482 Comparison of the 6-blade variable-pitch baseline case and the 6-blade 0° fixed pitch case shows the
 483 beneficial effect of the variable-pitch regime; it results in an increase in C_{Pmax} from 0.21 to 0.37 and
 484 significantly reduces the optimum TSR for C_{Pmax} from 0.9 to 0.45. The 3-blade variable-pitch case shows
 485 significantly lower performance than the 6-blade case (C_{Pmax} of 0.26 compared to 0.37). This may be due to
 486 the fact that the blade pitch control scheme had been optimised for peak performance to be achieved at a low
 487 TSR value, at this speed the blades of the 3-bladed turbine rotate too slowly relative to the flow and the majority
 488 of the flow passes through the turbine without interacting with the blades. The 3-blade 0° fixed pitch case
 489 achieves approximately 5 % higher C_{Pmax} than the baseline case, although at a much higher optimum TSR (λ
 490 = 1.7 versus $\lambda = 0.45$).

491 Figure 25 to Figure 28 present velocity contour and vector plots for the four different design cases at their
 492 relevant optimum TSR value. Comparing Figure 25 (6-blade, variable-pitch) and Figure 26 (6-blade, fixed
 493 pitch), it is clear that the high solidity of the 6-blade 0° fixed pitch turbine causes significant blockage. The
 494 bulk of the flow is directed around the turbine without any beneficial blade interactions resulting in lower power
 495 performance. This is also demonstrated by the very low velocities inside the turbine in the vector plot of Figure
 496 26(b). The directions of the vectors show that the majority of the flow is passing around the turbine, rather than
 497 through it, as a result of the excessive turbine solidity.

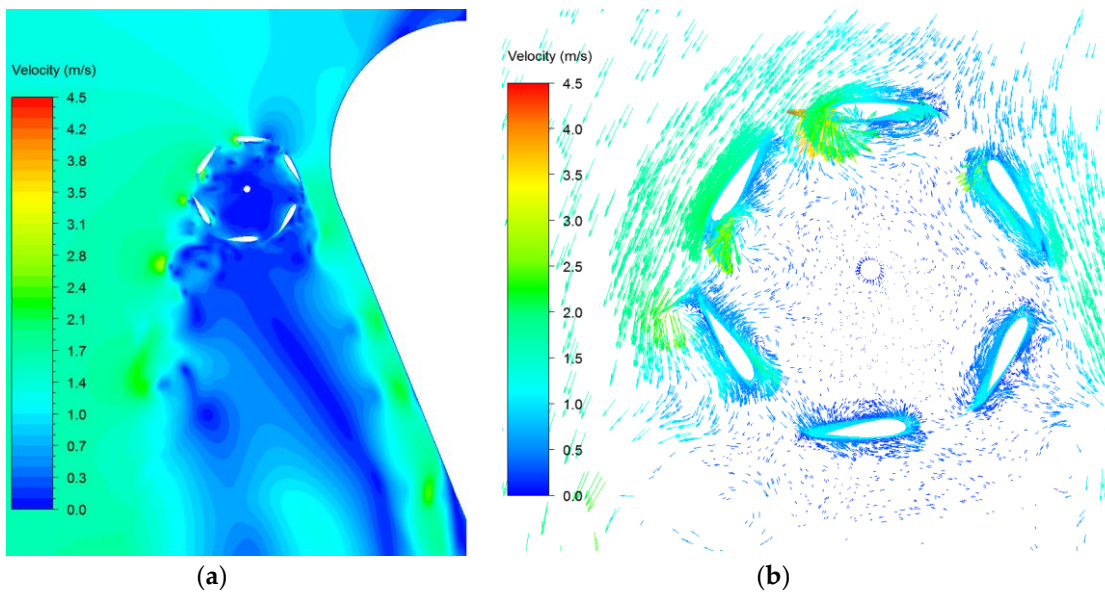
498 Comparing the 3-blade variable-pitch case of Figure 27 to those of the current 6-blade variable-pitch case
 499 (Figure 25), the instantaneous velocities immediately around the hydrofoils are seen to be quite similar;
 500 however, the contour maps show very different wakes. The area of high velocity immediately downstream of
 501 the 3-blade case suggests little power extraction from the flow on the right side of the turbine. A lot of the flow
 502 passes through without interacting with the blades. This is also where the highest inlet velocities are
 503 experienced. Much of the available power is, therefore, being lost and power extraction is lower. Comparing
 504 the velocity plots of the 3-blade 0° fixed pitch case in Figure 28 to those of the baseline model of Figure 25, it
 505 can be seen that the peak velocities of the 3-blade 0° fixed case are significantly higher than those of the 6-
 506 blade baseline case; this is likely due to the fact the TSR is much higher. Also, the velocity deficits in the wake

