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## **Rapid Quantification of Tryptophan and Tyrosine in Chemically Defined Cell Culture Media using Fluorescence Spectroscopy.**

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### **ABSTRACT:**

The rapid and inexpensive analysis of the complex cell culture media used in industrial mammalian cell culture is required for quality and variance monitoring. Excitation-emission matrix (EEM) spectroscopy combined with multi-way chemometrics is a robust methodology applicable for the analysis of raw materials, media, and bioprocess broths. We have shown that the methodology can identify compositional changes and predict the efficacy of media in terms of downstream titre [1]. Here we describe how to extend the measurement methodology for the quantification of specific tryptophan (Trp), tyrosine (Tyr) in complex chemically defined media. The sample type is an enriched basal RDF medium in which five significant fluorophores were identified: Trp, Tyr, pyridoxine, folic acid, and riboflavin. The relatively high chromophore concentrations and compositional complexity lead to very significant matrix effects which were assessed using PARAllel FACtor analysis2 (PARAFAC2). Taking these effects into account, N-way Partial Least Squares (NPLS) combined with a modified standard addition method was used to build calibration models capable of quantifying Trp and Tyr with errors of ~4.5 and 5.5% respectively. This demonstrates the feasibility of using the EEM method for the rapid, quantitative analysis of Trp and Tyr in complex cell culture media with minimal sample handling as an alternative to chromatographic based methods.

**Keywords:** Fluorescence, cell culture media, chemometrics, amino acids, inner filter effect.

### **1. Introduction**

The use of chemically defined cell culture media (CD-media) for industrial mammalian cell culture is of significant importance because of the need to eliminate the use of complex, ill-defined biogenic materials like bovine serum. These CD-media are often highly complex mixtures, containing a variety of amino acids, carbohydrates, cofactors, and other materials [2]. Media composition varies according to cell type, product type, and are, often propriety formulations for individual manufacturers [3-5]. Media are often formulated using

1 components which themselves are complex, chemically defined (CD) media mixtures *e.g.*  
2 RDF [6]. RDF is a 2:1:1 mixture of RPMI(Roswell Park Memorial Institute) 1640, DMEM  
3 (Dulbeccos' Modified Eagles Medium), and F12 (a Ham's Nutrient Mixture medium) media,  
4 which is often used as a basal medium for mammalian cell fermentation. An enriched basal  
5 RDF (eRDF) is formulated by increasing the levels of amino acids and glucose to sustain  
6 high density growth. In mammalian cell culture, eRDF is widely used as a basal medium [7].  
7 eRDF can vary in composition but typically comprises over 30 compounds including  
8 inorganic salts, amino acids, vitamins, HEPES buffer, glucose, and various others [8, 9].  
9 The analysis of these complex CD-media is challenging since comprehensive analysis  
10 requires a variety of chromatographic separations coupled to methods like mass spectrometry.  
11 This can make routine analysis expensive and time-consuming particularly for simple  
12 requirements such as raw materials or media constituent identification, determination of lot-  
13 to-lot consistency, and the monitoring of batch-to-batch preparations of media. Thus there is  
14 a need for a rapid, holistic analytical method that can be used for the early stage screening  
15 and analysis of these materials. Spectroscopic methods offer the ideal solution because of  
16 advantages ranging from speed, sensitivity, facile automation, and inexpensive unit test costs.  
17 Raman spectroscopy can be used for the identification and quality monitoring of cell culture  
18 media components including eRDF [10, 11]. However, quantification of specific components  
19 is difficult because the analyte signals are typically weak and there may be fluorescent  
20 interferences. For aqueous solutions, the combination of Excitation-emission matrix (EEM)  
21 spectroscopy with multi-way chemometrics methods is one very attractive option [12].  
22 EEM spectroscopy when applied to complex, turbid mixtures containing biogenic  
23 fluorophores such as amino acids, proteins, coenzymes, and vitamins can enable  
24 simultaneous detection, qualitative and quantitative analysis [13-15]. EEM based analyses,  
25 which take only a few minutes to perform also benefit from the intrinsic advantages of  
26 fluorescence spectroscopy which include: high sensitivity, high signal-to-noise ratios, and  
27 relatively large linear ranges for quantitative analysis [16]. For complex CD-media mixtures  
28 where there are multiple fluorophores and other photophysically active molecules, Energy  
29 Transfer and quenching (both static and dynamic) play a large part in determining the shape  
30 and intensity of the EEM profile, thus providing a unique fingerprint suitable for qualitative  
31 characterization of these materials [17-22]. The use of multi-way chemometrics methods for  
32 the qualitative and quantitative chemometrics analysis of EEM data is now well established  
33 in environmental analysis of water [23-28], food science [29], and for quantitative  
34 determination of analytes ( $\mu\text{g/mL}$ ) in various fluids such as urine [30] or plasma [31]. It was  
35 demonstrated that EEM combined with chemometric methods can be used to identify and  
36 characterize cell culture media and to predict the performance of cell culture media in terms  
37 of product yield [1, 12] as well as retrieve key parameters for on-line monitoring of yeast  
38 cultures [32]. An alternative methodology Surface Enhanced Raman Scattering (SERS) can  
39 also provide valuable information about complex media variance to complement EEM  
40 measurements [33]. However SERS is not a sufficiently mature or reproducible technique at

1 the present time. Thus EEM-chemometric method is an ideal process analytical technology  
2 candidate for the assessment of critical quality and performance attributes of the complex  
3 materials used to prepare cell culture media [34-37].

4 This study extends previous work [1, 12] and demonstrates the next stage in the realization of  
5 EEM based analytical methods for biopharmaceutical manufacturing, namely the  
6 quantification of specific media constituents. In CD-media such as eRDF the fluorescent  
7 amino acids, tryptophan (Trp) and tyrosine (Tyr), are often important components [9]. Tyr in  
8 particular is a key amino-acid used for protein synthesis and Tyr concentration in the media  
9 has been shown to be correlated with titre in CHO based antibody production [38]. Another  
10 recent study describing the optimization of media for CHO cell culture by metabolic flux  
11 analysis shows clearly that Trp is also an important, limiting component [39]. Thus the  
12 ability to rapidly quantify variances in the concentrations of these amino acids in the complex  
13 cell culture media is of interest from a quality control point of view.

14 Our objective in this study was to develop an accurate, fast, inexpensive, with minimal  
15 sample handling, generic method for the quantification of Trp and Tyr in a complex CD-  
16 media (eRDF) in its prepared, concentrated state. The EEM technique described in this  
17 paper, is fast in order to provide real time analysis (~ 5 min per sample), non-destructive, and  
18 does not require any complex sample handling, apart from pipetting the sample into a cuvette.  
19 It should be noted that a single EEM measurement can be analyzed using a variety of  
20 different chemometric models for different purposes, like identification [12], then quality  
21 control/variance analysis [12, 33], and even process performance prediction [1]. While some  
22 effort is required in the initial phase of developing the chemometric models, for routine day-  
23 to-day application, the models can be integrated into the spectrometer software to enable  
24 semi-autonomous use. Finally, because all the data is digital and the measurements are  
25 reproducible, one can collect data throughout the life of the bioprocess and continually update  
26 the chemometric models during the process lifecycle. This should result in increased  
27 prediction accuracy as the bioprocess matures.

