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1 **Anti-Donor Antibody Induction Following Intramuscular Injections of Allogeneic**  
2 **Mesenchymal Stromal Cells.**

3

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12

13 **Running Title:** Anti-donor Antibody Induction by Allogeneic MSCs.

14

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19

20 **Key words:** mesenchymal stromal cells, immunogenicity, immunosuppression, antibodies, cell  
21 therapy, vascular disease.

22 **ABSTRACT**

23 Allogeneic mesenchymal stromal cells (allo-MSK) are a promising “off-the-shelf” therapy with  
24 anti-inflammatory and pro-repair properties. This study investigated humoral immune  
25 responses to intramuscular (IM) injections of allo-MSK. Total and isotype-specific anti-donor  
26 IgG and donor-specific complement-mediated lysis were determined in sera from healthy  
27 mice two weeks after single or repeated IM injections of fully-MHC-mismatched allo-MSK with  
28 comparison to mice receiving syngeneic MSK, allogeneic splenocytes or saline. In mice  
29 subjected to hind limb ischemia (HLI), anti-donor IgG was analysed following IM allo-MSK  
30 injection with and without administration of the T-cell immunosuppressant tacrolimus.  
31 Recipients of single and repeated IM allo-MSK developed readily-detectable anti-donor IgG.  
32 Serum anti-donor IgG levels were similar to those of allo-splencocyte recipients but had higher  
33 IgG1/IgG2a ratio and variable capacity for complement-mediated lysis of donor cells. The  
34 induced anti-donor IgG bound readily to allo-MSK and this binding was increased following  
35 allo-MSK pre-treatment with interferon gamma. In mouse with HLI, IM injection of allo-MSK  
36 into the ischemic limb was also associated with induction of anti-donor IgG but this was  
37 abrogated by tacrolimus. The results indicate that allo-MSK are inherently immunogenic when  
38 delivered intramuscularly to healthy and ischemic mouse hind limb but induce an IgG1-  
39 skewed humoral response that is suppressed by tacrolimus.

## 40 INTRODUCTION

41 Mesenchymal stromal cells (MSC) are known to possess potent immunomodulatory and anti-  
42 inflammatory properties, as well as pro-angiogenic and tissue repair activities <sup>1</sup>. Encouraging  
43 results from pre-clinical safety and efficacy studies have contributed to an increase in early-  
44 phase clinical trials of systemic or localized MSC for a range of vascular diseases<sup>2-11</sup>. The most  
45 common routes for MSC administration are intravenous (IV) or intra-arterial (IA) infusion and  
46 direct injection into affected tissue. The latter has the potential advantage of precise  
47 localization of cells to the site at which their biological actions are required. For diseases of  
48 heart and limbs, therefore, intramuscular (IM) is an important delivery route <sup>7-11</sup>.

49 Another critical aspect of MSC therapy is the choice between autologous and allogeneic cell  
50 source. For many clinical applications, the possibility of having a ready-to-use “off the shelf”  
51 product makes allogeneic MSC (allo-MSC) the preferred option <sup>12,13</sup>. Furthermore, allo-MSC  
52 therapies may allow for selection of optimal donors or for matching of cell potency to the  
53 disease indication. It has been cited that allo-MSC are not subject to the types of anti-donor  
54 immune responses that typically result in adverse immune reaction to allogeneic organ and  
55 tissue transplants in the absence of immunosuppressive therapy <sup>12-14</sup>. However, it is becoming  
56 more evident from pre-clinical experiments, as well as early-phase human studies, that allo-  
57 MSC are not inherently immune privileged and may also induce immunological responses  
58 against allogeneic antigens <sup>11,15-19</sup>.

59 In addition to T-cell mediated allograft rejection, B-cells and their secreted product  
60 (antibodies) also contribute significantly to the failure of allogeneic organ and tissue  
61 transplants <sup>20</sup>. High levels of donor-specific IgG antibodies (commonly directed against donor  
62 major histocompatibility (MHC)-I and MHC-II proteins) have been associated with  
63 complement fixation and/or antibody-dependent cellular cytotoxicity via macrophages and

64 natural killer cells, a known mediator of acute transplant injury <sup>20,21</sup>. In addition, donor  
65 antigen-specific memory B cells and plasma cells serve as a long-lived source of anti-MHC  
66 antibodies as well as producing stronger secondary response upon re-exposure to allo-  
67 antigens <sup>21</sup>. The prolonged persistence of anti-donor antibodies following an initial  
68 sensitisation event represents one of the most challenging barriers to successful organ and  
69 tissue transplantation <sup>20</sup>. Although the induction of anti-donor IgG antibodies and the  
70 mechanisms underlying antibody-mediated rejection of organs transplants have been  
71 extensively studied in recent years <sup>22</sup>, much less is known about the generation and potential  
72 clinical consequences of anti-donor antibodies following allo-MSK administration for specific  
73 disease indications <sup>23</sup>.

74 In this study, we have investigated the donor-specific humoral response to IM delivered allo-  
75 MSCs in healthy mice as well as in mice with hind limb ischemia (HLI). In addition, we have  
76 experimentally addressed the potential for a short-course of anti-T-cell immunosuppressive  
77 drug therapy to prevent allo-MSK induced anti-donor antibodies.

78

## 79 **RESULTS**

80 ***Single and repeated intra-muscular allo-MSK injections result in an IgG1-skewed anti-donor***  
81 ***humoral immune response.*** For semi-quantitative analysis of anti-B6 IgG antibodies in sera  
82 from BALB/C recipients of IM allo-MSK and other experimental groups, a flow cytometry-  
83 based assay was developed using B6 splenocytes as “targets” and graded concentrations of a  
84 purified preparation of anti-H-2K<sup>b</sup> detected with anti-mouse IgG Fc-FITC to generate a  
85 standard curve of mean fluorescence values (**Figure 1**). Because of the varying expression  
86 levels of MHC proteins by T-cells and B-cells, the numerical readout for the assay (mean  
87 fluorescence intensity (MFI) was restricted to TCRβ<sup>+</sup> cells.

88 This assay was applied to serum samples collected from 6 groups of mice two weeks after the  
89 second of two sequential IM injections of cells and/or saline vehicle (n = 4 per group). These  
90 groups (first injection/second injection) were as follows: Group 1 - Saline/Saline. Group 2 -  
91 Syn-MSC/Syn-MSC. Group 3 – Allo-splenocytes/Saline. Group 4 – Allo-MSC/Saline. Group 5 –  
92 Allo-splenocytes/Allo-splenocytes. Group 6 – Allo-MSC/Allo-MSC. Results for semi-  
93 quantitative analysis total anti-donor IgG are shown in **Figure 2a**. As expected, animals  
94 injected with saline alone or with Syn-MSC had no detectable anti-B6 IgG. In contrast, animals  
95 injected once or twice with allo-splenocytes or allo-MSC had readily detectable anti-donor  
96 IgG. Although there was a trend toward lower levels in those that received two injections of  
97 allo-MSC, this difference did not reach statistical significance and the trend was not observed  
98 in subsequent experiments. It was concluded that even a single IM injection of allo-MSC was  
99 associated with the induction of anti-donor IgG to levels comparable to those induced by IM  
100 injection of immunogenic primary cells (allo-splenocytes). It was also noteworthy that neither  
101 allo-MSC nor allo-splenocytes resulted in further increases in serum IgG level following a  
102 second IM injection.

103 To determine the relative contributions of individual IgG isotypes (IgG1, IgG2a, IgG2b and  
104 IgG3) to the overall amount of anti-B6 IgG present in the sera of the different experimental  
105 groups, the flow cytometric assay was repeated using FITC-coupled secondary antibodies with  
106 specificities for mouse IgG isotypes. These results were expressed in terms of fold-change over  
107 the average fluorescence value for the samples from the Saline/Saline group (**Figures 2 b-e**).  
108 As shown, both single and double injections of allo-splenocytes resulted in comparable  
109 increases in detectable anti-B6 IgG1, IgG2a and IgG3. In contrast, recipients of single injections  
110 of allo-MSCs developed readily detectable anti-B6 IgG1 and IgG2a with low or absent IgG3.  
111 For the recipients of two allo-MSC injections, the trends were similar but with lower values in

112 3 of 4 animals. Nevertheless, the allo-splenocyte and allo-MSC recipients appeared to develop  
113 distinct IgG isotype patterns, despite similar titres of total anti-donor IgG.