507 of the 3-blade 0° fixed case are more significant than those of the baseline case and could be significant in
508 terms of proximity of devices in array deployments.
509

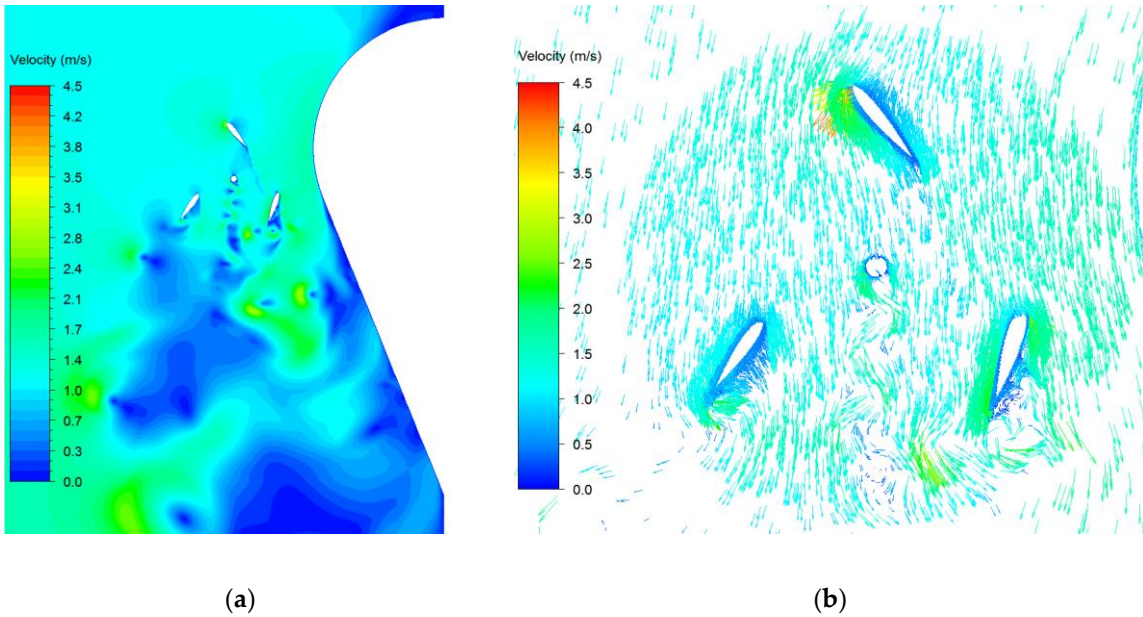


510 **Figure 25:** Velocity plot of baseline case for (a) contour and (b) vector plot at optimum TSR of 0.45.