28 However, the multitude and relatively high concentrations of chromophores and fluorophores  
29 present in CD-media at the working concentrations leads to a variety of complications in the  
30 EEM method in terms of matrix effects which have to be dealt with. Here we use multi-way  
31 chemometric analysis to deal with the matrix effects, enabling media analysis without  
32 dilution, so minimizing sample handling. The goal of the study is to accurately quantify  
33 Trp/Tyr concentrations where there is little *a priori* knowledge of the analyte concentrations.

## 36 2. Materials and Methods

### 37 2.1 Materials:

38 eRDF was obtained from Kyokuto Pharmaceuticals Industrial (Japan). NaOH (97+ %),  
39 NaHCO<sub>3</sub> (99.7+ %), L-Tyrosine (≥ 98%), L-Tryptophan (≥ 98%), Pyridoxine, (-)-Riboflavin  
40 and Folic acid dehydrate (97 %) were obtained from Sigma-Aldrich and used without further

1 purification. An aliquot of sterilized high purity water was used to dissolve 0.885 g of eRDF  
2 powder, to which was added 0.0565 g of sodium bicarbonate before making the solution up  
3 to a final volume of 50 mL (17.7 g/L working concentration, eRDF Stock). The solution was  
4 immediately sterilized by membrane (0.22  $\mu\text{m}$ ) filtration and then dispensed into sterile  
5 containers before storage at  $-70^{\circ}\text{C}$ . The pH of the eRDF Stock solution was 7.0 with Trp and  
6 Tyr concentrations of 90.1  $\mu\text{M}$  and 480  $\mu\text{M}$  respectively (manufacturer reported  
7 formulation). Stock solutions of Trp (18.0 mM) in water and Tyr (96.0 mM) in an alkaline  
8 solution<sup>1</sup> were prepared so that the addition of 5  $\mu\text{L}$  of stock solution would double the  
9 concentration of these amino acids in a 1 mL aliquot of the eRDF stock. After spiking 1mL  
10 of eRDF stock solution with 10  $\mu\text{L}$  of Tyr stock solution the sample pH was 7.3. A variation  
11 from pH 7.0 to 7.3 is not expected to affect significantly the fluorescence properties of Tyr  
12 and Trp [40].

13

## 14 **2.2 Instrumentation and data collection:**

15 EEM were measured over spectral ranges of 220-400 nm (excitation) and 250-600 nm  
16 (emission) with a data interval of 5 nm at  $25^{\circ}\text{C}$  using a Cary Eclipse (Varian) fluorescence  
17 spectrometer [1]. Semi-micro quartz cuvettes (Lightpath Optical Ltd., UK) with a 4 mm axis  
18 (excitation) and a long 10 mm axis (emission) were used. All EEM collected constituted  
19 37x71 matrices where each data point was the fluorescence intensity of the sample at a  
20 specific excitation/emission pair. Fig. S-1 (*Supplementary data*) shows the landscape and  
21 contour plots of a typical eRDF EEM. All calculations were performed using PLS\_Toolbox  
22 4.0<sup>®</sup>, supplemented by in-house-written codes for MATLAB<sup>®</sup> (ver. 7.4). All figures were  
23 generated using MATLAB<sup>®</sup> (ver. 7.4) or Origin ver. 7.0, OriginLab Corporation,  
24 Northampton, MA.

25

## 26 **2.3 Samples**

27 Two EEM datasets were collected: **dataset1** was used to assess matrix effects and validate  
28 the band assignments and comprised of EEM collected from a series of ten eRDF solutions  
29 with concentrations between 3.5 and 35 g/L. Each sample was measured in triplicate (30  
30 spectra) to form a 37x71x30 tri-dimensional array. For the quantification of Tyr and Trp in  
31 eRDF, a sample set (**dataset2a**) spanning the nominal to three times the nominal specified  
32 concentration (for a 17.7 g/L eRDF soln.) was prepared by means of a standard addition  
33 protocol: 1 mL portions of the eRDF stock solution were successively spiked with 1  $\mu\text{L}$   
34 aliquots of either Trp (up to a maximum of 8  $\mu\text{L}$ ) or Tyr (up to a maximum of 10  $\mu\text{L}$ ) stock  
35 solutions. Each sample was prepared in triplicate (Table 1). When more than 8  $\mu\text{L}$  of Trp  
36 was added the signal became saturated under the measurement conditions used and this  
37 therefore defines the measurement/concentration range for the method. We note that if a

---

<sup>1</sup> NaOH was added to improve solubility. 6.25 mL of  $\sim 1\text{M}$  NaOH was added to 434.8 mg of Tyr and the solution was made up to a final volume of 25 mL with sterile millipore water. The final pH was 11.5.

1 classical standard addition method were implemented with NPLS, then the absolute  
 2 concentrations are used for calibration, and thus the models produced will have calibration  
 3 ranges which have a lower limit equal to the initial analyte concentration (*i.e.* the specified  
 4 concentration in *sample 0*). Consequently, it would not be possible to accurately quantify  
 5 samples where the analyte concentrations are lower than the specified concentration.

6  
 7 **Table 1:** Description of the standard addition samples. Sample 0 is the test sample.

Sample	Tyrosine		Tryptophan	
	Added concentration ( $\mu\text{M}$ )	Total concentration ( $\mu\text{M}$ )	Added concentration ( $\mu\text{M}$ )	Total concentration ( $\mu\text{M}$ )
Sample 0	0	480.2	0	90.1
Sample 1	95.4	575.6	17.9	108.0
Sample 2	190.6	670.8	35.8	125.9
Sample 3	285.6	765.8	53.7	143.8
Sample 4	380.4	860.6	71.5	161.6
Sample 5	475.1	955.3	89.3	179.4
Sample 6	569.5	1049.7	107.1	197.2
Sample 7	663.8	1144.0	124.8	214.9
Sample 8	757.9	1238.1	142.5	232.6
Sample 9	851.7	1331.9	-	-
Sample 10	945.4	1425.6	-	-

8  
 9 For each series of samples, the non-spiked samples (stock eRDF solutions, *e.g.* sample 0 in  
 10 Table 1) were designated as *Test* samples, the spiked ones as *Standard addition (SA)* samples  
 11 (samples 1 to 8/10 in Table 1). The calibration dataset comprised of 54 spiked samples while  
 12 the **test** dataset comprised of 6 stock eRDF samples. An 11 sample prediction dataset  
 13 (**dataset2b**) was also generated comprising 1 stock eRDF (sample 0), 3 samples spiked only  
 14 with Trp at concentrations equal to samples 1 to 3 (Table 1), 5 spiked with only Tyr at  
 15 concentrations equal to sample 1 to 5 and an additional sample spiked with both Trp and Tyr  
 16 at the concentrations specified for sample 3.

#### 2.4 Chemometric methodology:

Prior to analysis the first and second order Rayleigh scattering bands were removed from the EEM data by replacing with missing values. This was done because the Rayleigh scatter is largely unrelated to the chemical properties of the sample and the scatter peaks do not behave linearly (or tri-linearly) which can bias the EEM data modeling. The advantages of using missing data in order to deal with Rayleigh scattering in the case of the application of a PARAFAC algorithm were described by Andersen [41]. The eRDF solutions were significantly fluorescent and there were signals over the majority of the EEM space and there was no evidence of any Raman bands in the EEM, so Raman scatter correction was not implemented.

