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5

## 6 **Effects of Wastewater Pre-treatment on Clogging of an** 7 **Intermittent Sand Filter**

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### 17 **Abstract**

18 Intermittent sand filters (ISFs) are widely used in rural areas to treat domestic and dilute  
19 agricultural wastewater due to their simplicity, efficacy and relative low cost. However, filter  
20 clogging reduces their operational lifetime and sustainability. To reduce the potential of filter  
21 clogging, this study examined pre-treatment of dairy wastewater (DWW) by coagulation with  
22 ferric chloride (FeCl<sub>3</sub>) prior to treatment in replicated, pilot-scale ISFs and monitored their  
23 performance over an entire milking season (301 days). Over the study duration and at the end

24 of the study, the extent of clogging across hybrid coagulation-ISFs was quantified and the  
25 results were compared to ISFs treating raw DWW without a coagulation pre-treatment, but  
26 otherwise operated under the same conditions. During operation, biomass growth/extent of  
27 clogging was higher in ISFs treating raw DWW, which were fully clogged after 280 days of  
28 operation. The hybrid coagulation-ISFs remained fully operational until the end of the study.  
29 Examination of the filter media in both filter types showed that the ISFs treating raw DWW  
30 lost approximately 85% of their initial infiltration capacity in the uppermost layer due to  
31 biomass build-up versus 40% loss for hybrid coagulation-ISFs. Furthermore, ISFs treating raw  
32 DWW retained more organic matter and proportionally higher amounts of phosphorus,  
33 nitrogen and sulphur than the pre-treated DWW, with values decreasing with depth below the  
34 filter surface. Overall, hybrid coagulation-ISFs are likely to sustain infiltration capacity for a  
35 longer period than filters treating raw wastewater; therefore, requiring smaller surface area for  
36 treatment and minimal maintenance.

37

38 **Keywords:** dairy wastewater; filter clogging; biomass growth; field-saturated hydraulic  
39 conductivity; volumetric moisture content.

## 40 **1. Introduction**

41 Intermittent sand filters (ISFs) are onsite wastewater treatment systems, commonly used in  
42 wastewater remediation, to remove pollutants by physical, chemical and biological  
43 mechanisms (Sylla et al., 2020). Intermittent dosing enables bacterial growth and filter aeration  
44 between doses, and hence facilitates both aerobic and anoxic metabolisms (Murnane et al.,  
45 2016). The applications of ISFs to treat domestic and septic tank effluent (Gill et al., 2009;  
46 Rodgers et al., 2011) and agricultural dairy wastewater (DWW) has been shown to be cost-  
47 effective and efficient at removing contaminants (Rodgers et al., 2005; Mohamed et al., 2022).  
48 Well-designed ISFs can achieve substantial reductions of total suspended solids (TSS),  
49 biochemical/chemical oxygen demand (BOD, COD), nutrients, *Escherichia coli* (*E. coli*) and  
50 viruses (Healy et al., 2007; Torrens et al., 2009a).

51 Nevertheless, similar to other permeable media-based wastewater treatment systems, regular  
52 clogging is a major inherent operational challenge for ISFs (de Matos et al., 2018; Wang et al.,  
53 2021; Wu et al., 2022). Rodgers et al. (2004) reported multiple clogging events in ISFs treating  
54 DWW at different organic and hydraulic loading rates. Torrens et al. (2009b) also reported  
55 filters clogging when the system operation did not follow the recommended feeding and resting  
56 periods for ISFs that were used to treat pond effluent at an organic loading rate (OLR) ranging  
57 from 17 to 170 g COD m<sup>-2</sup> d<sup>-1</sup>. Clogging is usually attributed to diminished permeability and  
58 infiltration capacity caused by surface or interstitial deposits of TSS present in the influent  
59 wastewater, or porosity reduction from accumulation of bacterial biomass and production of  
60 hydrated extracellular polymers (exopolymers) within the matrix of the sand (Leverenz et al.,  
61 2009). However, ISF clogging has been predominantly regarded as a surface sealing  
62 phenomenon (Rodgers et al., 2004). Factors such as the organic, chemical and hydraulic loading  
63 rates (HLRs) of the wastewater and the filter media properties dictate the depth of its extension  
64 in the filter media.

65 Clogging becomes apparent in ISFs when surface ponding occurs and the effluent flowrate  
66 declines (Knowles et al., 2011). From a technical perspective, clogging of filters may be  
67 monitored and quantified in a number of ways. During operation, the head loss method is  
68 commonly used to determine the occurrence and extent of clogging in continuously operated  
69 systems (i.e., rapid and slow sand filters; Mesquita et al., 2012; De Souza et al., 2021), while  
70 monitoring of the volumetric moisture content method ( $\theta_v$ ) is more suitable for intermittently  
71 loaded systems such as ISFs (Rodgers et al., 2004; Ruane et al., 2014). Following the  
72 occurrence of surface ponding and the subsequent destructive sampling of the filter, field-  
73 saturated hydraulic conductivity ( $K_{fs}$ ) is the best indicator to measure the development of  
74 clogging (Rodgers et al., 2004; Lianfang et al., 2009; Le Coustumer et al., 2012). As the filter  
75 clogs over time,  $\theta_v$  increases and  $K_{fs}$  decreases (Knowles et al., 2011; Ruane et al., 2014).  
76 Depending on the permeability of the investigated media, measurement of  $K_{fs}$  can be conducted  
77 using either a constant head test (BSI, 1990a) or falling head test (ASTM, 2010). Other  
78 common procedures of investigation include organic and biomass content estimation through  
79 loss on ignition (LOI), chemical analysis of the filter media at different depths, biomass layer  
80 visualisation via scanning electron microscopy (SEM), and X-Ray diffraction (XRD) technique  
81 (Pedescoll et al., 2009; Knowles et al., 2011; Grace et al., 2016).

82 There are many measures which can be applied to prevent and delay clogging in ISFs.  
83 Suspended solids and particulate COD can be controlled and eliminated by engineering  
84 methods such as coarse filtration and pre-sedimentation (de Matos et al., 2018). Biomass  
85 development can be managed by system resting (Torrens et al., 2009b), surface scraping (De  
86 Souza et al., 2021) and the use of earthworms (Wang et al., 2010; Singh et al., 2018). Pre-  
87 treatment of wastewater, lowering hydraulic and organic loading rates and decreasing dosing  
88 frequency have also been found to result in both enhanced performance and extended

89 operational periods without clogging (Leverenz et al., 2009; Chen et al., 2021a,b), though  
90 research in this area is limited.

91 A pre-treatment step prior to ISFs is recommended to reduce the concentration of the applied  
92 wastewater and prevent premature clogging (Healy et al., 2007). Pre-treatment of wastewater  
93 by a mixture of coagulation and sedimentation may address the shortcomings of ISFs and  
94 reduce their inherent operational problems. Cameron and Di (2019) obtained a high removal  
95 of organic matter (OM), TSS and nutrients (nitrogen (N) and phosphorus (P)) for DWW  
96 clarified with a ferric-based coagulant at small doses. Therefore, this method can theoretically  
97 reduce the size of a subsequent ISF system, and/or increase its operational period prior to  
98 clogging. Selection of a suitable type of chemical coagulant, pH, dosage rate and mixing  
99 power/time are important design parameters to control and optimize the coagulation-  
100 flocculation process and ensure better wastewater purification (Karam et al., 2021). While there  
101 are many available coagulants that can be used for DWW treatment, Mohamed et al. (2020)  
102 evaluated aluminium sulphate ( $Al_2(SO_4)_3$ ), poly-aluminium chloride and ferric chloride ( $FeCl_3$ )  
103 for DWW coagulation, and found that  $FeCl_3$  was the optimum coagulant for this application.  
104 Their study took into account many aspects to appraise and rank these chemical coagulants  
105 such as treatment efficacy, treatment cost and sludge production quantities. Ferric chloride has  
106 been widely used for municipal and industrial wastewater treatment and been shown to be very  
107 effective at contaminant removal (El Samrani et al., 2004; Guerreiro et al., 2020). In addition,  
108  $FeCl_3$  demonstrated effective fouling mitigation and clogging alleviation in membrane-based  
109 biological wastewater treatment systems (Dong et al., 2015; Tang et al., 2018).