114 To further clarify, a repeat experiment was carried in which total, IgG1 and IgG2a anti-donor  
115 antibodies were analysed in serum samples collected from three groups of mice two weeks  
116 after the second of two sequential IM injections (n = 10 per group): Group 1 - Saline/Saline.  
117 Group 2 – Allo-splenocytes/Allo-splenocytes. Group 3 – Allo-MSC/Allo-MSC. As shown in  
118 **Figure 3a**, anti-B6 IgG was absent in saline injected mice but was readily detectable in sera  
119 from both cell-injected groups with no difference in mean level between recipients of allo-  
120 splenocytes and allo-MSC. However, the IgG isotype analysis confirmed higher average IgG1  
121 levels among the allo-MSC recipients with no difference in IgG2a levels. Thus, it was concluded  
122 that: (a) Allo-MSC are as potent as primary splenocytes from the same allogeneic strain in  
123 inducing anti-donor IgG following single or repeated IM injection in healthy mice. (b) The  
124 isotype profile of anti-donor IgG induced by IM allo-MSC is characterized by a relatively higher  
125 amount of IgG1 compared to IgG2a and other isotypes.

126 ***Anti-donor IgG induced by IM injection of allo-MSC binds to interferon gamma-regulated***  
127 ***allo-antigens on the surface of allo-MSC.*** We next adapted the flow cytometry assay to  
128 determine whether the anti-donor IgG induced by allo-MSC bound specifically to MSC from  
129 the same donor strain. Serum samples from the 3-group experiment described above were  
130 used for this analysis. As shown in **Figure 4b**, IgG in sera from mice that had received two IM  
131 injections of allo-splenocytes or allo-MSC bound to donor strain (B6) splenocytes and MSC but  
132 not to syngeneic (BALB/c) MSC. Notably, the average binding intensity of allo-MSC induced  
133 IgG to allo-MSCs was significantly greater than that of allo-splenocyte-induced IgG suggesting  
134 that the humoral immune response was directed against allo-antigens that are highly

135 expressed by culture-expanded allo-MSC. To further investigate, the assay was repeated using  
136 untreated and IFN $\gamma$ -primed allo-MSC as targets for anti-donor IgG binding. As shown in **Figure**  
137 **4c** and **d**, the binding intensity of allo-MSC-induced but not allo-splenocyte-induced IgG was  
138 further increased following IFN $\gamma$  priming. The results indicated that, in healthy,  
139 immunocompetent mice, IM injection of allo-MSC potently induces the production of IgG with  
140 specificity for allo-antigens that are expressed on the surface of MSC and are regulated by  
141 IFN $\gamma$ .

142 ***Anti-donor IgG induced by IM injection of allo-MSC has variable capacity to support***  
143 ***complement-mediated lysis of donor strain cells.*** An *in vitro* assay, developed as described in  
144 Materials and Methods, was next used to determine the potential for anti-donor antibodies  
145 induced by single and repeated IM injections of allo-splenocytes and allo-MSC to promote  
146 complement-mediated lysis of cells from the same mouse strain. Serum dilutions of 1:10 were  
147 used for this analysis based on serial dilution experiments (data not shown). Frozen serum  
148 samples retained from multiple, similarly designed *in vivo* experiments were thawed and used  
149 for this analysis. Donor-specific lysis was calculated as fold change in B6 cell death compared  
150 to incubation with stored serum from saline-injected mice (**Figure 5**). The results indicated >2-  
151 fold increase in complement-mediated lysis of B6 cells for 7/9 (78%) serum samples from mice  
152 that had received single or repeated IM injections of allo-splenocytes compared to 10/30  
153 (33%) samples from animals that had received single or repeated injections of allo-MSC. Thus,  
154 IM injection of allo-MSC has the potential to induce complement fixing anti-donor antibodies  
155 but this may occur less frequently than following IM injection of primary immune cells. We  
156 also performed multiple experiments in which allogeneic B6-MSC and a B6-derived stromal  
157 cell line were incubated with sera from various experimental groups in the complement  
158 mediated assay. However, in these experiments we observed a relatively high level of

159 background lysis with no evidence of a specific increase in lysis following incubation with  
160 experimental sera (data not shown). Thus, we could not conclude that the anti-donor IgG  
161 induced by IM injections of either allo-splenocytes or allo-MSC donor-specific complement-  
162 mediated lysis of MSC *in vitro*.

163 ***Intramuscular injection of allo-MSC into ischemic limbs of mice is associated with induction***  
164 ***of anti-donor IgG which is abrogated by a short course of tacrolimus.*** To examine anti-donor  
165 antibody induction by IM allo-MSC in a disease-relevant scenario, five groups of 8 BALB/C mice  
166 were subjected to hind limb ischemia by surgical ligation of the femoral artery followed by  
167 injection of saline, syngeneic MSC or allo-MSC into the muscles of the ischemic limb. One  
168 saline-injected and one allo-MSC-injected group also received daily intra-peritoneal injections  
169 of tacrolimus from 2 days before to 14 days after the surgery. The remaining three groups  
170 (saline-, syngeneic MSC- and allo-MSC-injected) received intra-peritoneal injections of vehicle  
171 during the same time-period. Twenty-one days after the procedure, serum samples were  
172 collected and analysed by flow cytometric assay for anti-donor IgG. As shown in **Figure 6**, 4/8  
173 vehicle-treated allo-MSC-injected mice with hind limb ischemia had detectable anti-donor IgG  
174 ( $P < 0.05$  compared to saline-injected group) while anti-donor IgG was absent in tacrolimus-  
175 treated allo-MSC-injected mice with hind limb ischemia. This result indicated that allo-MSC  
176 retain the capacity to induce anti-donor antibody following injection in ischemic muscle and  
177 that this immune response can be inhibited by a short course of a clinically-relevant T-cell  
178 immunosuppressant.

179

180

181

182 **DISCUSSION**

183 The range and types of immune response associated with administration of allo-MSC remains  
184 a significant area of research interest in the field of cellular therapy <sup>13,14,27</sup>. In this study, we  
185 report that IM injection of allo-MSC into the hind limb of healthy mice, as well as mice with  
186 HLI, is associated with the induction of anti-donor IgG. Of interest, when compared to  
187 circulating antibodies induced by allo-splenocytes, the anti-donor IgG response to IM allo-MSC  
188 was characterized by relatively higher levels of IgG1 antibodies and more variable capacity for  
189 complement-mediated donor cell lysis. Suppression of the response by co-administration of  
190 tacrolimus in mice with HLI indicated that generation of anti-donor IgG was dependent on T-  
191 cell help.

192 The development of anti-donor antibody by allo-MSC has been reported in a range of animal  
193 models but, in many of these studies, the intensity and functional potential of allo-MSC-  
194 induced IgG antibody responses have not been characterized in detail or compared to those  
195 induced by other allogeneic cell types <sup>12,23</sup>. Some *in vitro* studies of the direct interactions of  
196 MSC with activated B-cells have suggested that they mediate context-dependent suppressive  
197 effects <sup>28,29</sup>. However, our results for IM-delivered allo-MSCs, along with previously reported  
198 observations in other animal models, indicate that such suppressive interactions either do not  
199 occur in the *in vivo* setting or are insufficient to prevent allo-antigen-specific B-cell activation  
200 <sup>17-19</sup>. Importantly, the clinical implications of anti-donor IgG antibodies are influenced not only  
201 by the antibody titre but also by the heavy chain isotype and by the capacity for complement  
202 fixation <sup>30</sup>. In our study, despite comparable serum levels, the anti-donor IgG response to IM  
203 injections of allo-MSCs in mice was characterised by a lack of IgG3 and by a relative  
204 predominance of IgG1 antibodies - which has been reported to be associated with an