As the goal of this study is to determine the concentrations of specific amino acids in a complex cell culture media, we used a variety of methods. Initially, PARAFAC2 [42, 43] was implemented on **dataset1** to assess the various spectral factors. This method was used in preference to PARAFAC because PARAFAC is highly dependent on data tri-linearity [44]. This means that the fluorescence response measured for one analyte in two different samples should have the same profile (excitation and emission profiles) but scaled differently according to the analyte concentration. Here we observed significant concentration induced spectral profile changes with the presence of a varying saddle in the Trp excitation profile (fig. S-5, *Supplementary data*); consequently the simple PARAFAC algorithm was not suitable. Instead we used PARAFAC2 because the method accommodates changes in the response profiles of one of the dimensions. PARAFAC2 often used to deal with shift issues which are of great importance in NMR, or chromatographic data handling [42, 45-50]. The use of PARAFAC2 combined with EEM is less popular but it was previously used to model pH induced fluorescence emission shifts [51]. Here we implemented PARAFAC2 with the excitation mode set as the 'changing' mode.

Finally an N-way partial least squares (NPLS) calibration model [52] was developed from the data in **dataset2**, using a modified standard addition method (*MSAM*), [53, 54] to show that it was feasible to predict the concentrations of Trp/Tyr varying about the specified formulation concentrations without relying on precise *a priori* knowledge of analyte concentration. In this method one subtracts the EEM matrix of the *Test* sample from all the 'raw' EEMs (Fig. 1a/b) of the *SA* samples to build the calibration model. We designated these test matrix subtracted EEM data as the TMS-EEM (Fig. 1c/d). Quantitation was then implemented by an external calibration procedure carried out on the 'raw' (un-subtracted) EEMs. Using this method the nominal concentration of analytes in the *Test* sample was not required as the calibration was performed on the residual data (*i.e.* the TMS-EEM) which originated primarily from the change in concentration and relates to the added concentrations. Sample quality was assessed using multi-way (unfolded) classic principal component analysis (U-PCA) to ensure that no abnormal samples were included in the calibration sets.

The key factors in developing accurate calibration models were to: 1). determine the best sample set, 2). choose the best data pre-processing (PreP) methods [55] and 3). select an

1 optimal spectral range. For the sample sets, we had to decide on whether to build models  
2 using only samples spiked with a single analyte or use a selection of samples spiked  
3 alternatively with both. A second consideration in the use of NPLS [56] was the inclusion of  
4 just Trp or Tyr concentrations or both in the **Y** variables element of the model. Eventually  
5 we selected five different cases shown in Table 2. Calibration model performance was  
6 assessed using a combination of the root mean square error of calibration (RMSEC), RMSE  
7 of validation (RMSEV) and RMSE of prediction (RMSEP).

8 RMSEC represents the ability of the model to predict the added concentration of Tyr and Trp  
9 after subtraction of the reference *Test* sample. The RMSEV represents the ability that the  
10 model has to predict the total concentration of Trp and Tyr in the *SA* samples within the  
11 calibration range (as determined by the concentration range of the added analyte). For  
12 validation the ‘raw’ EEMs from **dataset2b** were used to calculate RMSEV. The RMSEP for  
13 the *Test* samples (RMSEPT) was calculated as a final model assessment step. To determine  
14 the model complexity in terms of appropriate number of latent variables (LVs), we calculated  
15 and compared the RMSEC, RMSEV and RMSEP values up to 15 LVs. The appropriate  
16 number of LVs for the best models was then chosen where both RMSEV and RMSEP  
17 reached a common minimum (*e.g.* LV = 4 for Trp on the full EEM, Table 4).

### 20 **3. Results and Discussion**

#### 21 **3.1 Spectral & Variance analysis:**

22 A typical eRDF EEM landscape plot (Fig. 1 and Fig. S-1, *Supplementary data*) shows a  
23 relatively complex topography indicating the presence of multiple fluorophores. Comparing  
24 this data with fluorescence spectra from individual fluorophores and literature data we  
25 identified Tyr and Trp as the strongest fluorophores, with weaker contributions from  
26 pyridoxine (Py), riboflavin (RF), and folic acid (FA) (Table 2, Figs. S-2/3/4 *Supplementary*  
27 *data*). All of these are specified components of eRDF with concentrations varying from 0.53  
28 to 480  $\mu$ M.



1  
2 **Table 2:** Summary of the fluorophores identified from the EEM data of eRDF solutions and  
3 relevant photo physical parameters [1-6].

		$\lambda_{\max}$ excitation (nm)		$\lambda_{\max}$ emission (nm)		$I_{\max}$ (a. u.)	Quantum yield <sup>(c)</sup>	Extinction coefficient <sup>(d)</sup> (cm <sup>-1</sup> mM <sup>-1</sup> )
Fluorophore	Conc. <sup>(a)</sup> (μM)	Obs.	Lit.	Obs.	Lit.			
Tyr	480	275	275	310	305	448.9	0.14 <sup>(i)</sup>	1.45 (275nm)
Trp	90.1	260 – 285 <sup>(b)</sup>	280	355	350	513.1	0.13 <sup>(ii)</sup>	6.24 (279 nm)
Pyridoxine	4.86	320	320, 330	390	390	36.79	0.11 <sup>(iii)</sup>	8.78 (325 nm)
Riboflavin	0.53	365	270, 370 and 445	520	520	10.96	0.267 <sup>(iv)</sup>	35.1 (265 nm)
Folic acid	19.9	355	~ 355 (acid) / 375 (base)	445	445 (acid) / 455 (base)	10.26	<0.005 <sup>(v)</sup>	31.3 (281 nm)

4 (a) In a 17.7 g/L eRDF solution.

5 (b) Band centred at 275 nm

6 (c) i)  $\pm 0.01$  in H<sub>2</sub>O at 275 nm and 23°C, ii)  $\pm 0.01$  in H<sub>2</sub>O at 280 nm and 23°C, iii)  $\pm 0.01$   
7 in 0.05 M PPB at 25°C, iv)  $\pm 0.01$  in H<sub>2</sub>O at pH=7, v) in aqueous solution at pH  
8 =5.0 and pH=10.

9 (d) Calculated at the maximum absorption wavelength in brackets from the absorption  
10 spectrum (Fig. 2d).

11  
12 Typical Trp and Tyr concentration variation observed in CD-media used in industrial  
13 applications are expected to be lower than that used here because the media are supposed to  
14 be manufactured to a specific recipe with very high tolerances. There has been little data  
15 published work in the literature on the lot-to-lot variation in CD-media, apart from our own  
16 studies [1, 11, 12] and other recent studies [57]. An earlier EEM study [12] on another eRDF  
17 type media (21 lots) used in an industrial process was undertaken on samples at a much lower  
18 concentration (~0.84 g/L). The measured lot-to-lot fluorescence variation can be compared  
19 by the inter- and intra-sample variability. This can be done by comparing the standard  
20 deviation of specific band intensity measurements across all samples and for the triplicate  
21 measurements of the same sample. Here we took the points of maximum intensity in the  
22 Trp/Tyr bands from normalized EEM spectra and this yielded intra-/inter-sample variability  
23 ratios of 1:2.8 and 1:3.7 respectively for these dilute eRDF samples [12]. In other words, the  
24 observed lot-to-lot EEM differences were ~3 times the measurement error, based on this

1 simple ratio measurement. In contrast, for **dataset2a** (much more concentrated), the  
2 equivalent values were 1:35 (Trp) and 1:47 (Tyr).