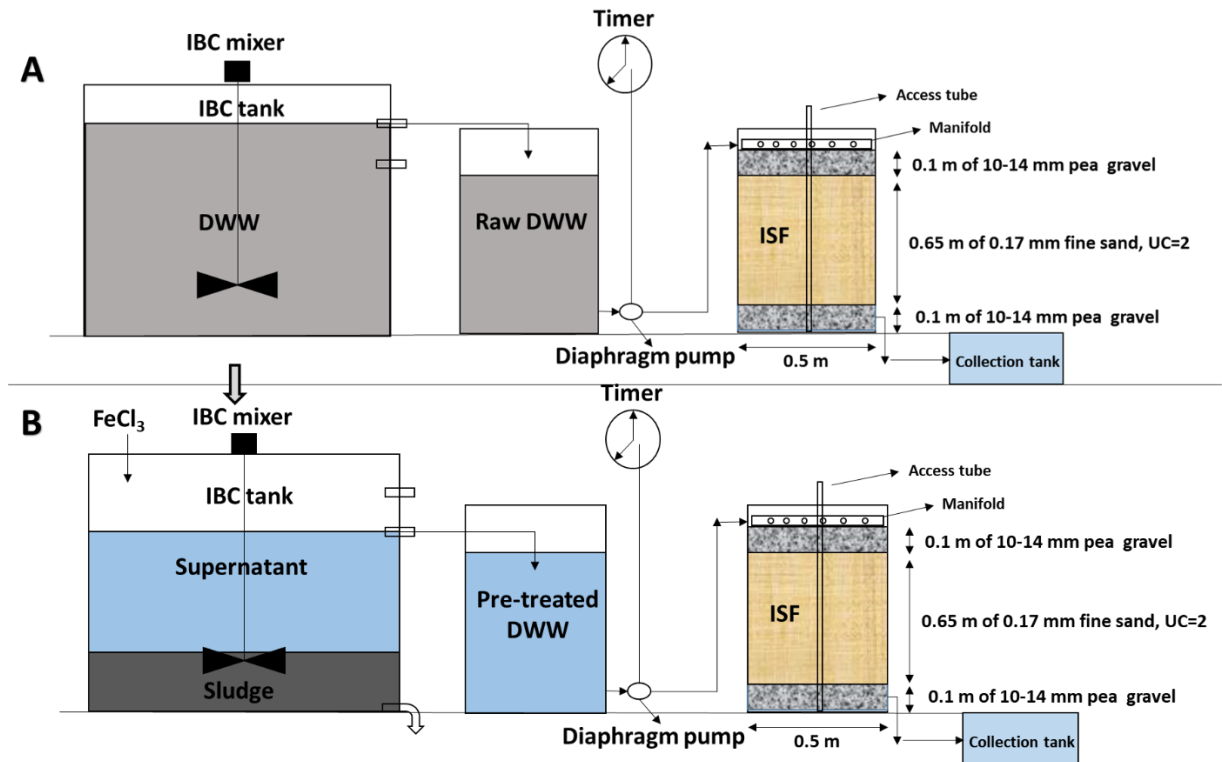
110 Nevertheless, to date, no study has investigated the integration of  $FeCl_3$  coagulation with ISF  
111 treatment of DWW to alleviate clogging for pilot-scale DWW treatment. Therefore, the aims  
112 of this study were, for the first time, to (1) use  $FeCl_3$  in a coagulation-sedimentation process as  
113 a pre-treatment step for ISFs treating DWW over the duration of a full milking season, and (2)

114 ascertain feasibility of this approach for improved performance of ISF system by comparing  
115 indicators of clogging for this hybrid system to conventional ISFs (without pre-treatment),  
116 using a range of physical and chemical analyses.

117 **2. Materials and Method**

118 **2.1 Experimental set-up**

119 Six outdoor pilot-scale intermittent sand filters for treating raw (n=3) and pre-treated (n=3)  
120 DWW were operated (in 2021) for a period of 301 days, in single-pass operation mode (Figure  
121 1). All filters were 0.9 m deep and 0.5 m in diameter, and were designed following US EPA  
122 guidelines (USEPA, 1980). In each filter, a 0.1 m layer of distribution gravel (10–14 mm in  
123 size) overlaid a 0.65 m layer of fine sand media (effective size,  $d_{10} = 0.17$  mm; uniformity  
124 coefficient,  $UC = 2$ ). To prevent washout of the filter media, the bottom layer of sand was  
125 underlain by a 0.1 m layer of pea gravel (10–14 mm in size).



126

127 **Figure 1** Experimental set-up showing schematic views of the (A) raw DWW system and (B)  
128 pre-treated DWW system.

129

130 Raw DWW was collected weekly in a 1000 L capacity intermediate bulk container (IBC;  
131 Figure 1) from Teagasc Moorepark Dairy farm, Fermoy, Co. Cork, Ireland. The DWW  
132 comprised washings from the milking parlour and collecting yard, and from cleaning the



133 milking plant. Following this, 200 L of raw DWW was decanted from the IBC tank and  
134 transferred into another storage container (hereafter referred to as raw DWW; Figure 1-A). The  
135 remaining raw DWW in the IBC tank (800 L) was mixed and treated with  $\text{FeCl}_3$  solution at a  
136 dosage of  $440 \text{ mg Fe L}^{-1}$  of DWW ( $10.35 \text{ g Fe g}^{-1} \text{ P}$ ). Mohamed et al. (2020) showed that this  
137 dosage was optimal for the removal of contaminants (COD, TSS, turbidity TP, TN, *E. coli*)  
138 present in DWW. The mixture was then allowed to settle for three hours, after which 200 L of  
139 the supernatant was decanted into another storage container (hereafter referred to as pre-treated  
140 DWW; Figure 1-B). Raw and pre-treated DWW were made up weekly and pumped  
141 intermittently from the storage containers onto the replicated ( $n=3$ ) single-layer sand filters,  
142 using a peristaltic pump controlled by electronic timers (Figure 1). The wastewater in the  
143 storage containers were agitated regularly to ensure homogeneity. The wastewater was  
144 distributed over the filter media using uPVC distribution manifolds (Figure 1). The experiment  
145 spanned the entire 2021 milking season and consisted of four phases of different organic and  
146 hydraulic loading rates (Table 1). The filters were operated with the same OLR in the first and  
147 second phases, and the same HLR in the third and fourth phases (Table 1). Surface ponding on  
148 the raw DWW filters occurred at day 280 of operation (middle of Phase 4), so hydraulic loading  
149 was discontinued for those filters.

150

151 **Table 1** Experimental phases of different operational regimes of OLR, total suspended solids  
 152 loading rate (TSSLR) and HLR applied to raw and pre-treated DWW filters during a period  
 153 of 301 days (Mohamed et al., 2022)

Operation mode	Phase	Days of operation	Waste source	OLR (g m <sup>-2</sup> d <sup>-1</sup> ) Mean ± SD	TSSLR (g m <sup>-2</sup> d <sup>-1</sup> ) Mean ± SD	HLR (L m <sup>-2</sup> d <sup>-1</sup> ) Mean ± SD	Dosing frequency /day
Same OLR	1	49	Raw	30 ± 6	15.7 ± 3	6 ± 1.5	4
			Pre-treated	30 ± 6	2.8 ± 0.5	20 ± 4	
	2	154	Raw	15 ± 5	5.1 ± 1.5	3 ± 0.8	4
			Pre-treated	15 ± 5	0.65 ± 0.2	10 ± 2	
Same HLR	3	42	Raw	55 ± 8	18 ± 3	10 ± 2	4
			Pre-treated	15 ± 5	1.1 ± 0.3	10 ± 2	
	4*	56	Raw	110 ± 10	46 ± 5	20 ± 4	8
			Pre-treated	30 ± 6	4 ± 0.8	20 ± 4	

\* Ponding occurred for raw DWW filters in Phase 4.

154

155

## 156 **2.2 Analysis**

### 157 **2.2.1 Water quality parameters**

158 Raw DWW and pre-treated DWW samples were collected and analysed weekly. Turbidity was  
159 measured using an Orion AQUAfast turbidity meter (ThermoFisher Scientific, USA). COD  
160 was measured using the dichromate method. Total suspended solids were measured using the  
161 gravimetric method by filtering the samples through a Whatman GF/C (pore size of filters=  
162 1.2  $\mu\text{m}$ ). Total phosphorus (TP) and total nitrogen (TN) were measured using the Persulphate  
163 Digestion/Oxidative method.