205 underlying Th2-type cellular immune response<sup>31</sup>. Skewing of the humoral response toward  
206 IgG1 isotype may also indicate suppressive effects of allo-MSCs on Th1- and Th17-type anti-  
207 donor T-cell responses, the influence of induced regulatory T-cell populations, promotion of  
208 anti-inflammatory monocytes or myeloid-derived suppressor cells or direct effects  
209 modulatory upon activated B-cells<sup>27,32-34</sup>. Our initial results comparing anti-donor IgG  
210 response following single and double injections of allo-MSC suggested a trend toward lower  
211 total anti-donor IgG titres following the second injection (Figure 2a) – raising the interesting  
212 possibility of a tolerizing effect of multiple IM injections on B cell responses. However, in the  
213 subsequent experiments, the serum total IgG levels following double-injection of B6-MSCs  
214 were quite comparable to those detected following double-injection of B6-splenocytes and  
215 even trended toward being higher (Figure 3). These diverse trends highlight the fact that inter-  
216 individual variability in total IgG titers and IgG isotype fold-changes was a notable feature of  
217 all of the *in vivo* experiments we performed. We attribute this to the inherent complexity and  
218 variability in the *in vivo* generation of IgG immune responses (akin to variable vaccination  
219 responses among human recipients). However, we cannot rule out the possibility that the  
220 second dose of allo-MSC bound sufficient anti-donor IgG to modulate the circulating level.  
221 Overall, we believe that the weight of experimental evidence from our study suggests that  
222 multiple doses of allo-splenocytes and allo-MSC induce comparable ranges of anti-donor IgG  
223 titers but with differentially skewed IgG isotype repertoires reflecting modulation of anti-  
224 donor humoral immune response by the administered MSC either through direct or indirect  
225 mechanisms. Confirmation of whether these observations in mice also apply to humans will  
226 require further immune monitoring studies of subjects taking part in clinical trials of recipients  
227 of IM-administered allo-MSC for critical limb ischemia (CLI) or other diseases<sup>7,8</sup>.

228 Recently, there has been a growing interest in understanding the consequences of antibody  
229 binding to MSC in the *in vivo* setting and in the potential clinical significance of MSC  
230 interactions with antibodies and other blood components<sup>35,36</sup>. While it has been reported  
231 that MSC express factors that render them resistant to complement-mediated lysis<sup>37,38</sup>,  
232 others have observed them to be susceptible to complement *in vitro*<sup>36</sup>. It has also been  
233 reported by Moll *et al.*, that thawed, cryopreserved human MSC are more susceptible to  
234 complement-mediated lysis than their freshly cultured counterparts – a possibility that we did  
235 not address in the current study<sup>39,40</sup>. Furthermore, in a limited number of animal model  
236 studies involving single or multiple allo-MSC injections, the capacity of the induced anti-donor  
237 antibodies to mediate donor cell lysis has been demonstrated<sup>41-44</sup>. Our results confirm such  
238 findings. Less clear, however, is whether allo-MSC-induced anti-donor antibody and  
239 complement-mediated lysis may be responsible for “rejection” of subsequent doses of allo-  
240 MSC<sup>41,44</sup>. Of interest, *in vivo* antibody-mediated complement fixation on the surface of allo-  
241 MSC may also indirectly mediate MSC damage and elimination by other elements of the  
242 immune system. For example, Lin *et al.* have reported that release of C5a following  
243 complement fixation by MSC results in activation of neutrophils and cell damage via the  
244 resulting oxidative burst<sup>45</sup>. Furthermore, the complement cleavage product iC3b may serve  
245 as an activating ligand to enhance NK cell-mediated lysis of MSC *in vivo*<sup>40</sup>.

246 The results we report here demonstrate that anti-donor IgG antibodies induced by single or  
247 repeated injections of allo-MSC – in this case by the IM route – bind specifically to MSC and  
248 are directed against IFN $\gamma$ -regulated cell surface allo-antigens. Although pre-activation  
249 (“licensing”) of MSC with IFN- $\gamma$  has been shown in *in vitro* and *in vivo* studies to be associated  
250 with enhanced immunomodulatory properties<sup>44</sup>, IFN- $\gamma$  is also known to induce expression of

251 surface proteins such as MHC I, MHC II, B7-H1 and ICAM-1 that could serve as allo-antigens  
252 and/or enhance immunogenicity <sup>46-49</sup>. Although we did not formally identify the IFN- $\gamma$ -  
253 inducible proteins that served as targets for anti-donor IgG in the serum of BALB/C mice  
254 following IM injection of B6 MSC, it is likely that these predominantly represent strain-specific  
255 MHC I and MHC II. Whether binding of pre-existing or induced anti-MHC IgG to implanted allo-  
256 MSC is sufficient to cause loss of therapeutic efficacy or increased inflammatory response *in*  
257 *vivo* remains unclear. Of interest, however, Huang *et al.* observed that up-regulation of MHC  
258 proteins on allo-MSC undergoing spontaneous differentiation toward myogenic, endothelial,  
259 or smooth muscle lineages following intra-cardiac injection was associated with anti-donor T-  
260 cell and IgG responses and with late loss of therapeutic efficacy in a rat model of post-infarct  
261 cardiac remodelling <sup>43</sup>. Also of interest, Owens *et al.* recently demonstrated that sera from  
262 horses that received multiple injections of allo-MSC via intravenous, intraarticular and intra-  
263 lesional routes contained anti-donor antibody that bound at high levels to donor MSC <sup>18</sup>. Once  
264 bound to complement-susceptible donor cells (splenocytes), however, the capacity for the  
265 allo-MSC induced IgG to trigger complement-mediated lysis in our study was variable and less  
266 consistent than that of anti-donor IgG induced by allo-splenocytes. These observations are in  
267 keeping with the higher levels of anti-donor IgG1 and absence of IgG3 induced by allo-MSC as  
268 well with a high potential for inter-individual variability in the *in vivo* B-cell responses to allo-  
269 MSC administration – a finding that is consistent with the limited amount of data currently  
270 available from human clinical trials <sup>9-11,50,51</sup>.

271 The donor-specific immune response to allo-MSC has been largely studied in healthy animals  
272 <sup>16,17,44</sup>. However, understanding the influence of specific disease states on the  
273 immunogenicity of allo-MSC is important for effective clinical translation. In this study, we  
274 observed anti-donor IgG production in 50% of a group of mice with HLI following a single IM

275 injection of allo-MSC. Results of immune monitoring from early-phase human trials using allo-  
276 MSC injection for limb ischemia and heart disease have provided variable evidence of  
277 induction of donor-specific responses <sup>7-11</sup>. In studies of direct intra-myocardial injection of  
278 allo-MSC, some subjects were found to have pre-sensitization to HLA while a minority  
279 developed low-level donor-specific antibody responses with no reported cases of acute  
280 immunologic rejection <sup>9-11</sup>. In the case of IM injection of allo-MSC into ischemic limbs, Gupta  
281 *et al.* did not find evidence of adverse response based on serum concentrations of IFN- $\gamma$ , IL-1  
282 and TNF- $\alpha$  and on the CD4, CD8 and CD25 profile of circulating lymphocytes <sup>7</sup>. However, the  
283 authors did not determine whether there was induction of anti-donor antibody among their  
284 study subjects. Thus, it will be important for future clinical trials of IM-injected allo-MSC to  
285 incorporate an immune monitoring strategy that includes sensitive assays for donor-specific  
286 cellular and humoral immune responses in addition to non-specific monitoring of innate  
287 immune activity.

288 We also investigated the effectiveness of a plausible strategy for controlling the production of  
289 anti-donor antibodies in the setting of limb ischemia by co-administering allo-MSC with a short  
290 course of the FDA-approved and frequently used immuno-suppressive drug tacrolimus in the  
291 mouse HLI model. Interestingly, this resulted in a diminished anti-donor IgG antibody  
292 response to allo-MSC, suggesting that the activation of recipient B-cells to MSC-delivered allo-  
293 antigens is preceded by anti-donor CD4<sup>+</sup> T-cell activation. This implies robust delivery of allo-  
294 MSC-derived protein/peptide antigens into the recipient antigen presentation system – most  
295 likely via dendritic cell uptake through indirect (uptake of material from dead cells) or semi-  
296 direct (uptake of shed vesicles) pathways – as well as contact of intact allo-antigens with naïve  
297 B-cells <sup>20</sup>. Our demonstration that this process can be overcome by co-administration of  
298 tacrolimus is consistent with a limited number of studies in other models <sup>52,53</sup>. These

299 observations are of particular relevance to the use of allo-MSC therapies in patients with  
300 potential future need for an organ transplant, such as those with chronic heart, lung, liver or  
301 kidney disease, in whom high level sensitization against HLA proteins can represent a  
302 significant barrier to successful transplantation.