3 However, we have to recognize that these two sample systems have different photophysical  
4 behavior (and EEM spectra) and thus it is not proper to compare only the changes in  
5 fluorescence intensity at a few discrete points. We have to consider the shape changes in the  
6 EEM contours which are much more sensitive to inner filter effects (IFE) caused by the  
7 medium complexity. We combined the eRDF dataset from this work with the dilute sample  
8 datasets from the previous study [12], and then normalized the spectra. We then undertook  
9 an Unfolded Principal Component Analysis (U-PCA) study to gauge the degree of change  
10 that can be expected in reality. The U-PCA results (*Supplementary data*) shows that the  
11 magnitude of the lot-to-lot variation was comparable to the spectral variation generated by  
12 the spiked samples.

13  
14 We must also address the issue of fluorescent contaminants and what factors may adversely  
15 affect the implementation of these quantitative methods. Some media components can have a  
16 very large effect on EEM signal *via* quenching or energy transfer, while others will have  
17 comparatively minor or no effect at all [12]. In the context of CD-media prepared under  
18 Good Manufacturing Practice (GMP) for large scale industrial processes one expects a high  
19 degree of reproducibility in formulation and thus reproducible EEM spectra. Any significant  
20 deviations in the formulation process should be easily identified using U-PCA prior to the  
21 implementation of the quantitative analysis for Trp/Tyr. An example of how one can use U-  
22 PCA to check sample quality is the photodegradation of CD-media where it was easy to  
23 identify (*Supplementary data*, Fig. S-13) those samples which have photodegraded.  
24 Photodegradation of eRDF solution leads to the formation of a new fluorescence band at 355  
25 / 460 nm (excitation/emission pair) from an unknown component. In practice, these  
26 identified abnormal samples can then be rejected or sent for a more-comprehensive and time-  
27 consuming detailed chromatographic analysis. Alternatively, if one has knowledge of  
28 intrinsic variability of a known fluorophore in the media, then this can be accounted for in the  
29 calibration dataset by careful experimental design, as was implemented here for **dataset2a**  
30 (Table 3). Since every industrial CHO based process uses different, bespoke CD-media, we  
31 did not develop this method for any specific bioprocess and thus we used a large calibration  
32 range to demonstrate the feasibility of the concept. The method can easily be modified to  
33 lower variation ranges by careful experimental design and the preparation of new calibration  
34 samples.

35  
36 **Table 3:** Description of the different calibration cases (CalC) built based on the nature of the  
37 X (EEM) and Y (concentration) datasets used for calibration.

Calibration cases	X includes samples spiked with ...	Number of samples in X	Y includes added concentrations of ...	Number of column in Y
-------------------	------------------------------------	------------------------	--	-----------------------

CalC1	Trp	8 x 3	Trp	1
CalC2	Tyr	10 x 3	Tyr	1
CalC3	Trp&Tyr	18 x 3	Trp&Tyr	2
CalC4	Trp&Tyr	18 x 3	Trp	1
CalC5	Trp&Tyr	18 x 3	Tyr	1

1  
2

### 3.2 PARAFAC2 modelling.

4 To assess the matrix effects and validate peak assignments (Table 2), **dataset1** was modeled  
5 using PARAFAC2 [41, 58]. For PARAFAC2 to generate individual factors/components  
6 corresponding to different compounds those compounds must be present with varying  
7 relative concentrations in the different samples, *i.e.* the measured responses must not be  
8 collinear. In dataset 1 which is simply composed of samples made by diluting a known  
9 concentration of a complex mixture, the relative concentrations of the analytes do not change  
10 and thus you have a collinear *concentration* system. However, since we are measuring the  
11 fluorescence response (the EEM), the situation is very different. The fluorescence response  
12 of the individual fluorophore is not collinear, in other words each fluorophore's emission  
13 behaves differently as the samples are diluted because of IFE. Thus the IFE mediated non-  
14 collinearity of **dataset1** enabled PARAFAC2 to generate a unique component (loadings and  
15 scores plots are shown in Fig. 2) for the major fluorophores.

16 PARAFAC2 required four components to adequately model eRDF (**dataset1**) and the  
17 emission loadings plots (Fig. 2b) clearly ascribe the first three components to Tyr, Trp, and  
18 Py emission respectively while the fourth component is a composite. This fourth component  
19 represents fluorescence from RF and FA with emission maxima at 420 nm and 515 nm. The  
20 corresponding excitation loading features maxima at 250, 355, and 370 nm which also  
21 correspond to a composite of the excitation spectra of FA and RF (Table 2, Fig. S-2 and 4  
22 *supplementary data*). FA and RF have much weaker fluorescence, with poor signal-to-noise  
23 ratios, leading to imprecision in the discrimination of emission maxima. They may also  
24 display a similar fluorescence behavior in response to concentration variations, and this co-  
25 linearity in the EEM data from **dataset1** prevented PARAFAC2 from resolving the individual  
26 components. The use of MCR-ALS (*supplementary data*) did not improve the resolution of  
27 these two fluorophores.

28 Fig. 2a shows the effect of varying eRDF concentration on the excitation spectra and as  
29 expected, major changes were observed in the Trp and Tyr loadings with a pronounced  
30 saddle visible in the Trp excitation loading. The saddle deepens with the growing IFE caused  
31 by the increasing eRDF concentration. In the electronic absorption spectrum of the stock  
32 eRDF solution (Fig. 2d), the maximum absorption (275 nm) corresponds to the excitation  
33 wavelength of the Trp saddle. This relatively high absorption reduces both excitation and  
34 emission intensity, and the effect varies according to wavelength, affecting each fluorophore  
35 differently. The PARAFAC2 scores plot (Fig. 2c), shows the quantitative impact of IFE on  
36 the fluorescence intensities of the four components. It is obvious that there are very

1 significant non-linearity, particularly for Tyr emission intensity (Fig. 2c) and Trp excitation  
 2 profile (Fig. 2a). There is no obvious evidence in this data of any significant non-radiative  
 3 energy transfer; in particular there is no Trp emission blue shift with Tyr or Trp addition. IFE  
 4 is particularly evident in Tyr and Trp fluorescence because of the high intrinsic  
 5 concentrations and the very significant spectral overlap of the media absorbance maximum  
 6 with their fluorescence excitation and emission spectra.