### 164 **2.2.2 Clogging detection methods**

165 At the end of experiment, all filters were dismantled, and the physical and chemical properties  
166 of the sand were characterised in 0.05 m increments to a total depth of 0.25 m below the sand  
167 surface. The physical clogging indicators included: monitoring moisture content of sand layers  
168 during the filter operation, and measurements of hydraulic conductivity (infiltration capacity)  
169 at the end of the study. As the build-up of biomass on the ISF increases with time, the filters  
170 retain more water between sand grain/pores, increasing the  $\theta_v$  and reducing the infiltration  
171 capacity/  $K_{fs}$ . As the bacterial biomass mainly consists of carbon (C) /OM, N, P and sulphur  
172 (S), these chemical parameters were measured as an indication of biomass  
173 development/clogging in the filters.

### 174 ***Physical properties***

175 From day 70 (Phase 2), when the filters were fully biologically active, the build-up of biomass  
176 in the filters was measured, by proxy, by time-domain reflectometry (TDR) (Rodgers et al.,  
177 2004). The sand filters were instrumented with 1 m-deep access tubes (Figure 1; type ATL1,  
178 Delta-T Devices Ltd., Cambridge, UK) to allow the  $\theta_v$  to be measured at different depths using

179 a TDR probe (PR1/6d-02, Delta-T Devices Ltd., Cambridge, UK). In order to monitor the  
180 biomass build-up, the  $\theta_v$  was recorded weekly at each 0.05 m depth increment to a total depth  
181 of 0.25 m below the top of the sand. Readings were taken in millivolts using a voltmeter (type  
182 HH2, Delta-T Devices Ltd., Cambridge, UK) and were converted to units of  $\text{m}^3 \text{m}^{-3}$  using the  
183 manufacturer's calibration curve.

184 At the end of experiment, six intact sand cores, 0.05 m in diameter (representing 6% of the  
185 total surface area), were extracted at each 0.05 m incremental depth below the surface and used  
186 to determine the  $K_{fs}$  ( $\text{m s}^{-1}$ ) of each layer by the constant head method (BSI, 1990a). In this  
187 method, the intact sand cores, in open-ended pipes, were subjected to a constant ponded head  
188 of water,  $z$ . The constant head was maintained in each sand core by an overflow pipe. Flow  
189 rates ( $Q$ ;  $\text{m}^3 \text{s}^{-1}$ ) were measured by graduated cylinders, positioned under the open-ended pipes.  
190 A virgin sand specimen was used to compare the reduction in  $K_{fs}$  with depth for both sets of  
191 filters (raw and pre-treated DWW). The  $K_{fs}$  was calculated using Darcy's empirical law (Eqn.  
192 1)

$$193 \quad Q = A * K_{fs} * (1 + \frac{z}{l}) \quad \text{Eqn. 1}$$

194 where  $Q$  is the flow rate,  $A$  is the cross-sectional area ( $0.002 \text{ m}^2$ ),  $z$  is the water depth (0.05 m),  
195 and  $l$  is the height of sand core (0.05 m).

196

### 197 ***Chemical properties***

198 Following deconstruction of the filters, each 0.05 m layer below the surface was analysed for  
199 a variety of parameters. Organic matter was measured using the LOI technique by drying  
200 samples at  $105^\circ \text{C}$ , and then ashing at temperatures of  $430^\circ \text{C}$  (BSI, 1990b). This method can  
201 give an indication of biomass distribution within the filter (a physical mechanism responsible

202 for clogging). Total nitrogen was measured using the Dumas Technique (Method 949.12,  
203 AOAC, 1990). Total phosphorus and total sulphur (TS) were measured using hydrochloric and  
204 nitric acid (aqua-regia) digestion methods (SW 486 Method 3050B, USEPA, 1996). Total  
205 organic carbon (TOC) was measured using the DUMAS combustion method (BS EN 15936,  
206 BSI, 2012). As there was a strong relationship between OM and TOC ( $OM/TOC= 2.2$ ,  $R^2=$   
207  $0.99$ ), measured values of TOC were used to estimate OM for values that were below the  
208 detection limit (Schumacher, 2002).

### 209 ***Microscopic Visualization***

210 Scanning electron microscopy was used to view the biomass build-up on individual sand grains  
211 at the surface of raw and pre-treated DWW filters, as well as on virgin sand samples. Intact  
212 samples were taken from the surface of the filters. The structural integrity of the biofilms on  
213 the sand were preserved with adequate primary fixation in paraformaldehyde and  
214 glutaraldehyde, followed by gradual dehydration (using ethanol: 30%, 50%, 70%, 90% and  
215 100%) and critical point drying. When dried, the samples were mounted onto aluminium stubs  
216 with a double-sided sticky tab and gold sputter coated (Q150R ES plus, Quorum, Sussex, UK),  
217 and were viewed with a scanning electron microscope (Model S4700, Hitachi, Tokyo, Japan)  
218 at 50x magnification.

### 219 **2.3 Data analysis**

220 Statistical analyses were carried out using SAS 9.4 (SAS Institute Inc., USA). Differences in  
221 physical and chemical properties between raw DWW and pre-treated DWW filters were  
222 analysed using PROC MIXED model. PROC MIXED addressed challenges associated with  
223 non-normal distribution. The model was designed as a two-factor factorial experiment ( $2*5$ )  
224 with three replications, consisting of two categorical independent variables: Treatment (two  
225 treatments: raw DWW, pre-treated DWW), Depth (five depths: 0-0.05 m, 0.05-0.1 m, 0.1-0.15

226 m, 0.15-0.2 m, 0.2-0.25 m). The main effect of each factor, along with interaction effect  
227 (Treatment × Depth), were investigated by the model against each physical and chemical  
228 parameter, which was set as a continuous dependent variable in the model. LSMEANS  
229 statement (with a Tukey adjustment) identified where significant differences occurred between  
230 raw and pre-treated DWW filters at specific depths. For the volumetric moisture content  
231 parameter, the model incorporated an additional factor: Week (multiple weeks that varied from  
232 phase to phase), beside the interaction between the factors (Treatment × Depth\* Week) as fixed  
233 terms. Three separate models were constructed for  $\theta_v$ , a separate model for each phase of the  
234 experiment, to account for methodological differences between phases as described in Table 1.  
235 Probability values of  $p > 0.05$  were deemed not to be significant.

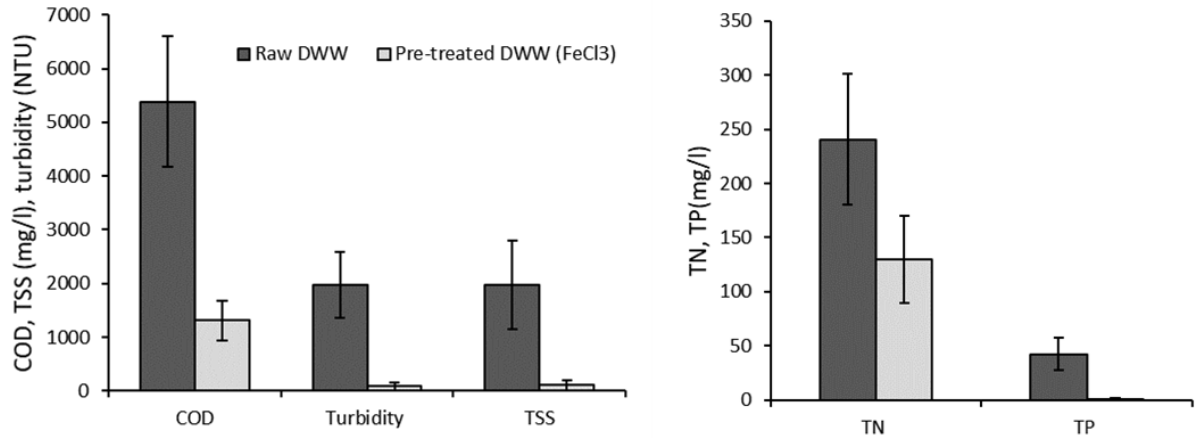
### 236 3. Results and discussion

#### 237 3.1 Impact of ferric chloride pre-treatment on water quality parameters

238 The deployment of  $\text{FeCl}_3$  flocculant for the clarification and treatment of DWW reduced COD  
239 significantly, with an average decrease of 75% ( $p < 0.001$ ; Figure 2). This finding was  
240 consistent with the study of Mohamed et al. (2020), who attained an 85 % reduction in COD  
241 for DWW amended with  $\text{FeCl}_3$  at a similar dosage. The removal of the particulate COD fraction  
242 was the main mechanism of COD reduction by  $\text{FeCl}_3$  (Mohamed et al., 2020).