303 Translating the results of rodent model-based research findings such as those reported here,  
304 into insights about the immunogenicity and therapeutic efficacy of allo-MSC in human  
305 subjects, is not straightforward<sup>54</sup>. In particular, differences in the properties of mouse and  
306 human MSC, in the nature of the allo-immune response that occur in the two species and in  
307 the technical and logistical details of animal model experiments compared to human clinical  
308 trials/therapeutic protocols must be taken into consideration. As a general rule, pre-clinical  
309 studies should resemble the intended clinical situation as closely as possible and, in this  
310 regard, regulatory agencies recommend that the ultimate cell product should be tested in pre-  
311 clinical studies<sup>54</sup>. Frequently, however, researchers must decide whether to use the ultimate  
312 human cell product in a xenogenic (e.g. human to mouse) setting or to use an “equivalent”  
313 animal cell product to allow for more accurate assessment of pre-clinical efficacy and safety  
314 in an autologous or allogeneic treatment setting<sup>55</sup>. In studies such as ours, that aim to  
315 evaluate the immune responses to allogeneic cell therapies, allogeneic mouse cells and  
316 immunocompetent animals must be used as xenogenic cells induce immune responses that  
317 are of no relevance to the clinical setting. Nonetheless, an important caveat to our results is  
318 the possibility that the immune responses that occur in mice, the phenotype of the mouse  
319 allo-MSC administered and the technical details of the experimental protocol cannot fully  
320 replicate a clinical cell therapy scenario in human subjects. Although mouse and human bone  
321 marrow-derived MSC share the expression of a number of commonly-used stromal cell  
322 markers (e.g. CD90, CD105, CD73, CD44, MHC I), their typical surface levels of some of these

323 markers may differ and they are also known to express species-specific proteins such as Sca-  
324 1 in mouse <sup>55-57</sup>. Furthermore, typical methods for the *in vitro* isolation and expansion of  
325 murine MSCs differ from those of their human counterpart <sup>55,57</sup>. For example, cultures of bone  
326 marrow-derived mouse MSC tend remain contaminated with haematopoietic (CD45<sup>+</sup>) cells up  
327 to 7-9 passages whereas human bone marrow-derived MSC are usually sufficiently pure to be  
328 clinically administered following 2-4 passages. Of interest, we have observed that healthy,  
329 immunocompetent BALB/C mice also generate readily detectable anti-human IgG following  
330 IM administration of human bone marrow derived MSC (Alagesan S, Sanz-Nogués C and Griffin  
331 MD unpublished data). Furthermore, MSC from both species respond to IFN- $\gamma$  by up-  
332 regulating both MHC I and MHC II.

333 Pre-clinical and clinical studies of MSC also tend to differ widely in regard to weight-adjusted  
334 dose of the administered cell product. In our study, the average weight per mouse was 0.024  
335 kg and the dose administered was  $1 \times 10^6$  cells giving an average dose per unit weight of  $41.7$   
336  $\times 10^6$  cells  $\text{kg}^{-1}$ . Three clinical trials have been reported in which autologous or allogeneic bone  
337 marrow-derived MSC were administered intramuscularly to patients with critical limb  
338 ischemia (CLI) <sup>7,8,58</sup>. Lu *et al.* reported results of a Phase I study that compared the  
339 administration of MSC with that of bone marrow mononuclear cells for the treatment of CLI  
340 and foot ulcers. The average weight of each patient was not provided but the authors stated  
341 that an average cell number of  $9.3 \times 10^8$  MSCs was administered to CLI patients suggesting a  
342 dose per unit weight of  $13-16 \times 10^6$  cells  $\text{kg}^{-1}$ . In contrast, in phase I/II and phase II trials of allo-  
343 MSC, Gupta *et al.* administered doses  $1 \times 10^6$  or  $2 \times 10^6$  cells  $\text{kg}^{-1}$  <sup>8</sup>. Thus, the induction of anti-  
344 donor IgG observed in mouse recipients of allo-MS in our study represents a response to cell  
345 doses several-fold in excess of those that have been used to date in early phase clinical trials.

346 Overall, while it is essential to emphasize the significant differences in the typical resting  
347 surface phenotype, passage number at administration and weight-adjusted cell dose involved  
348 in mouse experiments and human clinical trials, the expression of MHC I and cytokine-  
349 inducible upregulation of both MHC I and II that is common to cells from both species suggests  
350 that the results we report here in the mouse have relevance to the potential *in vivo*  
351 immunogenicity of allo-MSC in human subjects.

352

### 353 **SUMMARY AND CONCLUSIONS**

354 The results we report here add to the weight of evidence, from both animal and human  
355 studies, that allo-MSC administered by various routes have the capacity to induce anti-donor  
356 IgG antibodies that readily bind to allo-antigens on the MSC surface. Prevention of this  
357 response by co-administration of tacrolimus indicates that this facet of their immunogenicity  
358 represents a T-cell dependent B-cell response. For the IM route of administration, which is of  
359 particular relevance to MSC therapy for lower limb ischemia and other diseases of the  
360 cardiovascular system, we show that allo-MSC-induced anti-donor IgG has a characteristic  
361 isotype profile compared to other immunogenic cell types. This isotype profile may be less  
362 potent for complement fixation and likely represents the outcome of a complex, short-lived  
363 *in vivo* interaction between administered MSC and localised cells of the innate and adaptive  
364 immune system<sup>34</sup>. Along with the existing literature discussed above, our findings help to  
365 better understand the nature and mechanisms of allo-MSC immunogenicity as well as its  
366 potential clinical relevance. Further studies of multiple-dosing of allo-MSCs with co-  
367 administration of immuno-suppressive drugs will clarify whether lasting therapeutic benefits  
368 can be enhanced by preventing the induction of anti-donor antibodies. The alternative

369 possibility, that anti-donor antibody response indeed do not influence the efficacy of the cell  
370 product, or those allo-antigen-driven interactions between MSC and immune effectors are  
371 necessary for full therapeutic effect in some disease settings, must also be considered.

372

## 373 **METHODS**

374 **Animals.** Male 8-12 weeks-old BALB/c (recipient) and C57BL/6 mice (donor) were purchased  
375 from Charles River Laboratories, United Kingdom and were housed in a licensed bio-resource  
376 with monitoring and support from qualified animal technicians and a veterinary surgeon. All  
377 animal experiments were carried out with ethical approval from the Animal Care Research  
378 Ethics Committee (ACREC) of the National University of Ireland, Galway and under appropriate  
379 individual and project authorisations from Health Products Regulatory Authority (HPRA) of  
380 Ireland.

381

382 **Mouse bone marrow MSC isolation and culture.** Donor-strain MSC were obtained from bone  
383 marrow (BM) of C57BL/6 and recipient autologous MSC from BALB/c. Briefly, femurs and  
384 tibiae from 6-8-week-old mice were removed and excess tissue cut away. Marrow was flushed  
385 from the bones using a 27-gauge needle filled with complete isolation medium (CIM,  
386 RPMI1640, 9% equine serum, 9% fetal bovine serum (FBS), 1% penicillin/streptomycin and  
387 2mM L-glutamine). After two passages (p), the cells were transferred to T25 or T75 tissue  
388 culture flasks at  $1-5 \times 10^6$  per flask in complete expansion medium (CEM, IMDM, 9% equine  
389 serum, 9% FBS, 1% penicillin/streptomycin and 2mM L-glutamine.) for further expansion. At  
390 passage 5, the cells were cryopreserved in freezing medium and then were subsequently  
391 thawed and expanded for 1-4 further passages in culture for use in experiments. All

392 experiments were carried out with p6-p9 MSCs grown to 80% confluence in supplemented  
393 Iscove's modified Dulbecco's medium (IMDM). The surface phenotypes and tri-lineage  
394 differentiation characteristics of the MSCs were confirmed by flow cytometry and *in vitro*  
395 adipogenic, osteogenic and chondrogenic assays as previously described <sup>24</sup>.