### 8 **3.3 Quantitative analysis:**

9 There are several second-order calibration algorithms available for use with EEM of which  
 10 PARAFAC is one of the most common [41, 59]. Unfortunately, the extensive IFE present in  
 11 these types of samples precludes its use, and the use of many other algorithms [60].  
 12 However, unfolded partial least squares (U-PLS) [61] and NPLS, have the benefit of being  
 13 better able to handle the tri-linearity deviations caused by IFE [62]. The use of U-PLS with  
 14 Residual Bi-linearization to deal with IFE has been demonstrated for simple relatively  
 15 mixtures [59]. In the NPLS modeling we used **dataset2a**, for calibration and validation, and  
 16 **dataset2b**, for prediction. Fig. 1a/b shows typical raw EEM and Fig. 1c/d shows the TMS-  
 17 EEM used for building the calibration model. It is obvious that the topographical changes in  
 18 the EEM spectra are very large and varied when spiked with double the nominal  
 19 concentration.

20 Plotting the impact of spiking the eRDF stock solution with Trp/Tyr (Fig. 3) clarifies the  
 21 matrix effects solely introduced by the presence of the spiked analytes. Fig. 1 and 3 shows  
 22 the effect on the excitation spectra of Trp (c) and Tyr (d) when eRDF is spiked with  
 23 increasing amounts of each amino acid. Plotting the changes in fluorescence intensity of the  
 24 various fluorophore with Trp/Tyr addition (Fig. 3a/b) showed that there was very little impact  
 25 from the changing matrix on the fluorescence behavior of the vitamins. A more significant  
 26 impact can be noted from the amino acid on each other; however it will be shown that, when  
 27 necessary, this problem can be handled by considering the two analytes simultaneously when  
 28 building a calibration model. Overall the deviations to linearity are less dramatic than that  
 29 observed when the eRDF concentration itself was varied (Fig. 2c). We conclude that NPLS  
 30 could deal with these effects via the use of additional LV's in the modeling process [59].

31  
 32 **Table 4:** Summary of results from NPLS modeling of the full EEM data for prediction of  
 33 Trp and Tyr concentrations.  
 34

Analyte	Model type	LVs	PreP <sup>(a)</sup> X	PreP <sup>(a)</sup> Y	RMSEC( V) $\mu$ M	RMSEP( T) $\mu$ M	REC <sup>(b)</sup> (%)	REV <sup>(b)</sup> (%)	REP <sup>(b)</sup> (%)	REPT <sup>(c)</sup> b) (%)
Trp	CalC1	3	C1	C	0.97 (39.44)	35.23 (38.63)	1.20	29.2	33.1	42.9
	CalC3	5	C1	C	2.32 (7.19)	8.68 (6.50)	6.47	5.33	8.16	7.21
	CalC4	4	C1	C	1.64 (2.99)	5.36 (2.99)	4.57	2.22	5.04	3.32

Tyr	CalC2	4	C1	C	19.20 (452.54)	436.02 (415.77)	3.66	63.0	66.7	86.6
	CalC3	3	C1	AS	18.65 (208.39)	194.11 (203.77)	6.40	29.0	29.7	42.4
	CalC5	9	C1S23	C	3.51 (114.80)	219.21 (232.99)	1.21	16.0	33.5	48.5

1 (a) PreP, Pre-processing on **X** and **Y**. Centering (C or C1), Scaling on mode 2 and/or 3  
2 (S2 and/or 3), Autoscaling (AS).

3 (b) REC, REV, REP are the relative error of calibration, validation and prediction  
4 respectively; those errors are calculated from the RMSEC, RMSEV and RMSEP  
5 relatively to the mean of the expected values in each case.  
6

7 In the first phase of the quantitative analysis we used the full EEM spectra after verifying the  
8 quality of the calibration sample datasets using a 2-component U-PCA model (supplemental  
9 info, fig. S-6). Table 4 shows a selection of NPLS results obtained using the full EEM data  
10 and details the combination of pre-processing, LV's and datasets used for calibration used to  
11 obtain the best predictions. It is clear that we can generate a reasonably accurate model for  
12 Trp with relatively low errors (Relative Error of Prediction, REP ~ 5%) using the full EEM.  
13 However, using this data for Tyr prediction generates poor predictability, irrespective of the  
14 conditions used. This is a consequence of the extensive non-linearity in the data arising from  
15 the fact that Tyr emits at shorter wavelengths and is thus more sensitive to IFE in both the  
16 excitation and emission modes. For Trp, IFE is more significant for the excitation relative to  
17 the emission mode because Trp emits at longer wavelengths (> 305 nm) where the  
18 absorbance of the medium is relatively low. In most cases it was necessary only to centre the  
19 EEM data, which is a common pre-processing step implemented when performing NPLS on  
20 EEM data. It is interesting to note how the addition of the Tyr spiked samples in the  
21 calibration dataset (*CalC3* and *CalC4*) improved the Trp prediction. As Tyr and Trp signals  
22 are partially overlapping and because we do not use a model presenting the second order  
23 advantage, the presence and variation in concentration of the secondary analyte could lead to  
24 poor model robustness. The secondary analyte (Trp or Tyr) can be considered here as a  
25 known and expected interferant and by including this extra interferant information in the  
26 calibration sets the model can be trained to take into account its presence during the  
27 prediction step. Including the samples spiked with both Trp and Tyr (*CalC3*, *CalC4* and  
28 *CalC5*) can give information to the system that the variations in a specific region of the EEM  
29 are unrelated to the observed concentration and thus the NPLS algorithm will ascribe a lower  
30 weighting to the variables from these regions.

31 To improve prediction accuracy particularly for Tyr determination, we sub-sampled the EEM  
32 data and then re-ran an extensive series of NPLS models under different conditions.  
33 Ultimately, two regions of interest (Fig. S-9, *Supplementary data*) were selected. These  
34 regions (R1/R2) were chosen in order to try and minimize IFE, avoid the Rayleigh scatter,  
35 while still retaining sufficient spectral information (See details Table 5). R1's wide  
36 excitation range includes 6.0% missing values (compared to 6.7 % for the full EEM). R2

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1 used a much more restricted, longer-wavelength excitation range (only 4 excitation  
2 wavelengths), and included 3.7% missing values. Thus the proportion of missing values in  
3 the datasets are relatively low and do not seem to have much of an effect on prediction  
4 accuracy.

5  
6

**Table 5:** Summary of results for the models giving best prediction of Trp and Tyr using selected EEM regions.

An.	Model type	LV	R <sub>ex</sub> <sup>(a)</sup> (nm)	R <sub>em</sub> <sup>(a)</sup> (nm)	Pre-P <sup>(b)</sup>	REC <sup>(c)</sup> (%)	REV <sup>(c)</sup> (%)	REP <sup>(c)</sup> (%)	REPT <sup>(c)</sup> (%)
Trp (R1)	CalC3	10	220-310	285-495	C1S2,AS	2.03	2.99	4.23	3.29
Tyr (R2)	CalC5	6	285-300	295-495	C1S2,C	4.88	5.04	3.76	4.60

(a) R<sub>ex</sub> and R<sub>em</sub> are the excitation and the emission range defining the sub-sample of the original EEM used.

(b) PreP, Pre-processing on **X**, **Y**. Centering (C or C1), Scaling on mode 2 and/or 3 (S2 and/or 3), Autoscaling (AS).

(c) REC, REV, REP are the relative error of calibration, validation and prediction respectively; those errors are calculated from the RMSEC, RMSEV and RMSEP relatively to the mean of the expected values in each case.