243 The significant reductions ( $p < 0.001$ ) in turbidity, TSS and TP (an average decrease of > 95%;  
244 Figure 2) by  $\text{FeCl}_3$  were comparable to those obtained by Mohamed et al. (2020) and Cameron  
245 and Di (2019), who used  $\text{FeCl}_3$  and poly-ferric sulphate flocculants to clarify DWW at optimal  
246 dosages of 470 and 214 mg Fe  $\text{L}^{-1}$ , respectively. Ferric chloride removed turbidity and TSS  
247 primarily through destabilization of colloidal particles/SS or so-called hydrolysis  
248 (sedimentation process of  $\text{Fe}(\text{OH})_3\downarrow$ ), while the chemical precipitation in the form of ferric  
249 phosphate bonds ( $\text{FePO}_4\downarrow$ ) was the main mechanism of P elimination (Bratby et al., 2016).

250 Total nitrogen was also reduced by  $\text{FeCl}_3$  flocculant (an average decrease of 46%;  $p < 0.001$ ;  
251 Figure 2), but the reduction was lower than the reductions of COD, TSS, turbidity and TP.  
252 Particulate N removal through sedimentation was the main mechanism of TN removal by  $\text{FeCl}_3$   
253 (Mohamed et al., 2022). The residual N in the treated DWW comprised mainly soluble forms  
254 of N such as dissolved organic nitrogen (DON) and ammonium ( $\text{NH}_4\text{-N}$ ) (Mohamed et al.,  
255 2022), which can be only eliminated and reduced through other chemical and biological  
256 transformation mechanisms such as bio-adsorption, nitrification-denitrification and  
257 volatilization (Chen et al., 2020c). Similarly, Cameron and Di (2019) and Mohamed et al.  
258 (2020) reported maximum TN removals of 57% and 35%, respectively, using Fe-based  
259 coagulants



260

261 **Figure 2** Raw DWW and pre-treated DWW characteristics for COD, total suspended solids  
 262 (TSS), turbidity, total nitrogen (TN) and total phosphorus (TP).

### 263 3.2 Clogging indicators

#### 264 3.2.1 Physical indicators

265 Increasing hydraulic and organic loading rates during the operation of the filters produced  
 266 significantly higher  $\theta_v$  in the uppermost layers of the filters, which was indicative of the  
 267 potential for clogging in these layers. In each phase, there were significant depth, treatment and  
 268 depth\*treatment effects on  $\theta_v$  (Table S1). In all cases, the  $\theta_v$  reduced significantly ( $p < 0.001$ )  
 269 with depth from the sand surface. During Phase 2, there was no significant difference ( $p > 0.05$ )  
 270 in the  $\theta_v$  between raw and pre-treated DWW filters at each depth analysed (Table S1; Figure  
 271 3-A). These results indicate that there was no difference in the biomass build-up between  
 272 treatments, when the filters were operated at the same OLR.

273 In Phase 3, once the HLR of the raw DWW filters was increased to that of the pre-treated  
 274 DWW filters, the  $\theta_v$  of the uppermost sand layer (0 – 0.05 m) increased by 50%, significantly  
 275 higher than that attained by pre-treated DWW filters ( $p < 0.001$ ; Figure 3-B). The differences  
 276 in  $\theta_v$  between raw and pre-treated DWW filters reduced with depth beneath the filter surface

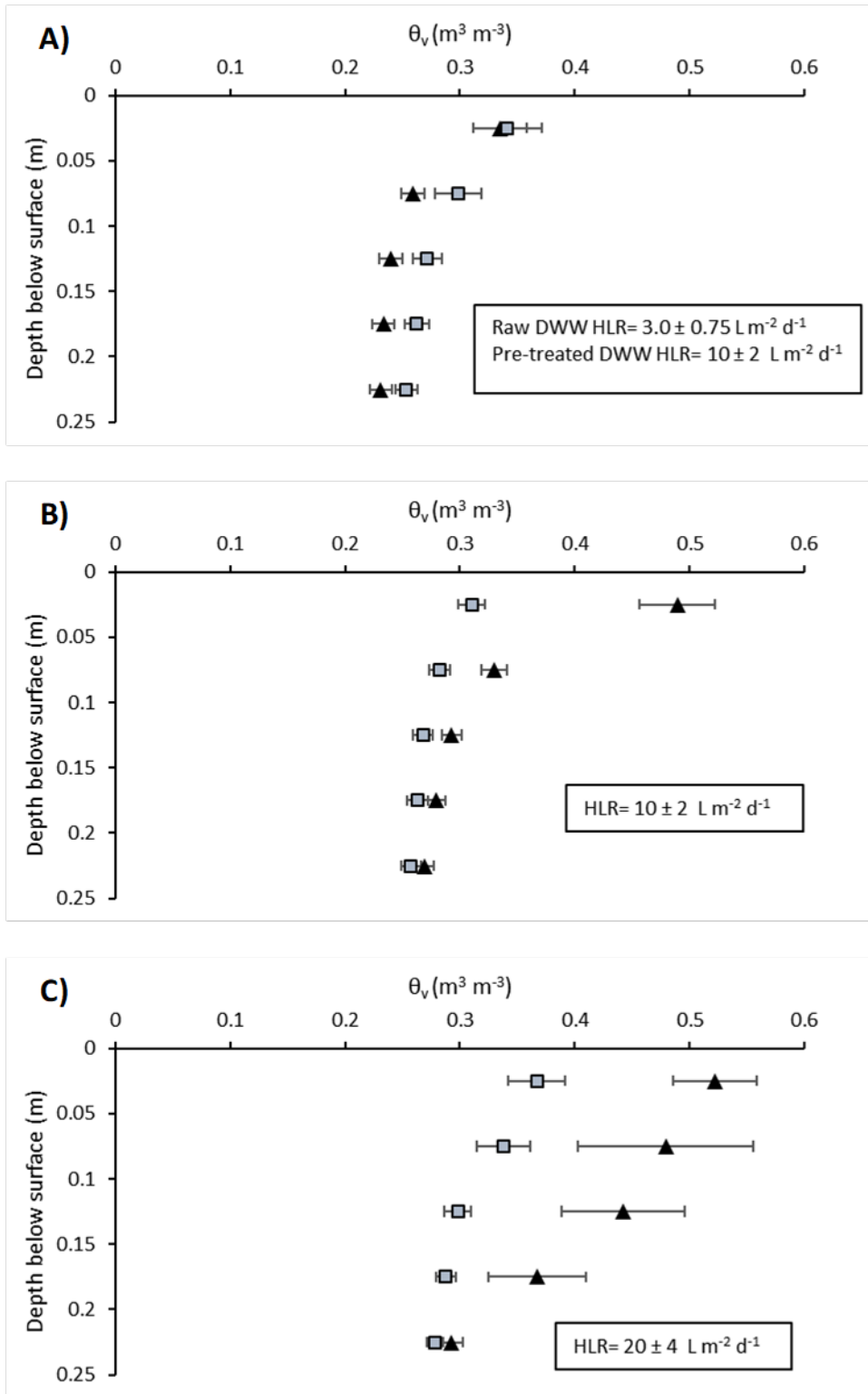


277 (Figure 3-B), and there were no significant differences in  $\theta_v$  at deeper depth increments (0.1-  
278 0.25 m; Table S1).