396

397 *Intramuscular injection of MSC in healthy mice.* Recipient (BALB/c) mice were anaesthetized  
398 with intra-peritoneal ketamine (75-100 mg kg<sup>-1</sup>) and xylazine (10mg kg<sup>-1</sup>) following which  
399 intramuscular injections were carried out at five individual sites [40 µL (2x10<sup>5</sup> cells in sterile  
400 saline) each] in the left thigh muscle. Cells or sterile saline injections were given at two time-  
401 points two weeks apart. After an additional week (21 days following the first injection), the  
402 mice were humanely euthanized and blood was collected by cardiac puncture for serum  
403 preparation.

404

405 ***Flow cytometric assay for detection of anti-donor IgG and IgG Isotypes.*** Blood samples  
406 collected at the time of euthanasia were allowed to clot at room temperature for 4 hours then  
407 separated by centrifugation for 10 minutes at 500 x g following which aliquots of serum were  
408 frozen at -80°C. For flow cytometric detection of anti-donor (B6) IgG antibody in serum, freshly  
409 prepared, RBC-free B6 splenocytes were suspended at 8 x 10<sup>6</sup> cells mL<sup>-1</sup> in FACS buffer (1x PBS,  
410 2% FCS, 0.05% NaN<sub>3</sub>) and were incubated for 30 minutes at 4°C with either FACS buffer alone  
411 (negative control), serial dilutions of purified anti-H-2K<sup>b</sup> (clone AF6-88.5, BD Biosciences,  
412 Franklin Lakes, NJ) or serum samples diluted 1:100 in FACS buffer. Next, splenocytes were  
413 washed twice in FACS buffer then incubated for 30 min at 4°C with goat anti-mouse IgG Fc  
414 F(ab)<sub>2</sub>-FITC (Beckman Coulter, Brea, CA) at an optimised dilution of 1:400 in addition to anti-  
415 mouse T-cell Receptor (TCR)-β- PE (BD Biosciences) for total IgG or incubated with anti-mouse

416 IgG1-FITC, anti-mouse IgG2a-FITC, anti-mouse IgG2b-FITC or anti-mouse IgG3-APC (BD  
417 Pharmingen, UK) for IgG isotyping. In some experiments, freshly-cultured B6 MSC (untreated)  
418 or B6 MSC that had been cultured for 24 hours in the presence of 100ng mL<sup>-1</sup> of IFN $\gamma$  for 24  
419 hours (IFN $\gamma$ -primed) were incubated for 30 min at 4°C with either FACS buffer alone (negative  
420 control), serial dilutions of purified anti-H-2K<sup>b</sup> or serum samples diluted 1:100 in FACS buffer.  
421 The MSC were washed twice in FACS buffer then incubated for 30 min at 4°C with 1:400 goat  
422 anti-mouse IgG Fc F(ab)<sub>2</sub>-FITC. Stained splenocytes and MSC were washed twice with FACS  
423 buffer, re-suspended in 0.5 mL of FACS buffer and analysed immediately on a Becton  
424 Dickinson FACSCanto A<sup>TM</sup> flow cytometer. Analysis was carried out using FlowJo<sup>®</sup> v7.0  
425 software (TreeStar<sup>®</sup> Inc, Ashland, OR) with fluorescence intensity of TCR $\beta$ -negative cells (B-  
426 cells) compared between negative control sample and individual serum-incubated samples.

427

428 **Complement-mediated lysis assay.** Red blood cell-free B6 splenocytes were prepared as  
429 described above for use as “target cells”. The splenocytes were re-suspended at 5 × 10<sup>5</sup> mL<sup>-1</sup>  
430 in 1X PBS, 2% FCS, 0.05% NaN<sub>3</sub> and were incubated either with mouse sera diluted 1:10 in PBS  
431 or with PBS alone (negative control). Next, cells were washed to remove unbound antibodies  
432 and incubated in 20% baby rabbit complement (AbD Serotec, UK) in PBS at a final volume of  
433 100  $\mu$ l for 1 hour at 27°C following which 2 mL of ice cold PBS were added. The cells were  
434 pelleted by centrifugation, re-suspended in 50  $\mu$ L of PBS, stained with 0.5  $\mu$ g propidium  
435 iodide/sample and analysed immediately on a Becton Dickinson FACSCanto<sup>TM</sup> flow cytometer.  
436 Analysis was carried out using FlowJo<sup>®</sup> v7.0 software (TreeStar<sup>®</sup> Inc, Ashland, OR).

437

438 **Hind limb ischemia with MSC and tacrolimus administration.** Hind limb ischemia was induced  
439 in eight-week-old male BALB/c mice. Animals were anesthetized with 75-100 mg kg<sup>-1</sup> ketamine

440 and 10 mg kg<sup>-1</sup> xylazine injected intra-peritoneally. The femoral triangle was exposed through  
441 an incision in the inguinal region and the femoral artery was separated from the femoral vein  
442 and nerve by blunt dissection distal to the inguinal ligament. The femoral artery was occluded  
443 by placement of sutures at the proximal and distal regions above the proximal caudal femoral  
444 artery branch and a cut was made between the ligation sites. Finally, the incision was closed  
445 with interrupted sutures followed by IM injection of cell suspensions or saline alone in the  
446 thigh muscle as described above. The mice received analgesia (0.05-0.1 mg kg<sup>-1</sup> of  
447 buprenorphine) 30 min prior to surgery and every 12 hours for the next 3 days, and thereafter  
448 as required. Prophylactic antibiotic (0.1mg kg<sup>-1</sup> of Enrofloxacin/Baytril) was also given once  
449 post-operatively. For administration of tacrolimus or vehicle, daily intra-peritoneal injections  
450 were performed from pre-operative day 2 until post-operative day 14. Tacrolimus was  
451 administered at a dose of 1 mg kg<sup>-1</sup> day<sup>-1</sup> in accordance with published studies <sup>25</sup>. A working  
452 solution of tacrolimus (0.2 mg mL<sup>-1</sup>) was prepared each day by mixing stock solution (40 mg  
453 mL<sup>-1</sup>) with a vehicle solution containing 10% ethanol and 1% Tween80 in sterile physiological  
454 saline <sup>26</sup>. Control-treated mice received injections of equal volumes of vehicle alone. At 21  
455 days after surgery, animals were humanely euthanized, and blood was collected for  
456 preparation of serum samples.

457

458 **Statistical analysis.** Results were expressed throughout as mean ± standard deviations (SD)  
459 and differences among multiple groups were tested statistically by ANOVA with post-hoc  
460 testing. All statistical analyses were performed with GraphPad Prism® 5 Software (GraphPad  
461 Software, Inc., La Jolla, CA, USA). Statistical significance was assigned at  $P < 0.05$ .

462

463

464 **CONFLICT OF INTEREST STATEMENT.**

465 Prof. Timothy O' Brien is a founder, director, and equity holder in Orbsen Therapeutics Ltd.,  
466 Ireland. The other authors have no conflicts of interest to declare.