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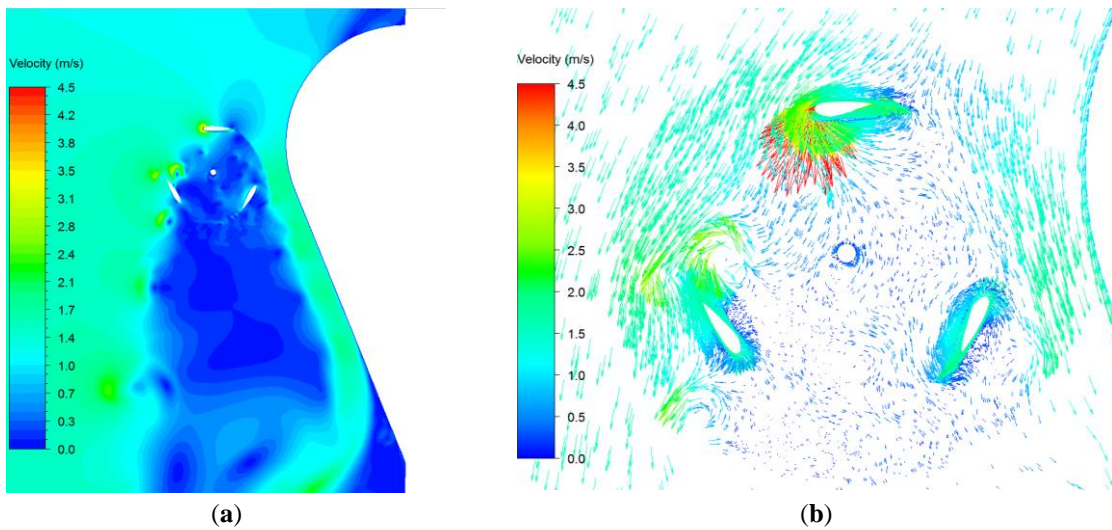


512 **Figure 26:** Velocity plot of 6-bladed 0° fixed pitch case for (a) contour and (b) vector plot at optimum
513 TSR of 0.9



514 **Figure 27:** Velocity plot of 3-bladed variable-pitch case for (a) contour and (b) vector plot at optimum
 515 TSR of 0.45.

516



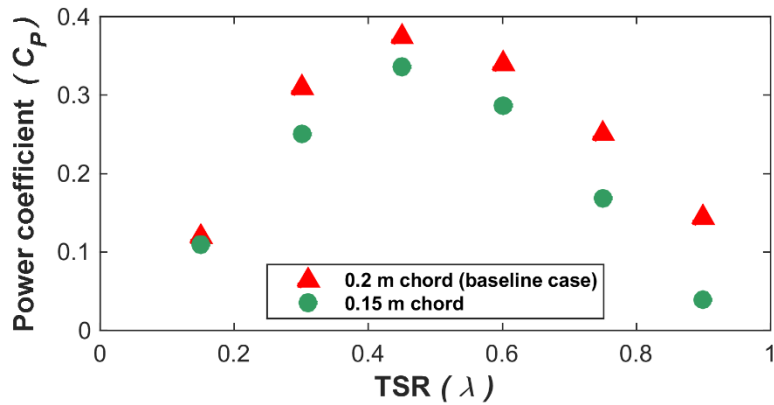
517 **Figure 28:** Velocity plot of 3-bladed 0° fixed pitch case for (a) contour and (b) vector plot at optimum
 518 TSR of 1.5.

519

520 4.3.4. Effect of chord length

521 An investigation was conducted to determine the effect of shorter chord lengths (0.15 m versus the current 0.2
 522 m) on device performance. It was hypothesised that a shorter chord would reduce the solidity of the device and
 523 allow more flow to pass through the turbine. Figure 29 presents the comparison of the power curves for both
 524 cases. The baseline case achieves a higher performance throughout the TSR range with increase in C_p with
 525 TSR. Use of the 0.15 m chord results in a 10.5 % reduction in C_{pmax} . Figure 30 presents velocity contour maps
 526 (at a TSR of 0.45) from the 0.2 m chord and 0.15 m chord models, denoted in the figure as (a) and (b),
 527 respectively. Unfortunately, the contour maps do not exhibit many dissimilarities that could explain the
 528 difference in C_p ; however, at this TSR value, there is only a 4 % difference in C_p between the cases.