279 In Phase 4, the  $\theta_v$  for the uppermost sand layer (0 – 0.05 m) of the raw DWW filters was  
280 significantly ( $p < 0.001$ ) higher than that obtained by pre-treated DWW filters (Figure 3-C).  
281 Rodgers et al. (2005) studied a stratified ISFs loaded for 342 days with synthetic DWW at a  
282 HLR of  $20 \text{ L m}^{-2} \text{ day}^{-1}$  and an OLR of about  $25 \text{ g COD m}^{-2} \text{ day}^{-1}$  and found that the  $\theta_v$   
283 increased to a maximum value of approximately  $0.4 \text{ m}^3 \text{ m}^{-3}$  at the uppermost sand layer. This  
284 value was similar to that achieved by the pre-treated DWW filters in the current study, which  
285 were operated almost in the same conditions (HLR of  $20 \text{ L m}^{-2} \text{ d}^{-1}$ , OLR of  $30 \text{ g COD m}^{-2}$   
286  $\text{day}^{-1}$ ). There was also a significant difference between the  $\theta_v$  in the raw and pre-treated DWW  
287 filters for the deeper depth increments, except for the deepest monitored layer (0.2-0.25 m;  
288 Figure 3-C; Table S1), indicating that biomass accumulation due to the increased OLR in the  
289 raw DWW filters had abated by that depth. The highest  $\theta_v$  values observed in raw DWW filters  
290 were similar to previous literature findings of ISFs that did not incorporate a pre-treatment step  
291 for DWW treatment (Rodgers et al., 2004). The  $\text{FeCl}_3$  reduced the OLR significantly in pre-  
292 treated DWW filters (Table 1), contributing to significantly lower  $\theta_v$  values (at most depths) in  
293 pre-treated DWW filters relative to raw DWW filters, although both sets of filters were  
294 operated under the same HLR. These results indicate that pre-treatment by  $\text{FeCl}_3$  increases the  
295 operational longevity of an ISF, allowing for higher HLR operation or lower size footprint than  
296 the conventional ISF.

297

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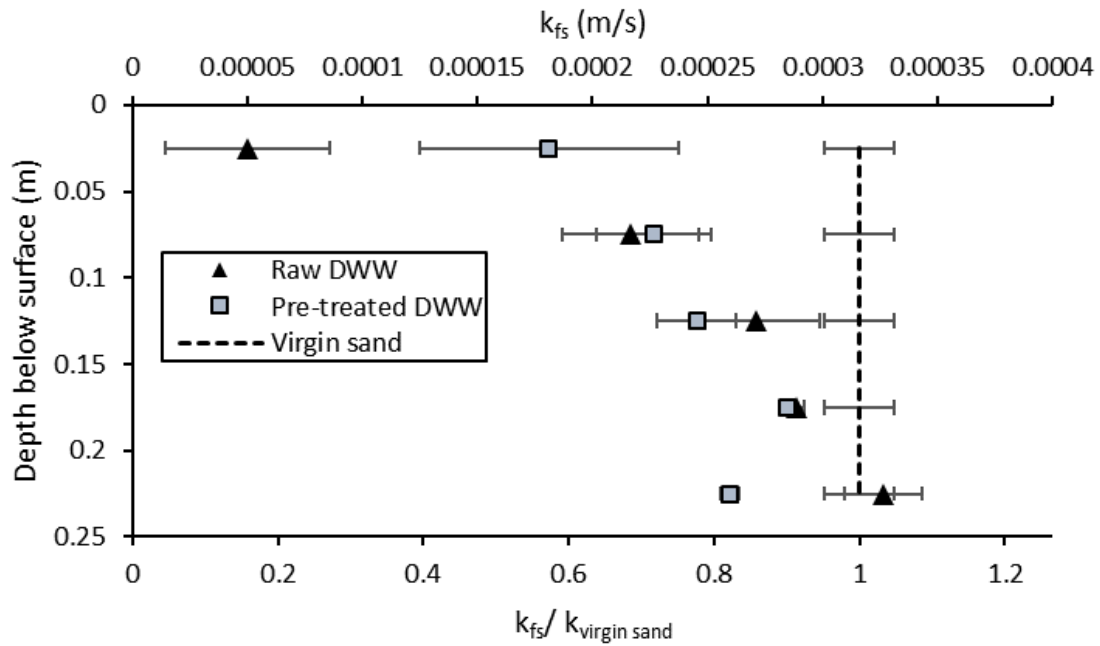
**Figure 3** Volumetric moisture contents ( $\theta_v$ ; mean  $\pm$  SD) recorded in the filters treating raw DWW (closed triangle) and pre-treated DWW (closed box) at various depths for (A) Phase 2 (B) Phase 3, and (C) Phase 4.

305 There were significant depth and treatment\*depth effects on  $K_{fs}$  (Table S1). At the end of  
306 experiment, the raw DWW filters lost about 85% of their initial  $K_{fs}$  (i.e.  $K_{\text{virgin sand}}$ ) in the  
307 uppermost layer (0 – 0.05 m; Figure 4), likely due to biomass development. The decline in the  
308 relative  $K_{fs}$  value for raw DWW filters in the current study was comparable to that of other  
309 studies. Rodgers et al. (2004) measured a reduction of 98% in the initial  $K_{fs}$  for the uppermost  
310 layer of an ISF treating synthetic DWW, and Schwager and Boller (1997) also observed a  
311 reduction > 95% in the initial  $K_{fs}$  for the uppermost 0.04 m layer for ISFs treating septic tank  
312 effluent at a HLR of 120 L m<sup>-2</sup> d<sup>-1</sup>. Interestingly, due to the FeCl<sub>3</sub> pre-treatment, the pre-treated  
313 DWW filters only had a 40% loss of their initial  $K_{fs}$  in the uppermost layer (0 – 0.05 m; Figure  
314 4), which was significantly lower than raw DWW filters ( $p < 0.01$ ).

315

316 Ponding occurred on day 280 in all raw DWW filters, while pre-treated DWW filters  
317 maintained a higher infiltration rate than the applied HLR. Although the study was operated  
318 for 302 days, it is clear from the  $K_{fs}$  measurements that the FeCl<sub>3</sub> prevented clogging and  
319 contributed to 45% of ISF clogging mitigation. This indicates that the pre-treated filters could  
320 be operated for an additional milking season (302 days) without clogging. As both sets of filters  
321 were operated with the same HLR, but different OLRs in Phase 4 (110 versus 30 g COD m<sup>-2</sup>  
322 d<sup>-1</sup> for the raw and pre-treated filters; Table 1), it is likely that clogging occurred due to the  
323 increased organic and sediment contained in the raw DWW. The main mechanism responsible  
324 for sand clogging on raw DWW filters was secretion and biomass accumulation in the  
325 uppermost layer of ISFs. The increase in the biomass in the uppermost layer decreased the  
326 pores size and therefore reduced the  $K_{fs}$  (Figure 4), decreasing infiltration in the uppermost  
327 layer, increasing the  $\theta_v$  (Figure 3). In the deeper layers, there was no significant differences ( $p$   
328 > 0.05) in the  $K_{fs}$  between raw and pre-treated DWW filters (Table S1; Figure 4). The reduction  
329 in  $K_{fs}$  diminished with depth below the filter surface until the  $K_{fs}$  returned to that of the virgin

330 sand at a depth of 0.2 – 0.25 cm (Figure 4). A similar trend was also observed by Grace et al.  
 331 (2016), who used ISFs ( $d_{10} = 0.18$  mm, UC = 2.19, column depth= 1 m) to treat synthetic  
 332 wastewater for 90 days. This implies that removing the upper layer to a depth of approximately  
 333 0.2 m below the surface will fully restore the filter.