To avoid IFE, the absorbance should always be less than 0.05; however this is not possible with media, as we do not wish to implement a dilution step in the method. The eRDF stock absorption is greater than 0.05 at all wavelengths shorter than 495 nm for a 4 mm pathlength (See Fig. 2d). Thus we cannot select an IFE free region without discarding all the spectral information pertaining to Trp/Tyr. However, low wavelength cut-off at 285 or 295nm, where the solution absorbance starts to increase exponentially (Fig. 2d), was successfully applied on the emission mode for R1/R2 and on the R2 excitation mode limiting the IFE effect on model quality.

NPLS models for predicting Trp (using R1) and Tyr (using R2) with errors better than 5.5 % and 4.5% respectively (Table 5) were generated (Fig. 4). For these models more complicated pre-processing was required with scaling of the emission and excitation modes in addition to the classical centering. Scaling (here to the variance unit) standardizes variables so they all display the same variability [55]. Scaling within the excitation mode was necessary in many cases and this is consistent with the hypothesis that scaling reduces some of the non-linearity arising from IFE induced intensity changes which are more evident in the excitation mode due to the spectral ranges affected. Indeed, the strongest IFE occurred between 220 and 310 nm which overlapped the Trp and Tyr excitation bands. Scaling on the emission mode was also proved to be of use in some cases for Tyr prediction (Table S-1, *Supplementary data*). For both Tyr and Trp prediction, it was noticeable that the addition of more variance information in the calibration dataset (*CalC3-5*) also improved the quality of the predictions as observed on the full EEM data (Table S-1, *Supplementary data*). This extra information given to the calibration dataset (**X**) can also be supplemented by the introduction of the secondary analyte concentration in **Y** (*CalC3* case).

1

#### 2 **4. Conclusions**

3 This study shows that fluorescence EEM coupled with multi-way chemometrics methods is a  
4 rapid, effective and inexpensive method requiring minimum sample handling, for the  
5 quantitative analysis of tyrosine and tryptophan in a complex, concentrated, chemically  
6 defined cell culture media. PARAFAC2 was used to identify the various fluorophores and  
7 show the very significant IFE present in these samples. Despite the extensive IFE present, it  
8 was possible to quantify both Trp and Tyr using NPLS coupled with a modified standard  
9 addition method, and by careful selection of the EEM range used. Both Trp and Tyr could be  
10 quantified with an accuracy of 4.5 and 5.5% using different chemometric models applied to  
11 different spectral regions of the same EEM measurement. Thus a single rapid (<7 minutes)  
12 measurement can be used for the quantification of these two important amino acids, in  
13 contrast traditional chromatographic analysis may require a much longer analysis time. This  
14 when coupled to previous work on qualitative analysis of media components [12], and  
15 correlating media variance with process performance [1] allows for the use of fluorescence  
16 EEM as a comprehensive analytical tool for biotechnology manufacturing. These results are  
17 significant in an industrial QC environment, because the method does not require any  
18 significant sample handling and can be implemented on a wide variety of complex aqueous  
19 solutions. Further improvement in the prediction accuracy of the method is limited by the  
20 experimental design used here because the calibration samples spanned a large concentration  
21 range (up to twice nominal concentration). With such a large compositional change we  
22 induce significantly large IFE and non-linearity in the data which will cause difficulties in  
23 obtaining higher accuracy. If greater accuracy is desired then, the calibration range can be  
24 reduced to cover a smaller (more realistic) concentration range and a larger number of  
25 calibration samples used.

26

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30 assistance to AC.

31

#### 32 **SUPPLEMENTAL INFORMATION AVAILABLE**

33 Supporting information is available including further details on spectral analysis of the EEM  
34 data, identification of the fluorophores, PCA studies of eRDF variability, and additional  
35 figures and data.