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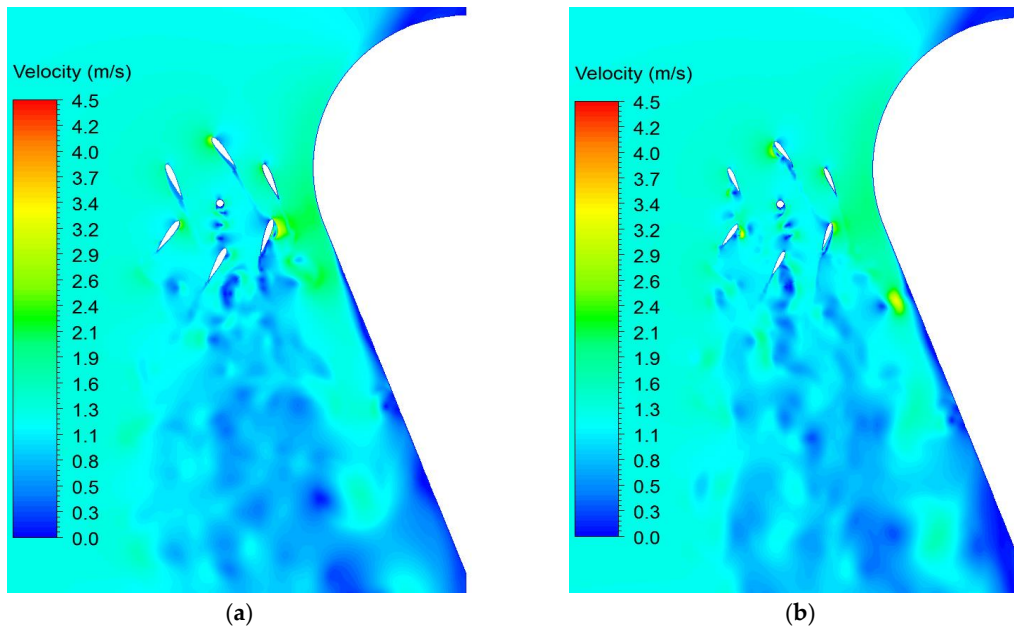


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Figure 29: Comparison of turbine performance for two different hydrofoil chord lengths.

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Figure 30: Turbine consisting of hydrofoil chord lengths of length (a) 0.2 m and (b) 0.15 m.

534

535 5. Discussion

536 The sliding mesh technique for *mesh motion* has been utilised to facilitate nesting sliding meshes and
537 enable CFD modelling and assessment of a novel tidal turbine. The final mesh contains a total of eight different
538 domains including seven rotating domains. Independent blade pitching has been incorporated into the model
539 via a UDF to control the rotation of the blade meshes. This UDF enables the blades to pitch precisely in the
540 same manner as the scale experimental device. This technique could be applied to other variable-pitch turbines
541 and also various other types of turbomachinery.

542 A mesh and time-step independent solution were achieved through the use of sensitivity studies and the
543 Richardson extrapolation. As mentioned, it was not possible to carry out a sensitivity study on the domain
544 diameter sizing. Previous studies (Castelli et al. 2010; Mannion, et al. 2018b) have shown that results can be
545 affected by the size of the rotating domain relative to the turbine diameter; these studies recommend a rotating
546 domain of at least 1.5 times the turbine diameter for accurate results. In the current research, a rotating domain

547 of precisely 1.5 times the turbine diameter was used, and acceptable agreement between the experimental and
548 model data was achieved.

549 The CFD-predicted power coefficients correlate closely with the experimental test data, particularly in the
550 case of the Transitional SST turbulence model. Previous published 2D CFD models attribute over-prediction of
551 turbine performance to blade tip end effects and a higher blockage ratio than in reality [23, 34]. When blockage
552 was unaccounted for, C_{Pmax} prediction was overestimated by over 100 %, and optimum TSR was twice the
553 measured value.

554 When comparing the modelled velocities to the LDV measured experimental data, in general, the
555 Transitional SST model outperformed the fully turbulent $k-\omega$ SST model. This is likely due to the abilities of
556 the Transitional SST model over the $k-\omega$ SST model when modelling the transition phase from laminar to
557 turbulent flow. The transitional SST model is therefore recommended for future use in the CFD modelling of
558 vertical axis tidal turbines.

559 Several design case investigation studies were conducted using the presented validated model.
560 Investigation of the positioning of the turbine relative to the bluff body suggests that the current turbine position
561 is optimal. When the turbine was positioned further downstream, the performance dropped off, particularly at
562 higher rotational speeds. Investigation of shaft sizing confirmed, as expected that larger shafts are detrimental
563 to turbine performance. A trade-off is therefore necessary in regards selection of the optimum shaft diameter;
564 one must choose between greater structural integrity (stiffness and strength) on the one hand and better power
565 performance on the other hand.