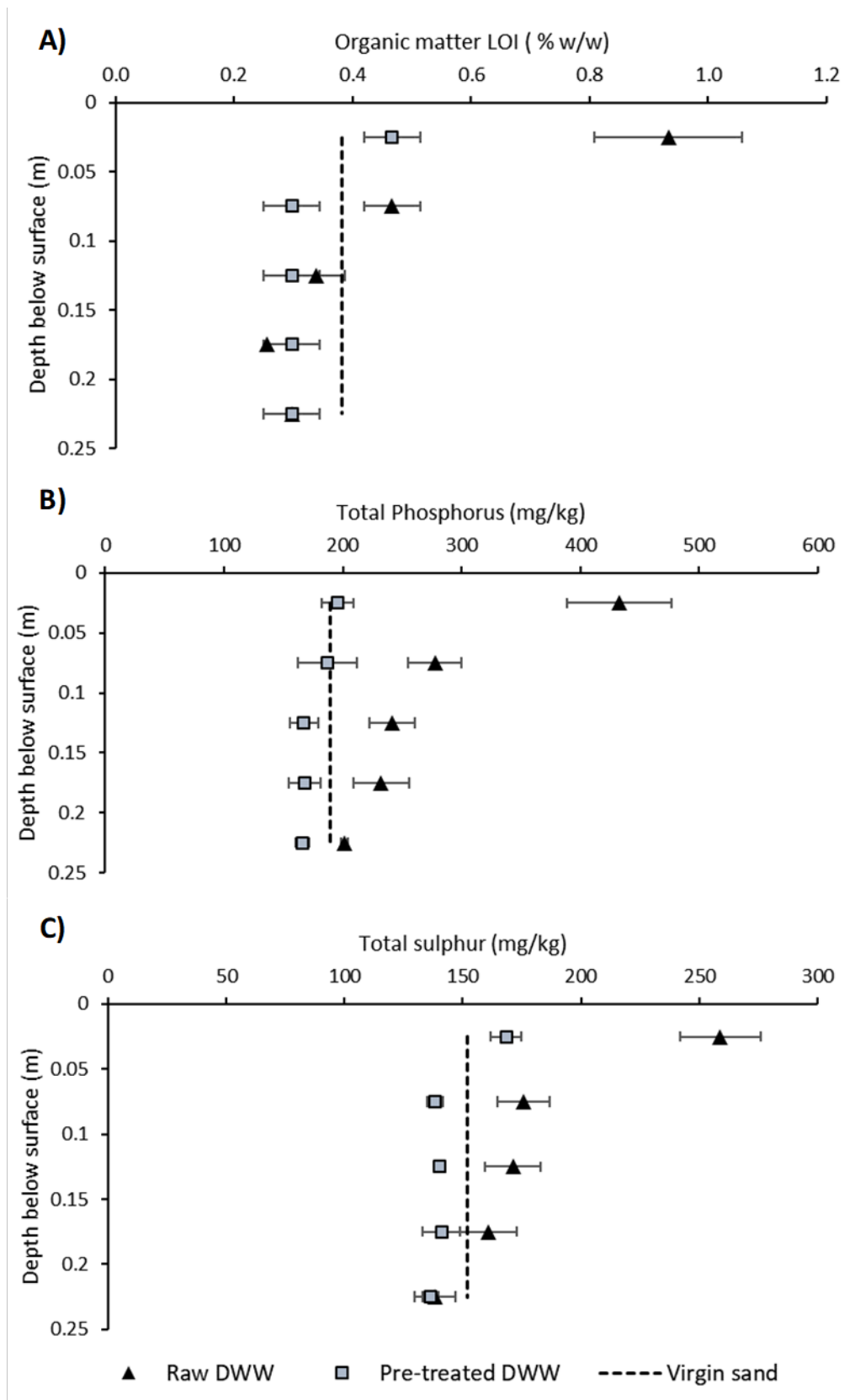


334 **Figure 4** Saturated hydraulic conductivity ( $K_{fs}$ ; mean  $\pm$  SD) measured in the filters treating raw  
 335 DWW and pre-treated DWW at depths m at the end of the experiment.  
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### 338 3.2.2 Chemical indicators

339 Trends similar to the volumetric water contents were observed for the chemical properties  
340 (OM, TP, and TS): significantly higher values for the raw DWW than the pre-treated DWW,  
341 with values decreasing with depth below the sand surface. There were significant effects of  
342 treatment, depth, treatment\*depth on all chemical parameters (OM, TP, TS; Table S2;  $p <$   
343 0.001)

344 The average OM in the uppermost layer (0 – 0.05 m) for raw DWW filters was significantly  
345 higher ( $p < 0.001$ ) than pre-treated DWW filters (Figure 5-A), indicating that biomass build-  
346 up (i.e., OM content in filter relative to OM content in virgin sand) in the raw DWW filters  
347 was more than fivefold the biomass accumulated in pre-treated DWW filters. The high OM  
348 content in raw DWW filters was similar to those reported in previous studies of ISFs that did  
349 not include a pre-treatment step. For example, Ruane et al. (2014) found the OM of the  
350 uppermost layer was more than five times the OM of virgin sand. Rodgers et al. (2004) found  
351 the OM content in the uppermost layer at clogging was more than double the OM of virgin  
352 sand, which is comparable to the raw DWW filters in the current study (Figure 5-A). Unlike  
353 pre-treated DWW filters, the biomass build-up on raw DWW filters extended to the 0.05 – 0.1  
354 m layer (Figure 5-A). This was also evident by a colour change in the sand through these  
355 layers. Chen et al. (2021b) reported that increasing either influent strength or HLR extends the  
356 clogging development into deeper ISF layers. Applying this finding to our study, the higher  
357 concentration of contaminants (in the raw DWW compared to the pre-treated DWW) is likely  
358 to have contributed to the observed increased OM in the deeper layers of the filters.



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**Figure 5** Chemical properties (mean  $\pm$  SD) of the filters measured at depths below the sand filter surface at the end of the study: A) organic matter (OM); B) total phosphorus (TP); and C) total sulphur (TS).

364 Pre-treatment by  $\text{FeCl}_3$  led to a significant reduction ( $p < 0.001$ ) of COD, TSS, and TP loading  
365 rates on pre-treated DWW filters (Figure S1). The cumulative COD load on raw DWW filters  
366 (2 kg) by the end of the study was twice that accumulated on pre-treated DWW filters (Figure  
367 S1-A). Heterotrophic bacteria/ biomass utilized approximately one third of this influent COD  
368 for cell synthesis, while the remainder was used for catabolism (energy used for respiration and  
369 maintenance; Henze et al., 2008). This means that the biomass generated by raw DWW filters  
370 (approximately 660 g COD, or 446 g OM, COD/OM=1.48) was approximately twice the  
371 biomass generated by the pre-treated DWW filters (225 g OM). This is reflected in Figure 5-  
372 A, which indicated that the build-up of biomass on raw DWW filters (in the upper layer) was  
373 more than double that accumulated on pre-treated DWW filters. However, the estimated  
374 biomass based on the cumulative COD load was slightly higher than the actual OM presented  
375 on Figure 5-A (assuming sand density of  $2400 \text{ kg m}^{-3}$ ). This difference was expected as the  
376 influent COD was not fully removed by the filters, and some COD was released in the effluent.  
377 In addition, biomass die-off (endogenous decay) usually occurs between doses (Leverenz et al.  
378 2009), reducing the net biomass build-up on the filters.

379 The cumulative TSS load on raw DWW filters (817 g) by the end of the study was eight times  
380 higher than pre-treated DWW filters (100 g; Figure S1-B). Suspended solids in the influent  
381 wastewater, accompanied by the biomass formed through COD substrate, are the major reasons  
382 that cause clogging in ISFs (Healy et al., 2007; de Matos et al., 2018). Therefore, raw DWW  
383 filters exhibited earlier clogging on day 280, while pre-treated DWW filters continue until the  
384 end of the study without any ponding or clogging events.

385 The TP in raw DWW filters was significantly ( $p < 0.001$ ) higher than pre-treated DWW in the  
386 uppermost layer (0 – 0.05 m; Figure 5-B). However, differences in TP between raw and pre-  
387 treated DWW filters reduced with the depth below the surface, with no statistical differences

388 in the deeper layers (0.1 – 0.25 m; Figure 5-B; Table S2). The TP was removed in the upstream  
389 process by FeCl<sub>3</sub> (Mohamed et al., 2022), therefore less TP was recorded in the pre-treated  
390 DWW filters. This advantage allows the pre-treated DWW filters to be operated for longer  
391 periods than raw DWW filters without P breakthrough, which is a common issue in  
392 conventional ISFs because of the limited adsorption capacity of sand (Rodgers et al., 2005;  
393 Torrens et al., 2009b; Murnane et al., 2016). The majority of the TP in DWW filters was  
394 adsorbed in the uppermost layers. Little of this TP may be used for bacterial biomass growth  
395 (typically TP in the bacterial biomass represents 3% of OM content; Henze et al., 2008).

396 The cumulative TP loads on raw DWW filters (18 g) up to the end of the study was twenty  
397 times higher than pre-treated DWW filters (0.85 g; Figure S1-C). The results were in  
398 accordance with Figure 5-B. Assuming a typical sand density of 2400 kg m<sup>-3</sup>, the mass of TP  
399 trapped within the uppermost 25 cm layer of raw DWW filters was calculated to be 13.5 g from  
400 Figure 5-B. The missing TP can be attributed to the fact that the influent TP was not totally  
401 removed (95 % removal across the phases= 17 g).