36

37

38

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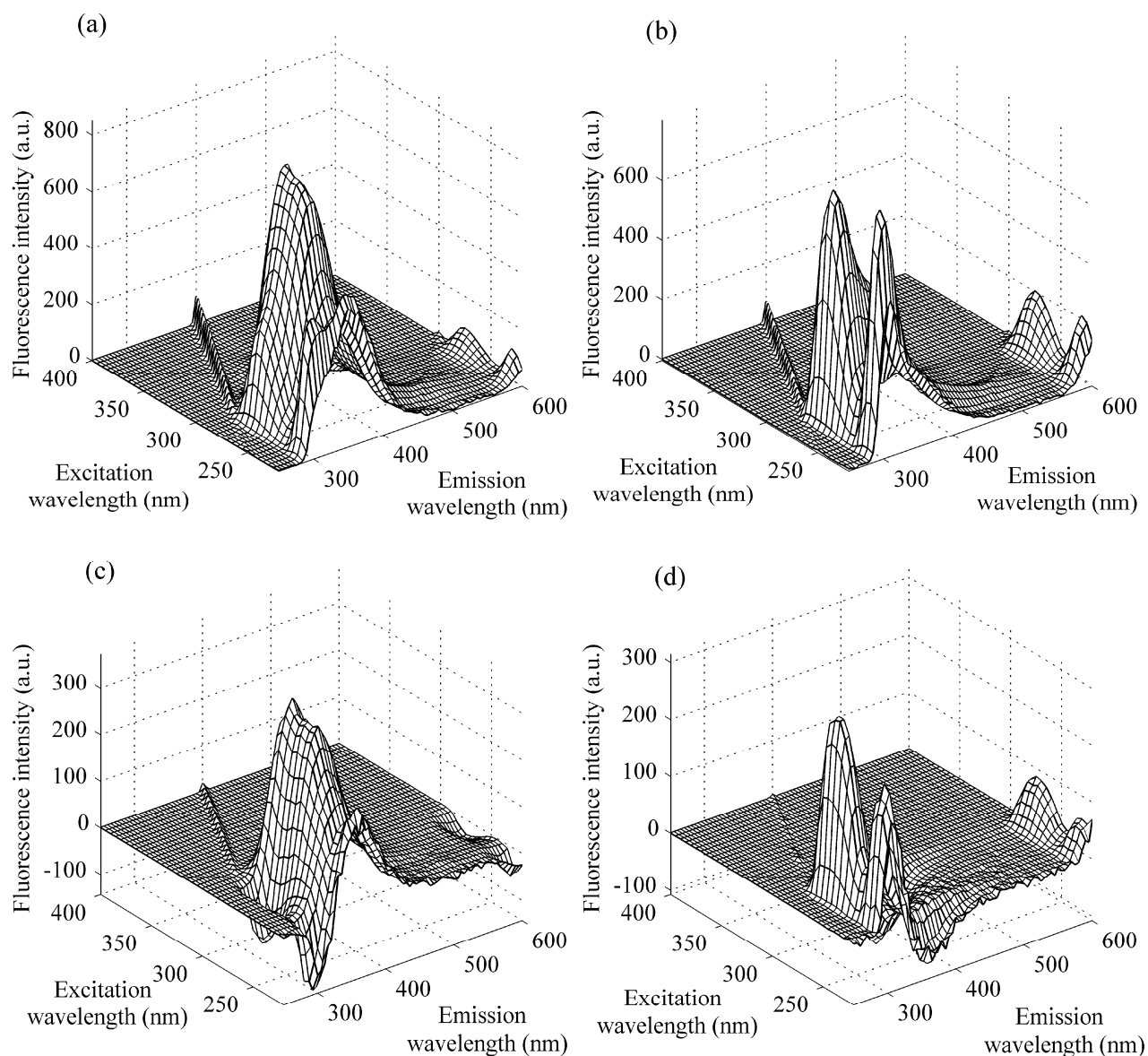
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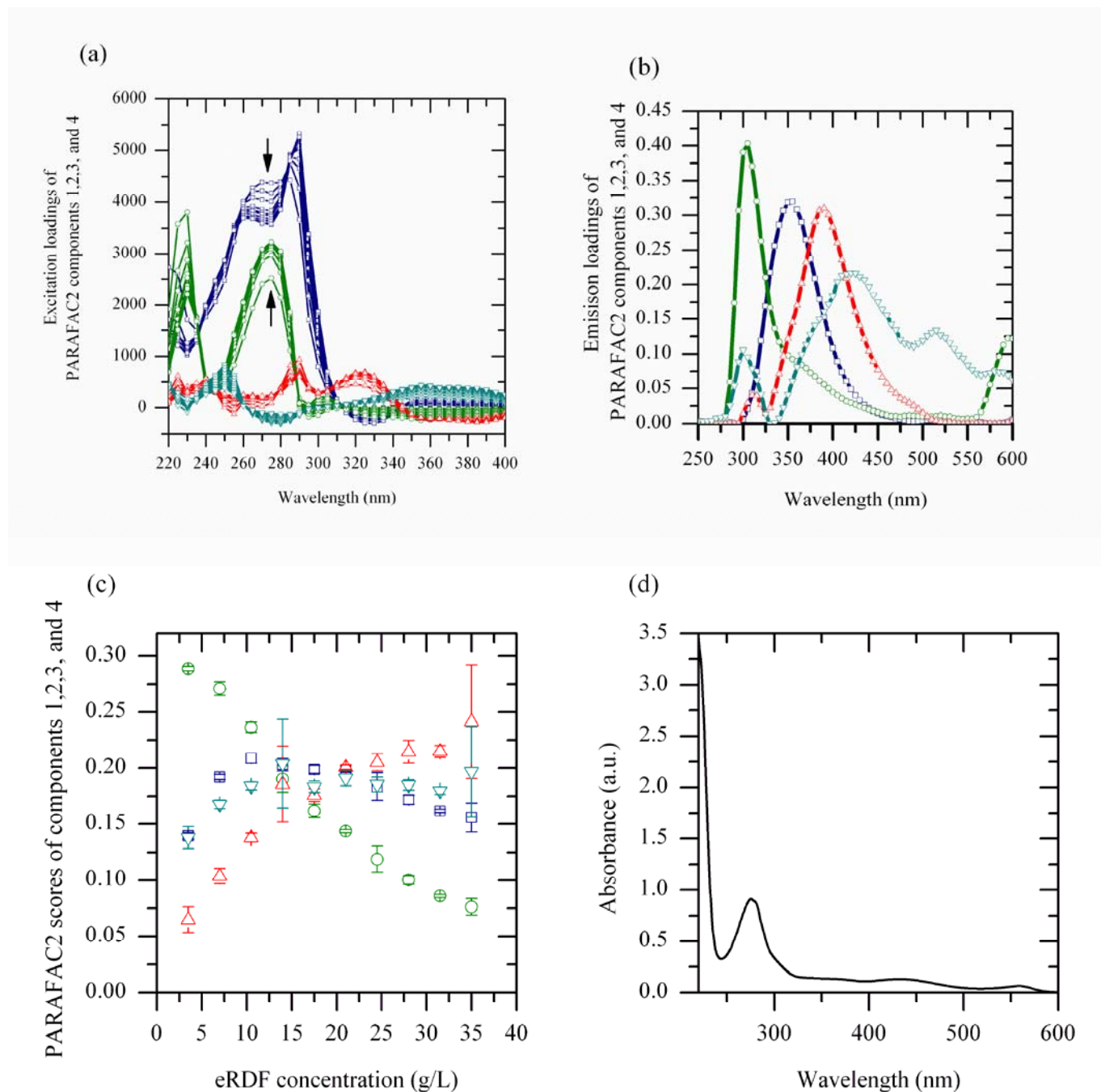
DOI: [10.1016/j.jpba.2012.08.002](https://doi.org/10.1016/j.jpba.2012.08.002)