467

468

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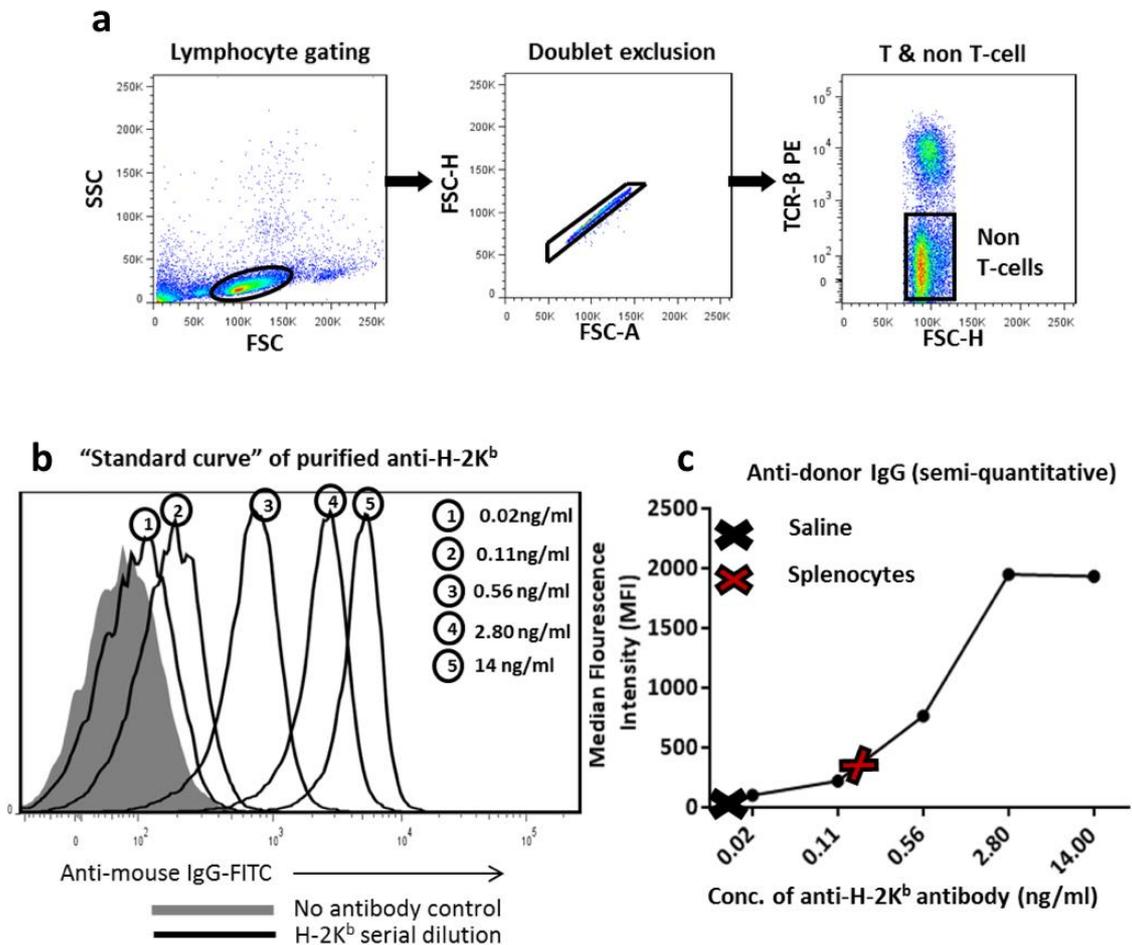
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643 **Figure 1**

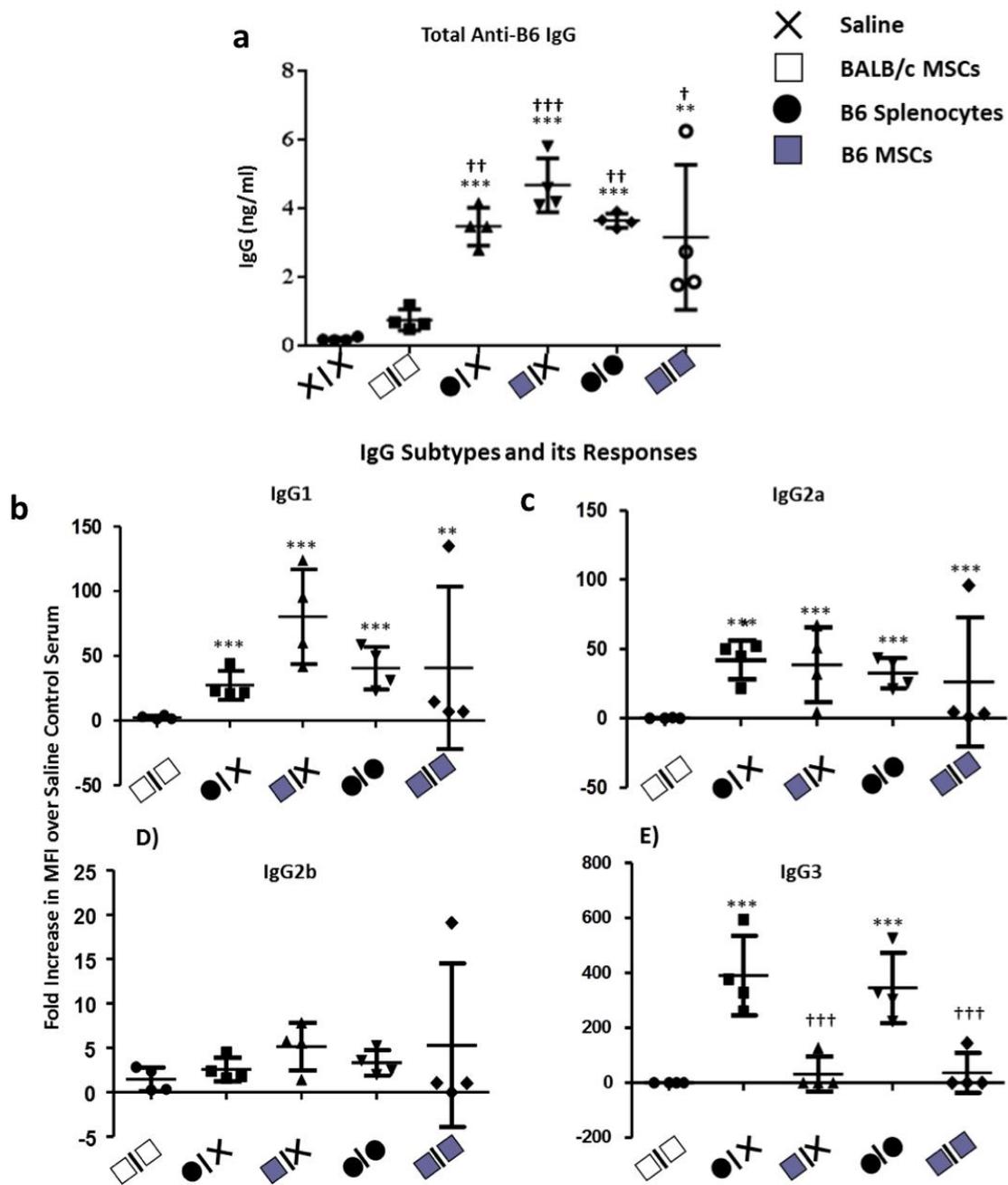
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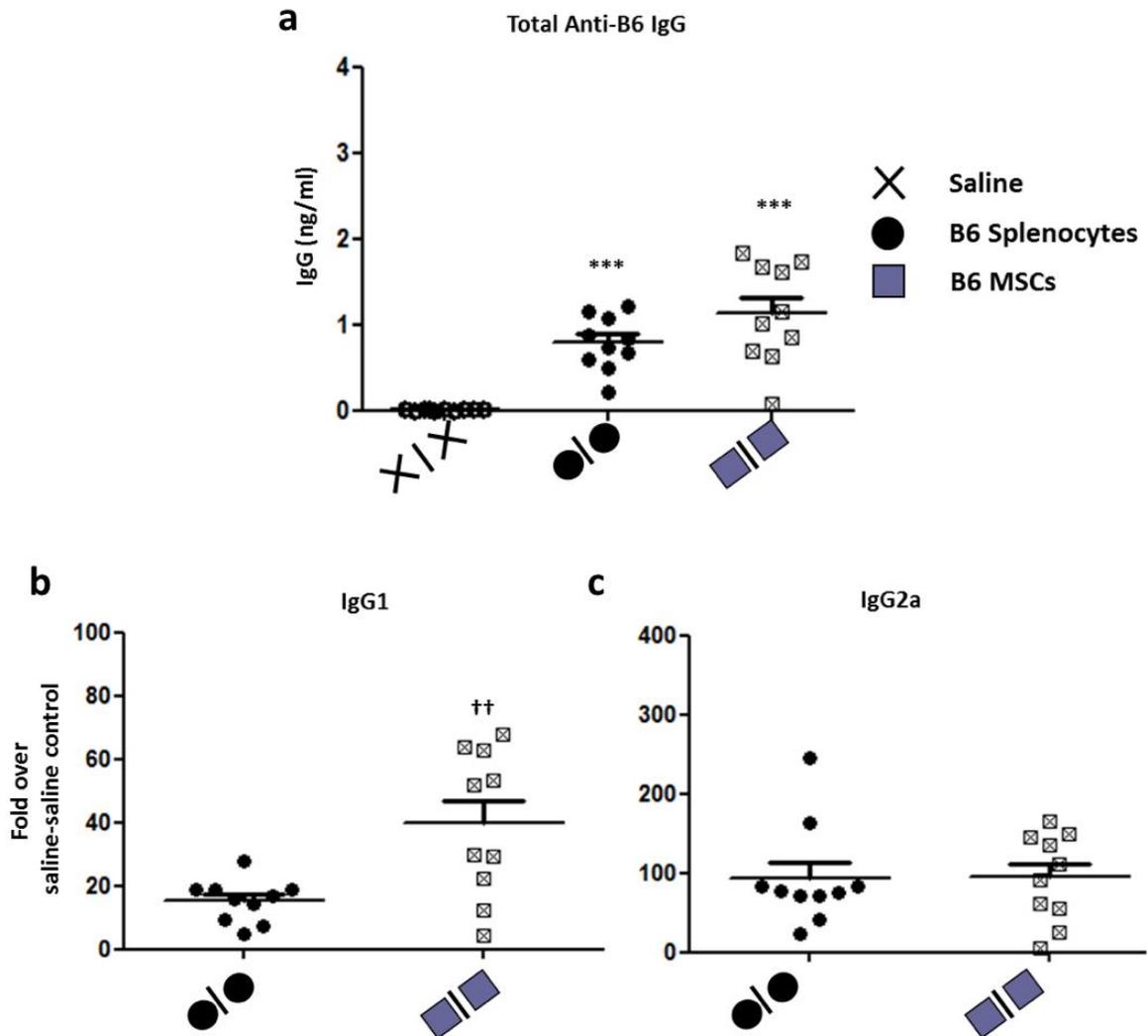
647 **Figure 1. Semi-quantitative flow cytometry assay for anti-donor IgG.** (a) Representative dot  
648 plots illustrating the gating strategy used for analysis of fluorescence shift among TCR-β<sup>+</sup> B6  
649 mouse splenocytes stained with anti-TCRβ. (b) Representative histograms illustrating the  
650 fluorescence shifts on the FITC channel of B6 TCR-β<sup>+</sup> cells incubated with no primary antibody  
651 (shaded histogram) or with concentrations of anti-H-2K<sup>b</sup> between 0.02 to 14 ng mL<sup>-1</sup> followed  
652 by anti-mouse IgG-FITC. (c) Graph of the mean fluorescence intensities (MFI) versus anti-H-  
653 2K<sup>b</sup> concentrations for the histogram shown in b. Examples of the MFIs of target cells  
654 incubated with 1:64 diluted sera from a BALB/c recipient of saline (black cross) or from a  
655 BALB/c recipient of B6 allo-splenocytes (red cross) are overlaid on the plot. The data shown in  
656 b and c are representative of results from 6 independent optimization experiments.  
657