402 Total nitrogen and TS retention within ISFs exhibited the same trend as TP retention. The TN  
403 in the uppermost layer of raw DWW filters (0.061%) was more than three times the TN of pre-  
404 treated DWW filters (< 0.02%). The TN contents in the deeper layers were below the detection  
405 limit (< 0.02%). The TS in the uppermost layer of raw DWW filters (259 mg TS kg<sup>-1</sup> sand) was  
406 significantly ( $p < 0.001$ ) higher than pre-treated DWW filters (168 mg S kg<sup>-1</sup> sand; Figure 5-  
407 C). The difference in TS between raw and pre-treated DWW filters reduced with the depth  
408 below the surface, with no statistical differences in the deeper layers (0.1 – 0.25 m; Figure 5-  
409 C; Table S2).

410 There was no difference in the cumulative TN load between raw and pre-treated DWW filters  
411 (Figure S1-D). Nevertheless, the TN content in the uppermost layer of raw DWW filters was

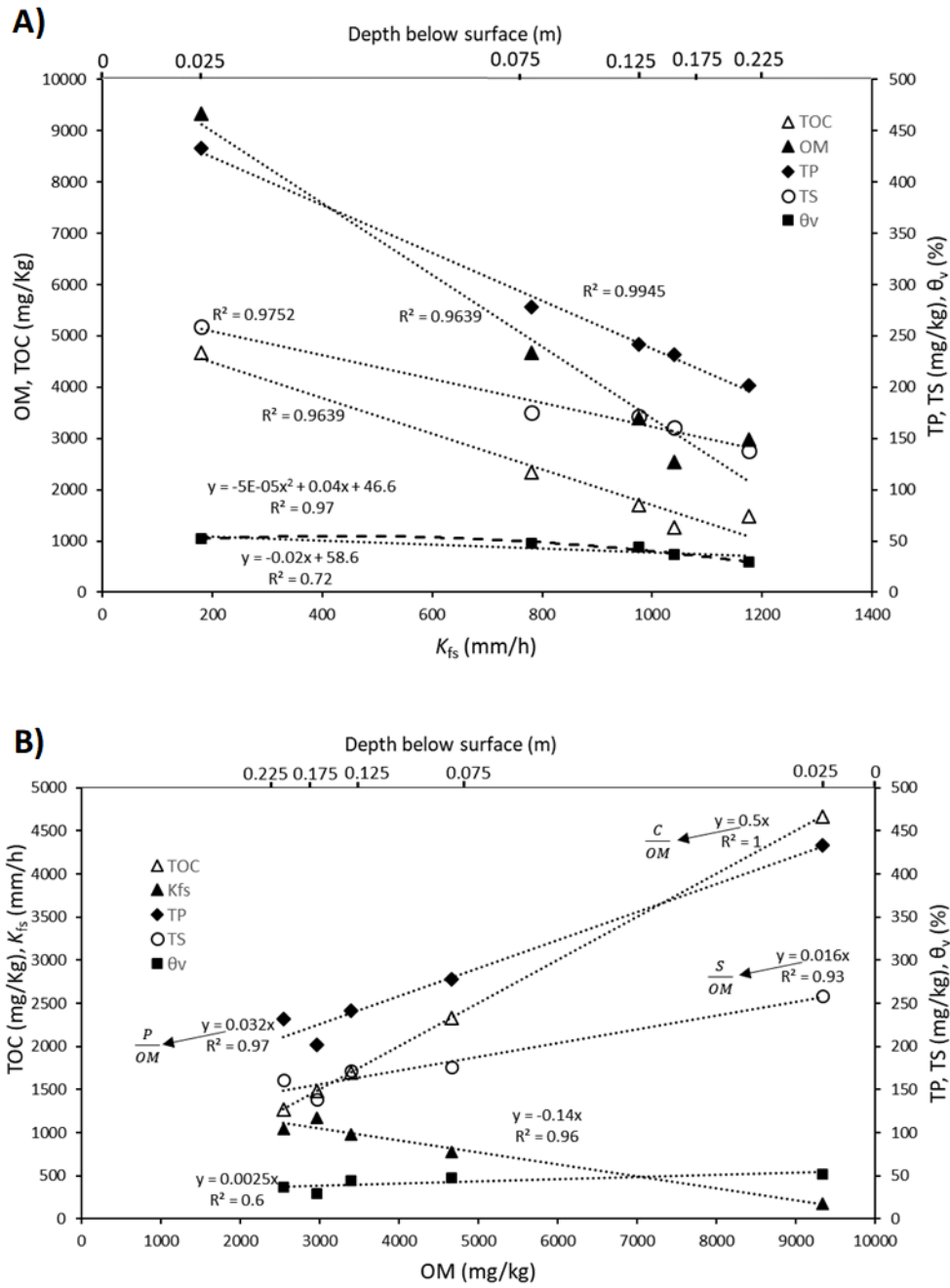


412 significantly higher than pre-treated DWW filters. This can be justified by two reasons: First,  
413 the influent TN applied into raw DWW filters comprised 32% particulate N versus 3.3% for  
414 pre-treated DWW filters. Unlike ammonium, the fate of particulate N is screening within the  
415 matrix of soil. Secondly, the heterotrophic bacterial biomass usually requires nitrogen for cell  
416 synthesis and growth (10% of OM content; Henze et al., 2008). Since the biomass content was  
417 higher in the case of raw DWW filters, more nitrogen content was expected.

418

### 419 3.3 Internal relationship between clogging indicators

420 Figure 6 shows clogging indicators (OM, TOC, TP, TS,  $\theta_v$ ) for raw DWW filters. All clogging  
421 indicators reduced with the depth below the surface (Figure 6-A, B), except for the  $K_{fs}$ , which  
422 increased for lower layers (Figure 6-B). This indicated that clogging reduced, and permeability  
423 increased, with depth. These results are in line with those of previous studies, which showed  
424 similar trends (Rodgers et al., 2004; Ruane et al., 2014; Grace et al., 2016). There was a linear  
425 relationship ( $R^2 > 0.95$ ) between clogging indicators (OM, TOC, TP, TS) and filter infiltration  
426 capacity ( $K_{fs}$ ) (Figure 6-A). There was also a relationship between  $\theta_v$  and  $K_{fs}$ , but the correlation  
427 was more polynomial ( $R^2 = 0.97$ ) than linear ( $R^2 = 0.72$ ). The clogging zone developed because  
428 of the bacterial biomass/biofilm growth at the top layers of sand filters. The bacterial biofilm  
429 could seal the porosity of sand pores/grains, and therefore could hinder and block water  
430 percolation through the filters. The bacterial biomass is made of OM and mainly consists of C,  
431 N, P and S. Therefore, as the OM content of sand increased, the infiltration capacity reduced  
432 ( $K_{fs}$ ;  $R^2 = 0.96$ ) and the moisture content of sand increased ( $\theta_v$ ;  $R^2 = 0.6$ ) (Figure 6-B). This  
433 indicates that OM can be used as an indicator to estimate infiltration capacity and clogging  
434 status at any point of filter operation. The linear relationship ( $R^2 > 0.9$ ) between OM and other  
435 chemical parameters, indicated that the bacterial biomass (OM) consisted of 50% C, 3.2% P,  
436 1.6% S (derived from the slopes of lines; Figure 6-B). These values were similar to the  
437 elemental compositions of bacteria reported elsewhere in the literature (Fagerbakke et al.,  
438 1996; Chen et al., 2020c). Overall, depending on the available resources, any of these  
439 parameters can be used to estimate the other clogging parameters, thereby reducing the time  
440 and cost required for monitoring and analysis.



441

442 **Figure 6** Inter-relationship between clogging indicators for raw DWW filters: A) against  
 443 saturated hydraulic conductivity ( $K_{fs}$ ); B) against organic matter content (OM).