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1 **Figures**

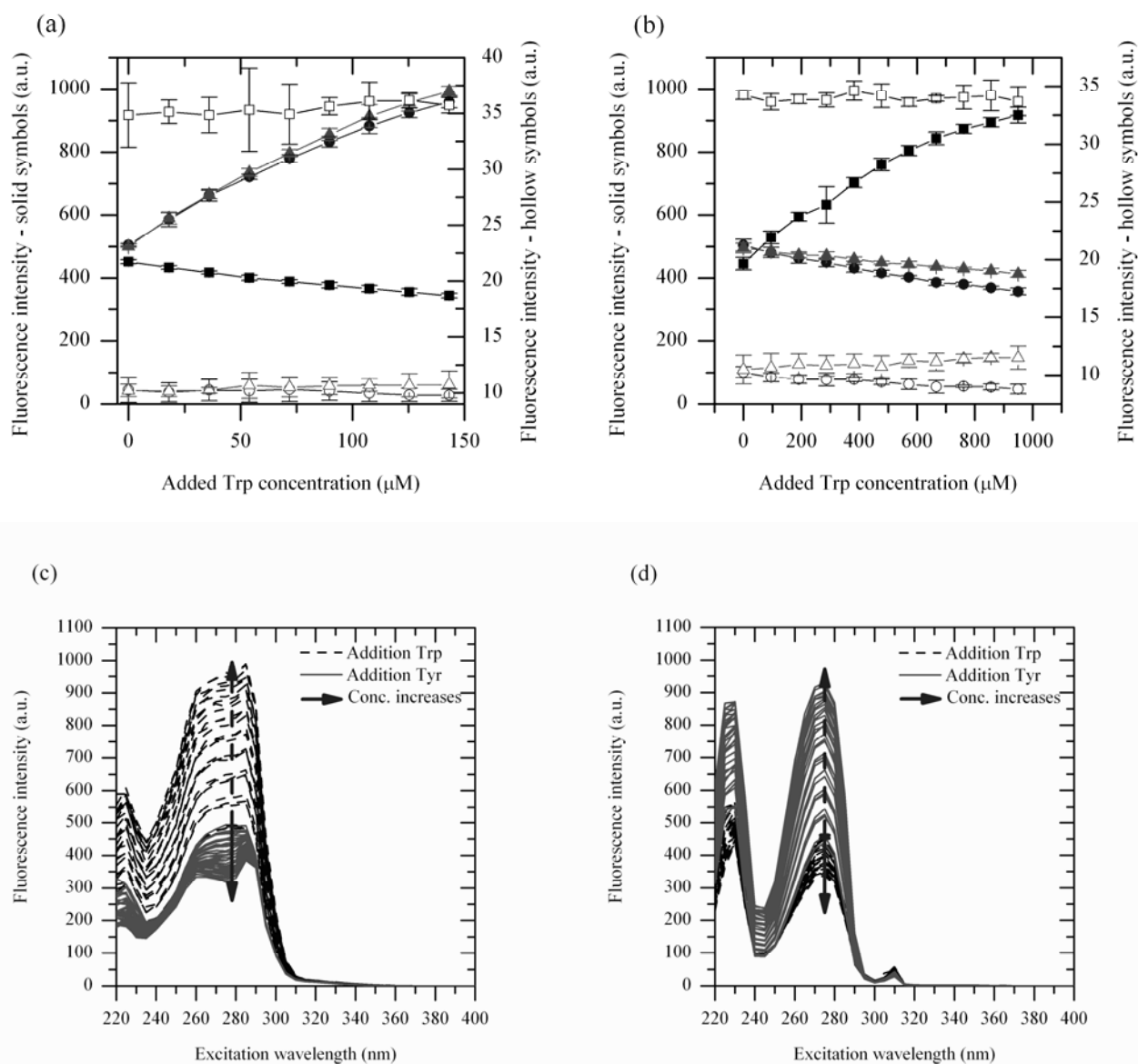


2  
3 **Figure 1:** Landscape plots showing the changes in the EEM spectra of the eRDF media (17.7 g/L)  
4 spiked with (a) Trp (to twice nominal concentration, 179.4  $\mu\text{M}$ ) and (b) Tyr (to twice nominal  
5 concentration, 955.3  $\mu\text{M}$ ) and (c and d) the corresponding test matrix subtracted EEM (TMS-EEM)  
6 spectra used in the calibration modeling.



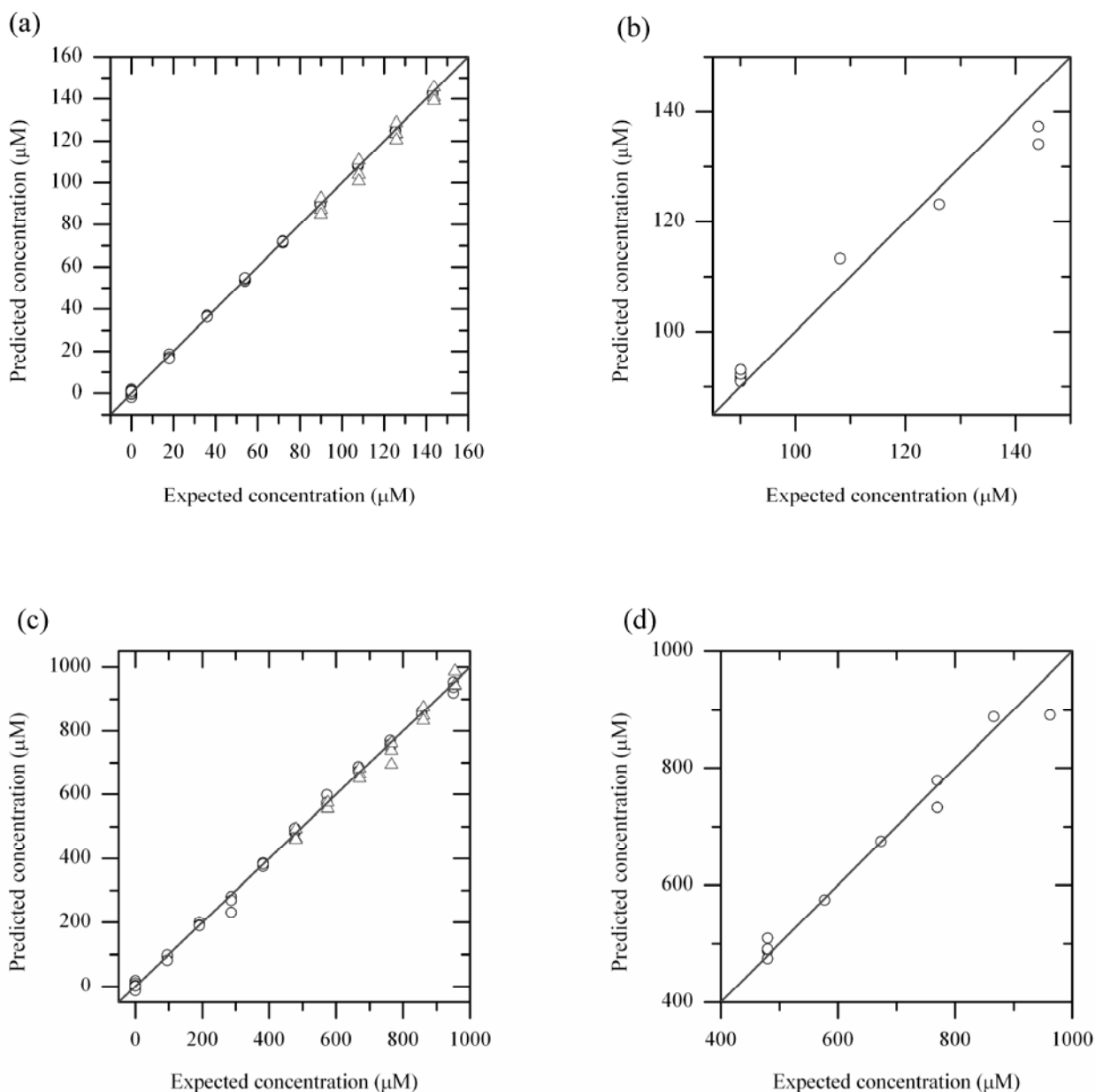
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2 **Figure 2:** Summary of the PARAFAC2 model generated using dataset1 (varying eRDF  
3 concentration). Loadings plots for the excitation mode (a), emission mode (b), and sample mode (c).  
4 The absorption spectrum (d) of the 17.7 g/L eRDF stock solution (4 mm pathlength). Legend: Trp  
5 ( $\square$ ), Tyr ( $\circ$ ), pyridoxine ( $\Delta$ ), and the mixture of folic acid and riboflavin ( $\nabla$ ). The average of the  
6 loadings and scores of the 3 replicates for each sample are shown.

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1  
2 **Figure 3:** Plots of changes in fluorescence intensity for specific excitation/emission wavelength  
3 pairs, Tyr (■, 275/310 nm), Trp (●, 275/355 nm and ▲, 285/355 nm), pyridoxine (□, 320/390 nm),  
4 riboflavin (○, 365/520 nm) and folic acid (Δ, 355/445 nm), as the Trp (a) or Tyr (b) concentration is  
5 changed, and plots of the eRDF excitation spectra as Trp and Tyr concentration are increased at the  
6 (c) Trp maximum emission wavelength (360 nm) and at the (d) Tyr maximum emission wavelength  
7 (310 nm).





1  
2 **Figure 4:** Plots of predicted *versus* expected Trp (top) and Tyr (bottom) concentrations for the model  
3 calculated using the restricted range EEMs. The calibration (o) and validation (Δ) plots (a and c), and  
4 prediction plots (b and d) are shown, and the line represents the diagonal where the predicted  
5 concentration is equal to the expected concentration.