659

660 **Figure 2. Induction of anti-donor IgG following single and double allo-MSc injections with**  
 661 **IgG isotyping – compared with Syn-MSCs and allo-splenocytes.** (a) Graph of anti-B6 IgG titres  
 662 in sera from 6 experimental groups (n = 4 each). Titres were calculated based on a standard  
 663 curve for monoclonal anti-H-2K<sup>b</sup> and expressed as “ng mL<sup>-1</sup> equivalent”. Filled and open  
 664 symbols represent the results for individual animals in each group. Horizontal bars and error  
 665 bars represent the group means ± SD. Results are shown for semi-quantitative analysis of anti-  
 666 B6 IgG isotype titres in 5 groups of mice: IgG1 (b), IgG2a (c), IgG2b (d), IgG3 (e). Titres were  
 667 calculated as fold change over the average fluorescence intensity of the saline control group.  
 668 Data-points represent the results for individual animals in each group. Statistical analyses  
 669 were performed by One-Way ANOVA, \*\**P*<0.01 and \*\*\**P*<0.001 compared to saline control  
 670 group †*P* < 0.05, ††*P* < 0.01, ††† *P*<0.001 compared to the Syn-MSc group.

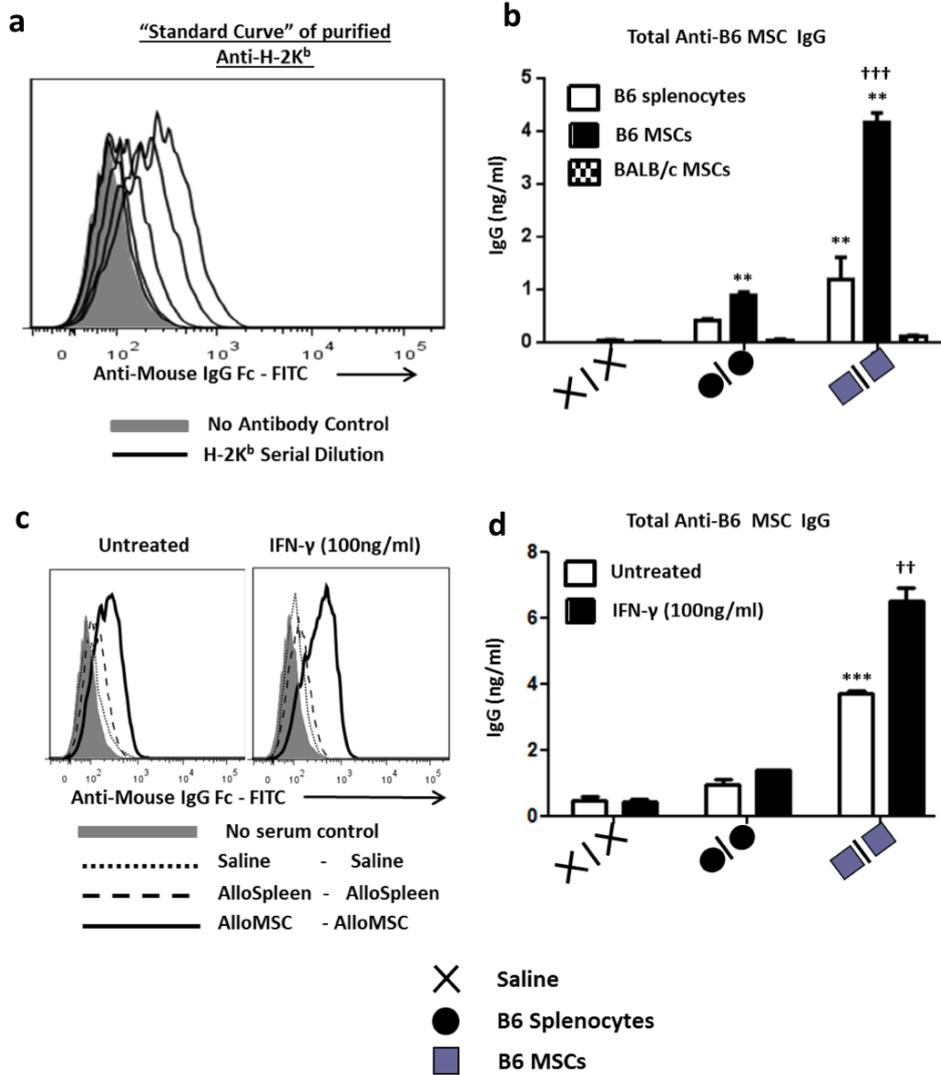
671 Figure 3  
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**Figure 3. Differential IgG isotype patterns in allo-MSc and allo-splenocyte double-injected mice.** (a) Results are shown for semi-quantitative flow cytometric analysis of anti-B6 total serum IgG in BALB/C recipients of two sequential IM injections of saline, allo-splenocytes and allo-MSCs (n = 10 each). (b and c) Results are shown for semi-quantitative analysis of anti-B6 IgG1 and IgG2a in two groups of cell-injected mice expressed as fold change over the average fluorescence intensity of the saline control group. Data-points represent the results for individual animals in each group. Horizontal bars and error bars represent the group means ± SD. Statistical analysis was performed by One-Way ANOVA, \*\*\* $P < 0.001$  compared to the Saline group †† $P < 0.01$  compared to the allo-splenocyte group.