566 Investigation of variable versus fixed pitch design cases showed that including a pitching regime has had
567 a positive effect on the device performance for the 6-blade case with C_{Pmax} being 60 % greater compared to a
568 0° fixed pitch device and occurring at a lower λ value. The current blade pitch control regime had been
569 optimised for peak performance to be achieved at a low TSR value (less than 0.5). As a result, the 3-blade
570 variable-pitch case had insufficient interaction with the flow at this low rotational speed, and significantly lower
571 performance was observed. A different pitch control scheme would be required to properly access the benefits
572 of the 3-blade case.

573 The 3-blade 0° fixed pitched case achieved a C_{Pmax} that was 5 % higher than that of the 6-blade variable-
574 pitch case thus potentially showing it to be a more preferred design. In addition to the improved performance,
575 there would also be lower manufacturing and maintenance costs associated with a 3-bladed fixed pitch device
576 due to the lower number of blades and omission of a pitch control mechanism. However, the optimum rotational
577 velocity of the 3-bladed case is more than three times higher than that of the 6-blade variable-pitch case; this is
578 significant in relation to environmental impacts since lower operating TSR values are more environmentally
579 desirable as they reduce the risk of fish and/or animal strikes. The downstream velocity contour maps also show
580 that the 3-bladed case results in higher velocity deficits and therefore a more persistent wake. This is significant
581 in relation to the potential proximity of downstream devices in an array.

582 The results from the blade chord length investigation showed a 50 mm shorter blade chord length resulted
583 in a lower C_{Pmax} value ($\Delta C_{Pmax} = 0.04$). Vertical axis turbines extract the majority of power in approximately
584 the first 120° of azimuthal rotation (measured from the turbine axis perpendicular to the ambient flow). The
585 shorter chord length blade means less interaction of the blades with the flow as they are passing through this
586 critical region at the upstream end of the turbine, resulting in lower overall turbine performance. This area is
587 also where the highest flow accelerations are observed for the current device; it is therefore paramount that high
588 blade-flow interactions occur in this region without causing excessive flow retardation and blockage.

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600 6. Conclusion

601 A two dimensional CFD model of a novel vertical axis turbine has been developed, successfully
602 incorporating the key design aspects of flow acceleration and blade pitch control. This was achieved via a
603 complicated nested sliding mesh technique to allow independent rotation of the turbine and pitching of the
604 blades. The blade mesh motion is controlled through a user-defined function to represent the blade pitch control
605 of each of the six blades independently. A methodology for achieving a mesh and time-independent solution
606 was presented. The following conclusions are drawn from the research results:

- 607 • The Transitional model is the most suitable turbulence model for CFD modelling of vertical axis
608 turbines. It was shown to be more accurate than the $k - \omega$ SST model for both performance
609 prediction and wake characterisation.
- 610 • Strict convergence criteria must be employed if accurate, and completely independent (both
611 temporally and spatially) results are to be obtained from CFD turbine models. An average torque
612 threshold of $\overline{\Delta T} < 0.1$ % between one rotation and the next for convergence assessment is
613 recommended as it was shown to produce accurate model results.
- 614 • The detailed nested sliding mesh approach developed here could be adopted for other CFD studies of
615 variable-pitch turbines or turbomachinery with complex moving parts.
- 616 • Model investigation of the different design cases has confirmed that implementing blade pitch control
617 has had a positive effect on device performance (for a six blade case) in the present design compared
618 with the use of a similar fixed pitch turbine. Pitch control can also be utilised to reduce the operating
619 TSR of the device where there are environmental concerns while maintaining good performance.

620

621 **Funding:** This material is based upon works supported by Science Foundation Ireland under Grant No.
622 12/RC/2302 through MaREI, the national centre for Marine and Renewable Energy Ireland.

623 **Acknowledgements:** The authors wish to acknowledge the DJEI/DES/SFI/HEA Irish Centre for High-End
624 Computing (ICHEC) for the provision of computational facilities and support.

625 The authors also wish to acknowledge the contribution of Dr Ciaran Kennedy to the experimental testing of the
626 device.

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