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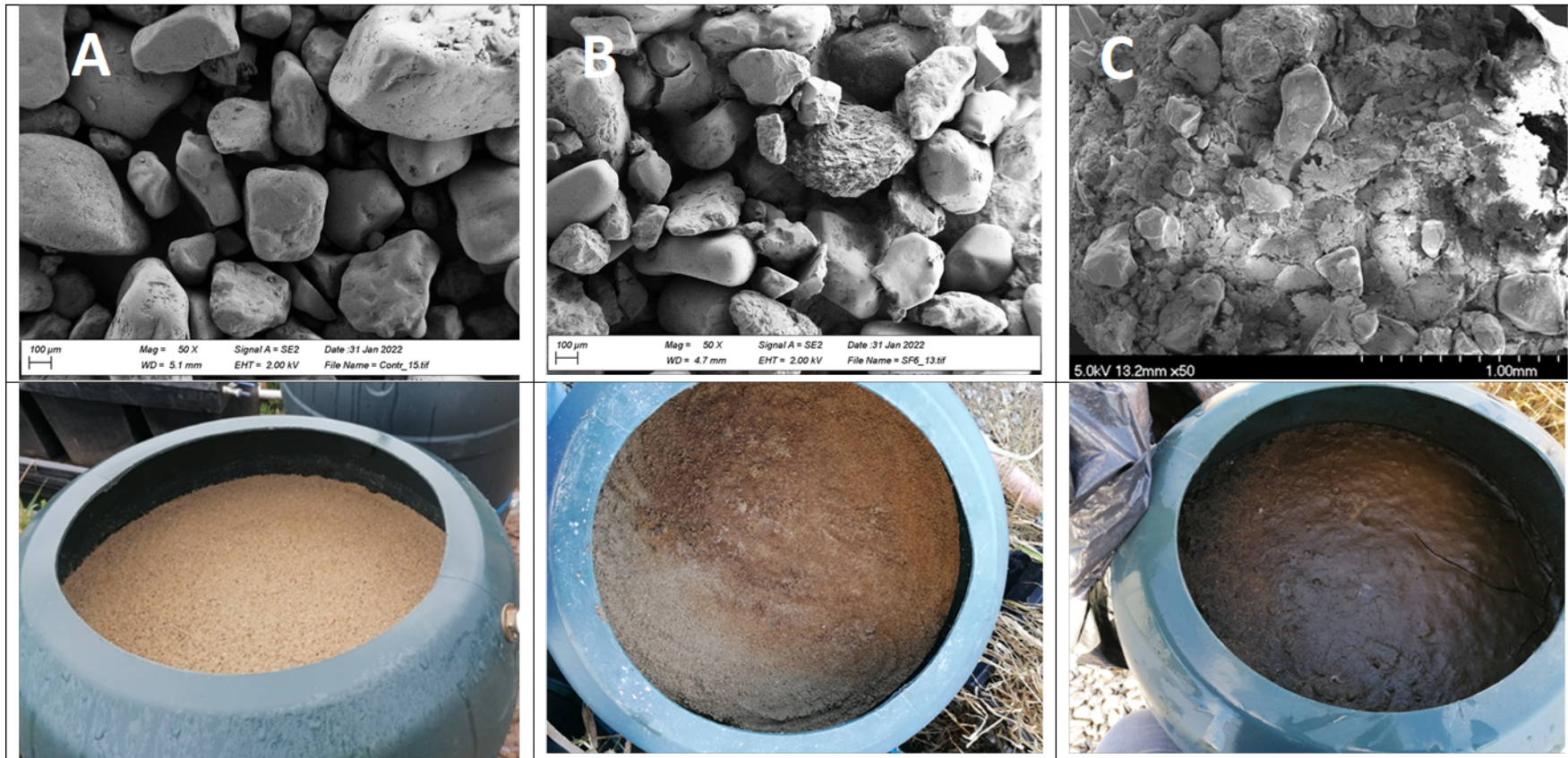
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### 447 3.4 Microscopic visualization

448 Camera and scanning electron microscopy showed the differences in biomass build-up on the  
449 surfaces between raw and pre-treated DWW filters and their comparison to virgin sand (Figure  
450 7). The visual observations of biomass build-up (organic deposits) were in agreement with the  
451 measurements of  $\theta_v$ ,  $K_{fs}$ , and chemical properties of OM, TOC, TP and TS. In the case of virgin  
452 sand (Figure 7-A) and pre-treated DWW filters (Figure 7-B), the sand grains on the surface  
453 were clearly distinguishable, while biomass accumulation made the sand particles and pores  
454 indistinguishable on the surface of the raw DWW filters (Figure 7-C), meaning that the  
455 clogging layer formed more quickly on the surface of these filters. Furthermore, Figure 7-C  
456 indicates that the clogging zone developed as a gel-like/cake-forming layer (*schmutzdecke*) on  
457 the surface. However, the layers below the surface had distinguishable sand grains similar to  
458 Figure 7-A and B (Figure S2). This indicates that removing the clogging layer to a depth of  
459 approximately 0.05 m below the surface will restore the filter in the event of clogging.  
460 Statistical analysis showed that the depth had significant effect ( $p < 0.001$ ) on the chemical  
461 properties for both raw and pre-treated DWW filters. However, this difference was most  
462 significant between the uppermost layer and all lower layers ( $p < 0.001$ ; Table S2), but was not  
463 significant between the lower layers ( $p > 0.05$ ; Table S2), supporting the finding that clogging  
464 is a surface phenomenon. The bacterial biomass in raw DWW filters was uniform and  
465 homogeneous because the filter was fully utilized when approaching the clogging point, while  
466 bacterial biomass in pre-treated DWW filters remained non-uniform as many spatial spots in  
467 the filter remained intact (e.g., with less biomass, or without biomass).

468



469  
 470 **Figure 7** Scanning electron microscopy (magnification 50X; top row) and camera photography (bottom row) of the surface of the ISFs, where: A)  
 471 virgin sand sample; B) pre-treated DWW filters and C) raw DWW filters

### 472 3.5 Factors influencing filter clogging

473 In general, OLR, TSSLR, HLR and DF are all important factors that should be considered in  
474 designing ISFs. The OLR and TSSLR should not exceed 35 g COD m<sup>2</sup> d<sup>-1</sup> and 15 g TSS m<sup>2</sup> d<sup>-1</sup>,  
475 respectively (Healy et al., 2007; Rodgers et al., 2005; USEPA, 1980). Pre-treated DWW  
476 filters complied with these threshold values across the phases, while raw DWW filters  
477 exceeded these values in many occasions, especially when were operated at the same HLR of  
478 pre-treated DWW filters in Phase 3 and 4 (Table 1). Increasing the dosing frequency from 4 to  
479 8 times per day, and doubling the HLR in Phase 4 (Table 1), may have accelerated the clogging  
480 of the raw DWW filters. Leverenz et al. (2009) suggested that ISFs operated at high dosing  
481 frequencies, for a certain influent COD concentration, encourage continuous heterotrophic  
482 biomass development at the surface, which is associated with early clogging, while low dosing  
483 frequencies were found to result in stable growth conditions, and therefore long-term steady  
484 operation. The low dosing frequencies extends the resting period between doses, which allows  
485 for biomass endogenous decay and recovery of the filter porosity (Leverenz et al., 2009). Chen  
486 et al. (2021b) point out that the lifetime of an ISF is adversely related to wastewater strength  
487 and HLR, and suggested that increasing the HLR can lead to more biological removal burdens  
488 to layers underneath the clogging development zone, and therefore negatively impact the  
489 operational lifetime of the filters.

#### 490 4 Conclusion

491 This study found that pre-treatment of wastewater improved the performance of ISFs and  
492 prevented clogging of the filter media, allowing for longer operation period than conventional  
493 ISFs (without a pre-treatment step). During operation, filters receiving raw DWW exhibited  
494 higher moisture content than filters receiving pre-treated DWW, indicating that the  
495 development of biomass and accumulation of particulate matter was faster in raw DWW filters.  
496 Filters receiving raw DWW clogged on day 280, while filters receiving pre-treated DWW had  
497 no clogging over the study duration. The  $\text{FeCl}_3$  prevented clogging and contributed to 45% of  
498 ISF clogging alleviation. Build-up of OM and suspended solids on the surface of raw DWW  
499 ISFs appeared to be the main mechanisms responsible of clogging on these filters. In all cases,  
500 the clogging indicators reduced with depth from the sand surface and returned to its virgin sand  
501 values at deeper depths (i.e. 0.2-0.25 m). Overall, filters without pre-treatment steps are likely  
502 to clog faster than filters treating pre-treated wastewater; therefore requiring large surface area  
503 for treatment and extensive maintenance.

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