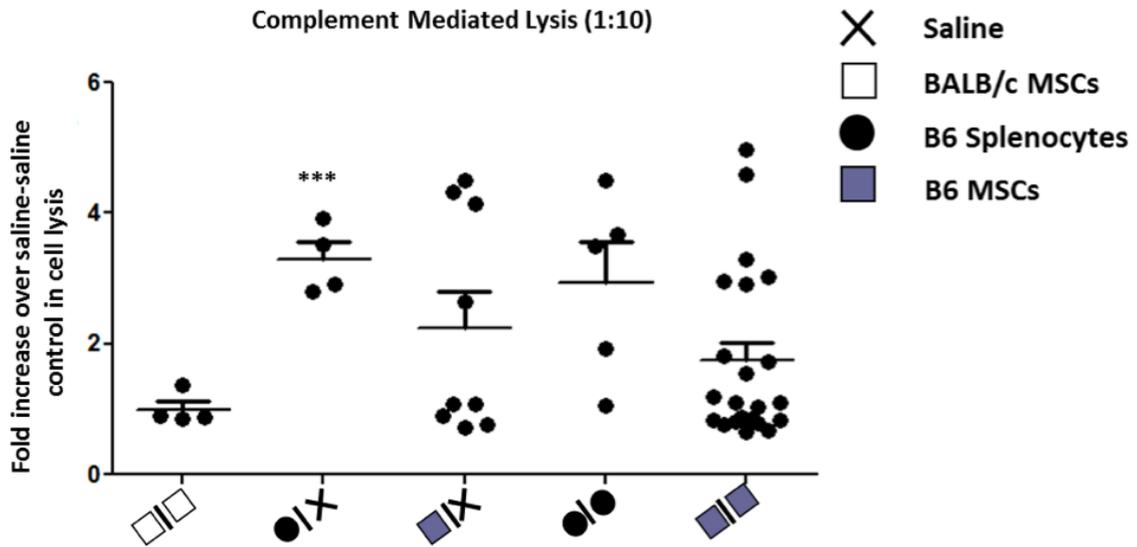
687 **Figure 4**  
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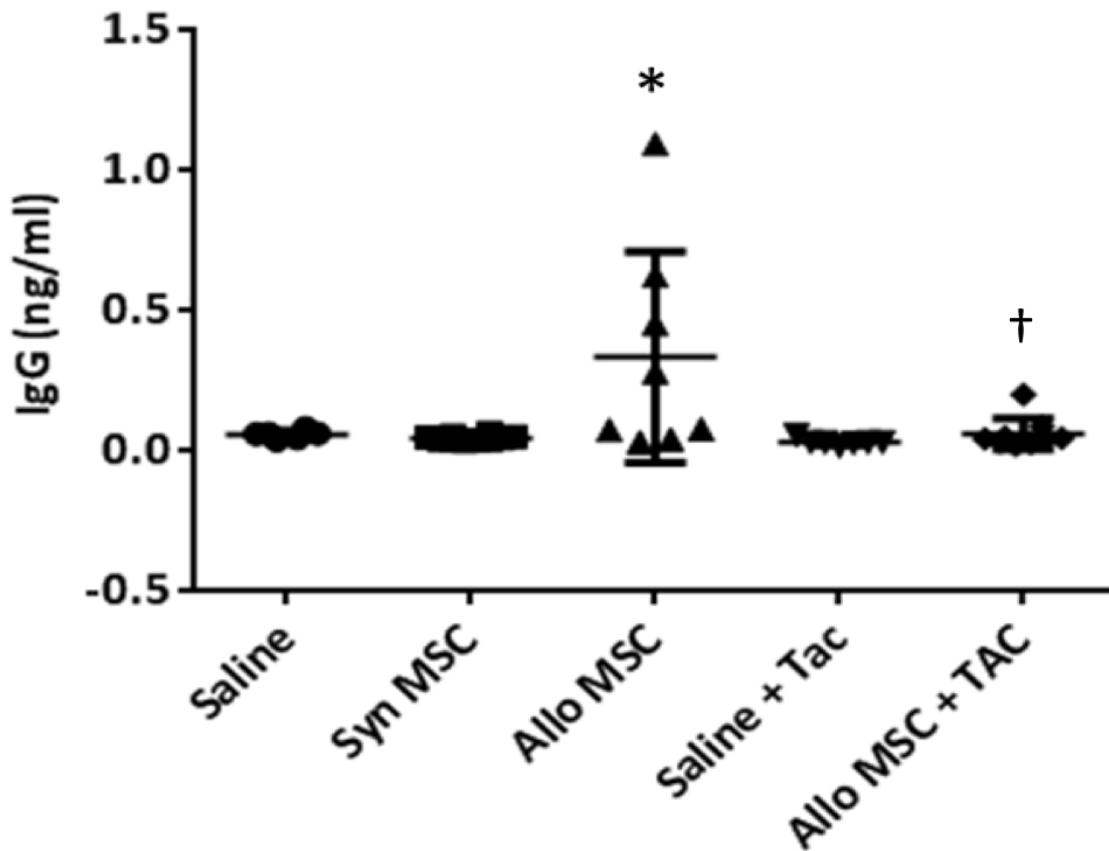
691 **Figure 4. Binding of induced IgG to allo-MSc with and without IFN- $\gamma$  priming.** (a) Overlay  
 692 histograms illustrating the standard curve generated by incubation of serial dilutions of  
 693 purified anti-B6 Class I (H-2K<sup>b</sup>) antibody with B6 splenocytes followed by anti-IgG-FITC. (b)  
 694 Graph of equivalent concentrations of anti-B6 total IgG in sera from three groups of mice (n =  
 695 10 each) against three different target cell populations: allo-(B6)-splenocytes, allo (B6)-MSC  
 696 and syngeneic (BALB/C)-MSC. Levels of IgG were calculated based on the standard curve and  
 697 expressed as "ng mL<sup>-1</sup> equivalent". (c) Representative histogram overlays of the anti-IgG  
 698 staining levels on untreated (left) and IFN $\gamma$ -pre-treated (right) B6 MSC following incubation  
 699 without serum (no serum control) or with sera from mice that received double injection of  
 700 saline, allo-splencoytes or allo-MSc as indicated in the legend. (d) Graph of IgG titres in sera  
 701 from three groups of mice (n = 10 each) against untreated or IFN $\gamma$ - pre-treated B6 MSC. Levels  
 702 of IgG were calculated based on the standard curve and expressed as "ng mL<sup>-1</sup> equivalent".  
 703 Data represent the group means  $\pm$  SD. Statistical analysis was performed by One-Way ANOVA,  
 704 \*\*\*P < 0.001 compared to the appropriate results for the saline and allo-splenocyte group,  
 705 ††P < 0.01 compared to the appropriate result for untreated MSCs.

706 Figure 5  
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713 **Figure 5. Variable complement-mediated lysis of donor-specific targets by allo-MSi-induced**  
714 **anti-donor IgG.** Results of complement-mediated lysis assays performed with 1:10 dilutions  
715 of stored sera from groups of mice that had received 1 of 5 different IM cell injection regimens.  
716 Results are expressed as the fold-increase in % Lysis of target B6 splenocytes over the mean  
717 result for sera from saline-injected animals. Data-points represent the results for individual  
718 animals in each group. Horizontal bars and error bars represent the group means  $\pm$  SD.  
719 Statistical analysis was performed by One-Way ANOVA, \*\*\* $P < 0.001$  compared to the  
720 syngeneic MSC group.  
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723 Figure 6  
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730 **Figure 6. Effect of tacrolimus administration on anti-donor antibody response induced by**  
731 **IM injection of allo-MSK at the time of hind limb ischemia induction.** Results of semi-  
732 quantitative flow cytometry assays for anti-B6 IgG in 1:100 diluted sera from five groups of  
733 BALB/C mice (n = 8 each) that had under gone hind limb ischemia 21 days previously with  
734 single IM injections on the day of surgery. Antibody levels were calculated based on a standard  
735 curve generated with serial dilutions of purified anti-H-2K<sup>b</sup> and were expressed as “ng mL<sup>-1</sup>  
736 equivalent”. Data represent the group means ± SD. Statistical analysis was performed by One-  
737 Way ANOVA, \*P < 0.05 compared to Saline group; †P < 0.05 compared to allo-MSK group.  
738 Abbreviations: Tac = tacrolimus.  
739